

CLIENT DECLARATION – CRS

IN OECD CONTEXT



Client name: BristolPad Pension Scheme Client ID: _____

Regulations based on the OECD Common Reporting Standard (CRS) require Saxo Capital Markets UK Limited (SCML) to collect and report certain information about an account holder's tax residency. If the account holder's tax residence is located outside the United Kingdom we might be obliged to pass on the information in this form and other financial information with respect to your financial accounts to SKAT and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

PART 1 | CRS (COMMON REPORTING STANDARD) IN OECD CONTEXT

For the purpose of CRS due diligence and reporting requirements imposed on SCML, please provide the entity's CRS information below.

- a) Financial Institution – Investment Entity
- ☐ i) An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
- ☐ ii) Other Investment Entity
- b) ☐ Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company
- c) Active NFE
- ☐ A corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation
- ☐ Government Entity or Central Bank
- ☐ An international organisation
- ☐ Other (e.g. start-up NFE or a non-profit NFE)
- d) ☒ Passive NFE (please complete part below)

Only complete this section if the entity/corporation is tax resident in more/other countries than the country of registered address:

Country of tax residence	Tax Identification Number (TIN)	No TIN available (x)
		<input type="checkbox"/>
		<input type="checkbox"/>

Please attach a separate document if further countries of residency.

If a (i) or d "Passive NFE" is ticked please indicate the name of any Controlling Person(s) of the entity

Name	Address	Country of tax residence	TIN
Ian Nicholas Monsarratt Day	44 North Road, St Andrews, Bristol BS6 5AF	United Kingdom	WK 3949840

Please attach a separate document if further controlling persons.

This form will remain valid unless there is a change in circumstances relating to information, such as the Account Holder's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification.

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PART 2 | CLIENT DECLARATION AND SIGNATURE

I declare under penalties of perjury that the information given in this form to the best of my knowledge is true, correct and complete.

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with SCML setting out how SCML may use and share information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of another country/jurisdiction in which this account(s) is/are maintained and exchanged with authorities of another country/jurisdiction or country/jurisdiction in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial information.

The information may be disclosed for the purposes of meeting regulatory requirements (incl. in pursuance of the Money Laundering, Terrorist Financing and Transfer of Funds Regulations 2017 (SI 2917 No.692)), conducting risk management, investment services and other services offered by the Saxo Bank Group, marketing and administering my client relationship.

Further, I agree that I will submit a new form within 30 days if any certification given in this form becomes incorrect.

MANDATORY:

I/We certify that I/We have authorisation to sign on behalf of the corporate entity

Date: 24/7/20	Signature: 	Printed Name: Ian Nicholas Monsarratt Day
Date:	Signature:	Printed Name:

Please return all pages of the completed form directly to SCML by e-mail: Scan the form and e-mail it to entity_onboardingUK@saxobank.com.

Alternatively you may send the form by regular mail (together with the documentation) to:
SCML, 40 Bank Street, Canary Wharf, London E14 5DA
Att. Corporate & Institutional Onboarding

Please note that all information supplied is kept confidential in accordance with the laws of England and Wales and all applicable financial regulation.

For any questions related to the CRS definitions, please visit Account FAQs on <http://www.oecd.org/>