

APPLICATION FORM FOR SSAS CLIENTS

IN ORDER FOR THE APPLICATION TO BE PROCESSED PROMPTLY, PLEASE COMPLETE ALL APPLICABLE SECTIONS

Part 1 | Scheme Name

Scheme Name: BristolPad Pension Scheme

Email: ian@bristolpad.co.uk

Address: C/o Retirement.Capital

Phone no: 07833 637940

Office 12, Venture Wales Building

HMRC ref: 00822402RN

Pentrebach, Merthyr Tydfil

SSAS Plan ref: N/A

Postcode: CF48 4DR

Legal Entity Identifier Code (Refer page 2)

Part 2 | Scheme Bank Account Details

Bank Name: Allied Irish Bank (GB)

Account name: Cranfords Trustees Limited

Bank Address: Manchester Business Centre

Account no.: 04690077

Vantage Point, Hardman Street

Sort code: 23-83-96

Spinningfields

Manchester

Postcode: M3 3PL

Part 3 | Employer Details

Company Name: BRISTOLPAD LTD

Company registration no.: 08998250

Address: C/O Fylde Tax Accountants

FCA firm no. (if applicable):

155 Newton Drive

Blackpool

Postcode: FY3 8LZ

Part 4 | Permitted Investments

Please indicate which of the following investment types the SSAS Trustee is permitted to trade under the terms of the Trust Deed:

FX ☒

CFDs ☒

Futures ☒

Shares/ETFs/ETCs/Bonds ☒

Options ☒

APPLICATION FORM FOR SSAS CLIENTS

PLEASE SUPPLY DETAILS BELOW OF ALL TRUSTEES

(if there is not enough space on this form for all Trustees, please provide information in an additional sheet)

Part 5 | Professional Trustee Details (if applicable)

Company Name:	Cranfords Trustees Ltd	Phone:	02031501251
Flat no./building name:	International House	Email:	info@cranfords.biz
House name/no. & street:	Constance Street	Company registration no.:	09771053
	Town/City: London	FCA firm no. (if applicable):	N/A
County:	Postcode: E16 2DQ	Legal Entity Identifier Code (refer page 2)	
			LEI 213800ALF3UTDZVTTF50

IF PROFESSIONAL TRUSTEE IS AN INDIVIDUAL, PLEASE COMPLETE BELOW:

Full Name (as shown on passport/ID):	National Insurance no.:
Date of Birth: / /	Passport no. / Identification no.:
City and Country of Birth:	Nationality:
Current residential address:	Gender Male <input type="checkbox"/> Female <input type="checkbox"/>
Flat no./building name:	
House name/no. & street:	
	Town/City:
County:	Postcode:
Country:	

IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

Previous residential address:
Flat no./building name:
House name/no. & street:
Town/City:
County:
Postcode:
Country:

Primary telephone no.: (including country & area code)

Secondary telephone no.: (including country & area code)

Email address:

APPLICATION FORM FOR SSAS CLIENTS

Trading Knowledge and Experience (Professional Trustee)

Online trading experience Professional Trustee does not have any trading experience and will not be executing any trades

How long have you continuously traded financial products on an execution only basis?

☐ Less than 1 year

☐ 1 to 5 years

☐ More than 5 years

Which financial products have you traded in the past 12 months?

Instrument	< 5 trades	6- 20 trades	21-50 trades	> 50 trades
FX Spot	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FX Forward	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CFDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Options and Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares/ETFs/ETCs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gilts and Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Working experience and Qualifications

Do you hold any educational or professional qualifications relevant to the type of trading you (or your Investment Advisor) intend to carry on with us?

YES ☐

NO ☐

If YES, please specify : _____

Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature of, and risk involved in, the type of trading that you (or your Investment Advisor) intend to carry out with us?

YES ☐

NO ☐

Financial Information (Professional Trustee)

Monthly Income after tax: _____

Monthly outgoings (Living expenses, mortgage & other payments): _____

Estimated value of all Savings & Investments (i.e. excluding any property ownership value): _____

Employment History (Professional Trustee)

Current Relevant Occupation

Employed ☐ Self-employed ☐ Not in employment ☐ Retired ☐

If employed:

Employer's name _____

Nature of business _____

Position held _____

If self-employed:

Nature of business _____

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FIRST TRUSTEE:

Part 6 | Trustee Details

Full Name (as shown on passport/ID): Ian Nicholas
Monsarratt Day

Date of birth: 14 / 01 / 1960

City and Country of Birth: Gloucester, United Kingdom

Current residential address:

Flat no./building name:

House name/no. & street: 44 North Road

St Andrews Town/City: Bristol

County: Postcode: BS6 5AF

Country: United Kingdom

National Insurance no.: WK 39 49 84 C

Passport no. / Identification no.: 557176512

Nationality: British

Gender Male ☒ Female ☐

IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

Previous residential address:

Flat no./building name:

House name/no. & street:

Town/City:

County: Postcode:

Country:

Primary telephone no.: (including country & area code)

Secondary telephone no.: (including country & area code)

Email address:

APPLICATION FORM FOR SSAS CLIENTS

Trading Knowledge and Experience (First Trustee)

Online trading experience

How long have you continuously traded financial products on an execution only basis?

☐ Less than 1 year

☒ 1 to 5 years

☐ More than 5 years

Which financial products have you traded in the past 12 months?

Instrument	< 5 trades	6- 20 trades	21-50 trades	> 50 trades
FX Spot	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> (about 1000 trades)
FX Forward	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CFDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> (about 50 trades)
Options and Futures	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares/ETFs/ETCs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/> (over 100 trade-investments, most on 1 day to 3 months timeframes)
Gilts and Bonds	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Working experience and Qualifications

Do you hold any educational or professional qualifications relevant to the type of trading you (or your Investment Advisor) intend to carry on with us?

YES ☒

NO ☐

If YES, please specify:

Short courses in stock trading and FX trading
Previously professor in genetic epidemiology - very proficient in spreadsheets, maths and stats

Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature of, and risk involved in, the type of trading that you (or your Investment Advisor) intend to carry out with us?

YES ☐

NO ☒

- but I already have several private trading and investment accounts all with rising values balances
[Capital Index - FX ; (BL) Interactive Brokers (my leverage trade-investing)]

Financial Information (First Trustee)

Monthly Income after tax:

£5-10K

Monthly outgoings (Living expenses, mortgage & other payments):

£2K

Estimated value of all Savings & Investments (i.e. excluding any property ownership value):

About £100K in a mixture

Employment History (First Trustee)

Current Relevant Occupation

Employed ☐

Self-employed ☒

Not in employment ☐

Retired ☐

If employed:

Employer's name

Nature of business

Position held

If self-employed:

Nature of business

Property developer
(Bristolpad Property Partnership, Bristolpad Ltd, Daintree SPV I Ltd, Daintree SPV II Ltd, Whit56 Ltd, Whit58 Ltd)

(Director for all)



APPLICATION FORM FOR SSAS CLIENTS

SECOND TRUSTEE: Part 7 | Trustee Details

Full Name (as shown on passport/ID):

National Insurance no.:

Date of birth: / /

Passport no. / Identification no.:

City and Country of Birth:

Nationality:

Current residential address:

Gender Male ☐ Female ☐

Flat no./building name:

House name/no. & street:

Town/City:

County:

Postcode:

Country:

IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

Previous residential address:

Flat no./building name:

House name/no. & street:

Town/City:

County:

Postcode:

Country:

Primary telephone no.: (including country & area code)

Secondary telephone no.: (including country & area code)

Email address:

APPLICATION FORM FOR SSAS CLIENTS

Trading Knowledge and Experience (Second Trustee)

Online trading experience

How long have you continuously traded financial products on an execution only basis?

☐ Less than 1 year

☐ 1 to 5 years

☐ More than 5 years

Which financial products have you traded in the past 12 months?

Instrument	< 5 trades	6- 20 trades	21-50 trades	> 50 trades
FX Spot	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FX Forward	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CFDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Options and Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares/ETFs/ETCs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gilts and Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Working experience and Qualifications

Do you hold any educational or professional qualifications relevant to the type of trading you (or your Investment Advisor) intend to carry on with us?

YES ☐

NO ☐

If YES, please specify : _____

Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature of, and risk involved in, the type of trading that you (or your Investment Advisor) intend to carry out with us?

YES ☐

NO ☐

Financial Information (Second Trustee)

Monthly Income after tax: _____

Monthly outgoings (Living expenses, mortgage & other payments): _____

Estimated value of all Savings & Investments (i.e. excluding any property ownership value): _____

Employment History (Second Trustee)

Current Relevant Occupation

Employed ☐ Self-employed ☐ Not in employment ☐ Retired ☐

If employed:

Employer's name _____

Nature of business _____

Position held _____

If self-employed:

Nature of business _____

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THIRD TRUSTEE: Part 8 | Trustee Details

Full Name (as shown on passport/ID):

National Insurance no.:

Date of birth: DD / MM / YYYY

Passport no. / Identification no.:

City and Country of Birth:

Nationality:

Current residential address:

Gender Male ☐ Female ☐

Flat no./building name:

House name/no. & street:

Town/City:

County:

Postcode:

Country:

IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

Previous residential address:

Flat no./building name:

House name/no. & street:

Town/City:

County:

Postcode:

Country:

Primary telephone no.: (including country & area code)

Secondary telephone no.: (including country & area code)

Email address:

APPLICATION FORM FOR SSAS CLIENTS

Trading Knowledge and Experience (Third Trustee)

Online trading experience

How long have you continuously traded financial products on an execution only basis?

☐ Less than 1 year

☐ 1 to 5 years

☐ More than 5 years

Which financial products have you traded in the past 12 months?

Instrument	< 5 trades	6- 20 trades	21-50 trades	> 50 trades
FX Spot	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FX Forward	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CFDs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Options and Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares/ETFs/ETCs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gilts and Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Working experience and Qualifications

Do you hold any educational or professional qualifications relevant to the type of trading you (or your Investment Advisor) intend to carry on with us?

YES ☐

NO ☐

If YES, please specify : _____

Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature of, and risk involved in, the type of trading that you (or your Investment Advisor) intend to carry out with us?

YES ☐

NO ☐

Financial Information (Third Trustee)

Monthly Income after tax: _____

Monthly outgoings (Living expenses, mortgage & other payments): _____

Estimated value of all Savings & Investments (i.e. excluding any property ownership value): _____

Employment History (Third Trustee)

Current Relevant Occupation

Employed ☐

Self-employed ☐

Not in employment ☐

Retired ☐

If employed:

Employer's name _____

Nature of business _____

Position held _____

If self-employed:

Nature of business _____

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Part 9 | Initial Funding and Choice of Account Currency

Expected initial funding amount (minimum deposit 100,000 GBP (or equivalent)) £250,000

Choice of account/reporting currency *: GBP

*If not completed the account currency will default to GBP

Part 10 | Investment Advisor Details (where the Scheme has appointed such an Advisor)

Name:	Address:
Email:	
Telephone:	FCA Firm No. (if applicable):
Company registration no.:	

Part 11 | Authorised Dealers

The following persons are employees of the above mentioned company and are hereby authorised by the above mentioned company to execute trades on our behalf.

Please note that the User ID will be provided by Saxo Capital Markets.

Please do not complete if you are opening your account through a Money Manager.

Name	User ID	First Time Password (Min 8 characters - MUST contain letters, numbers and a special character. Example saxo1234%)	E-mail address	Phone Number
Ian Day	Provided by Saxo Capital Markets	11anSax00	bristolpad@gmail.com	07833 637940
	Provided by Saxo Capital Markets			
	Provided by Saxo Capital Markets			
	Provided by Saxo Capital Markets			
	Provided by Saxo Capital Markets			
	Provided by Saxo Capital Markets			

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Part 12 | SSAS Trustee(s) Signature & Declarations

Data Protection

To comply with the Money Laundering Regulations, SCML is required to collect information about you and to undertake checks on each applicant's identity and residential address, including accessing and using information held in database or other electronic format through other agencies.

When SCML is required to carry out electronic verification, data may be required to be shared to agencies. SCML shall ensure this data is shared in a protected manner and any data not retained unless required for further verification. SCML may also request further supporting documentation to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes.

All exchanged data will be done in a secure manner and only required information will be shared. If you have any questions about how we handle your personal information, please email us at privacy@saxobank.com.

Marketing Communication



We occasionally would like to contact you to give you information about products and services offered that are similar or related to the product and services provided or previously provided to you as well as news and events.

☐ Please tick here if you would like to be kept informed of all marketing related matters by SCML.

You have the right to unsubscribe and change your preferences at any time for marketing purposes. You can do this by logging on to your account or clicking unsubscribe on any of the marketing emails we send you.

We declare by our signature that:

- We have read and understood the nature of the product(s) that we (or our Investment Advisor) intend to trade in this account;
 - We are unaware of any health or other conditions that may affect the proper operation of the joint account;
 - We have provided true, accurate and complete information and undertake to update SCML of any changes to the information provided without delay;
 - We have obtained from the website www.saxomarkets.co.uk, read, understood and agree to the General Business Terms (including the product risks disclosure detailed in Schedule 1 to these General Business Terms and the Addendum to the General Business Terms for SSAS Trustee(s), the Order Execution Policy, the Conflict of Interest Policy and the General Privacy Policy;
 - We consent to the Order Execution Policy and for any orders to be executed outside a regulated market or a multilateral trading facility;
 - All the trustees and authorised signatories of the Scheme are over 18 years of age;
 - We consent to any unexecuted limited orders not to be made public;
 - We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these being provided to me by way of posting on the website indicated above;
 - We acknowledge that we recognise and understand the restrictions placed by SCML on the trading of funds in terms of the products that can be traded and the reduced leverage that can apply to these products. These restrictions may be additional to those imposed by the relevant legislation;
 - We have been adequately informed of the legal restrictions which apply to the trading of funds in relation to SSAS.
- Furthermore, we confirm:
- That the investment amount has been chosen by us taking our financial circumstances into consideration and is considered reasonable by us under such circumstances.

Professional Trustee's Name:	Paul Davies Cranfords Trustees Ltd	Signature:		Date:	15 June 2020
First Trustee's Name:	Ian Day	Signature:		Date:	15 June 2020
Second Trustee's Name:	N/A	Signature:		Date:	
Third Trustee's Name:	N/A	Signature:		Date:	

