



Carlton James Retirement Fund

Financial Report

The underlying report has been generated following the request from the Pension Schemes Practitioner for scheme activity in the Carlton James Retirement Fund for financial year 16-17 (Herein known as 'the scheme').



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Scheme Information

Scheme name	Carlton James Retirement Fund
Sponsoring company name	Carlton James Private & Commercial Ltd
Company number	09074495
Company UTR number	455 33083 27206 A
Scheme PSTR number	00815782RM
Scheme holding bank	Metro Bank
Scheme bank account number	15575131
Scheme sort code	23-05-80
Scheme establishment date	21 st October 2014
Number of scheme Member/Trustees	5
Who is the scheme administrator	Pension Practitioner.Com
Who introduced the scheme?	Carlton James Private & Commercial Ltd
Who is the scheme IFA?	JAN Investment Marketing

Scheme Fee Structure – the fee structure detailed below is the structure at the date of the account closure report. Carlton James do not charge fees to the client for their service provided. Prices may vary from account establishment to account closure. More information can be provided upon request, more information provided on page 5-6.

Practitioner set up fee charges	First member charge £880; Any additional members £440
IFA set up charges	2% initial charge
Practitioner admin fee charges	First member charge £880; Any additional members £440
IFA servicing charges	0.5% Annual Charge

Sponsoring Employer Details

Sponsoring Employer Address	Lime Kiln House, Lime Kiln, Royal Wootton Bassett, SN4 7HF
Scheme Banking Address	One Southampton Row, London, WC1B 5HA



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Corporate Scheme Status

Scheme Overview:

Scheme Cash Available		Scheme Value
£396,904.42		£731,149.84

****position at the report date Financial Year 15/16***

Scheme Cash Available		Scheme Value
£965,851.54		£2,343,010.92

****position at the report date Financial Year 16/17***

Contributions (Financial Year 16/17)			
Contribution Type	From	Value	Date
SIPP Transfer - Cash	Transact	£541,323.19	08/07/2016
SIPP Transfer - Cash	Transact	£14,147.97	27/09/2016
SIPP Transfer – Cash	Transact	£1,085,855.78	17/03/2017
Total		£1,641,326.94	

Transfers Out (Financial Year 16/17)			
Transaction Type	To	Value	Date
Cash Transfer	Magnolia Trustee Scheme	£37,713.22	18/11/2016
In-specie Transfer	Magnolia Trustee Scheme	£100,000.00	18/11/2016
Total		£137,713.22	



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Banking Transactions – Incoming (Financial Year 16/17)		
Date	Item	Amount
29/04/2016	Interest on Metro Bank account	£47.09
31/05/2016	Interest on Metro Bank account	£41.81
30/06/2016	Interest on Metro Bank account	£40.47
29/07/2016	Interest on Metro Bank account	£118.50
31/08/2016	Interest on Metro Bank account	£15.61
30/09/2016	Interest on Metro Bank account	£15.35
27/10/2016	Carlton James Skywatch Inn LLC Interest returns	£5,534.03
31/10/2016	Interest on Metro Bank account	£13.94
15/11/2016	Shortfall reimbursement for Carlton James Skywatch Inn LLC Interest returns	£1,328.17
30/11/2016	Interest on Metro Bank account	£10.36
29/12/2016	Properation Limited Dividend Return Payment	£6,300.00
31/12/2016	Interest on Metro Bank account	£7.58
31/01/2017	Interest on Metro Bank account	£8.07
14/02/2017	Carlton James Skywatch Inn LLC Interest returns	£11,025.36
28/02/2017	Interest on Metro Bank account	£7.74
24/03/2017	Properation Limited Dividend Return Payment	£3,150.00
31/03/2017	Aurelius Holdings Dividend Return Payment	£8,050.00
31/03/2017	Interest on Metro Bank account	£48.25
Total		£35,762.33



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Banking Transactions – Outgoing (Financial Year 16/17)		
Date	Item	Amount
07/04/2016	Metro Bank CHAPS fee payment	£25.00
13/07/2016	Pension Practitioner.Com Set up fee for Stuart Newland	£960.00
13/07/2016	Pension Practitioner.Com Annual Admin for Stuart Newland	£960.00
15/07/2016	Pension Practitioner.Com Andy Ody annual admin	£1,440.00
28/07/2016	Metro Bank CHAPs fee payment	£25.00
28/07/2016	Fee for Set up of preference share purchase for Aurelius Holdings	£9,600.00
01/08/2016	Metro Bank CHAPs fee payment	£25.00
30/11/2016	JAN Investment Marketing IFA Fee and ongoing support - Stuart Newland	£15,032.71
30/11/2016	JAN Investment Marketing IFA Fee and ongoing support - Ashni Joshi	£2,199.97
28/02/2017	Pension Practitioner fee for appointment of Corporate trustee	£500.00
21/03/2017	Pension Practitioner.Com Fee for Annual Admin - Nigel Scott	£880.00
21/03/2017	Pension Practitioner.Com Fee for Set up - Nigel Scott	£880.00
22/03/2017	Fee for Set up of preference share purchase for Futurescope Consultants Ltd	£2,800.00
24/03/2017	Metro Bank Bank SWIFT charges for Carlton James Real Estate investment	£25.00
24/03/2017	Metro Bank charges for currency conversion	£4.00
Total		£35,356.68



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Current Scheme Investment Strategies	
Fund Name(s)	Properation Limited Preference Share Purchase
Original Investment Value	£90,000.00
Current Investment Value	£90,000.00
Returns Offered (Overall)	35%
Investment Term	5 Years
Initial Investment Date	21/07/2015
Maturity Date	21/07/2020
Fund Name(s)	Carlton James Skywatch Inn LLC
Original Investment Value	£40,507.54
Current Investment Value	£40,507.54
Returns Offered (Overall)	43%
Investment Term	2 Years
Initial Investment Date	21/07/2015
Maturity Date	21/08/2017
Fund Name(s)	Carlton James Skywatch Inn LLC
Original Investment Value	£67,613.25
Current Investment Value	£67,613.25
Returns Offered (Overall)	43%
Investment Term	2 Years
Initial Investment Date	17/12/2015
Maturity Date	17/01/2018



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Fund Name(s)	Carlton James Skywatch Inn LLC
Original Investment Value	£36,124.63
Current Investment Value	£36,124.63
Returns Offered (Overall)	43%
Investment Term	2 Years
Initial Investment Date	01/03/2016
Maturity Date	01/04/2018
Fund Name(s)	Carian Ltd Preference Share Purchase
Original Investment Value	£200,000.00
Current Investment Value	£200,000.00
Returns Offered (Overall)	35%
Investment Term	5 Years
Initial Investment Date	07/04/2016
Maturity Date	07/04/2021
Fund Name(s)	Hudspiths Ltd Compounded Contract
Original Investment Value	£55,000.00
Current Investment Value	£81,260.05
Returns Offered (Overall)	79.59%
Investment Term	1 Year
Initial Investment Date	28/07/2016
Maturity Date	28/07/2017



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Fund Name(s)	Hudspiths Ltd Compounded Contract
Original Investment Value	£140,000.00
Current Investment Value	£206,843.76
Returns Offered (Overall)	79.59%
Investment Term	1 Year
Initial Investment Date	28/07/2016
Maturity Date	28/07/2017
Fund Name(s)	Aurelius Holdings Ltd Preference Share Purchase
Original Investment Value	£230,000.00
Current Investment Value	£230,000.00
Returns Offered (Overall)	35%
Investment Term	5 Years
Initial Investment Date	28/07/2016
Maturity Date	28/07/2021
Fund Name(s)	Carlton James Commercial Real Estate
Original Investment Value	£37,465.58
Current Investment Value	£40,569.85
Returns Offered (Overall)	41.97%
Investment Term	2 Years
Initial Investment Date	01/08/2016
Maturity Date	01/09/2018



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Fund Name(s)	Carlton James Commercial Real Estate
Original Investment Value	£140,406.67
Current Investment Value	£152,040.30
Returns Offered (Overall)	41.97%
Investment Term	2 Years
Initial Investment Date	01/08/2016
Maturity Date	01/09/2018
Fund Name(s)	Futurescope Consultants Ltd Preference Share Purchase
Original Investment Value	£32,200.00
Current Investment Value	£32,200.00
Returns Offered (Overall)	35%
Investment Term	5 Years
Initial Investment Date	22/03/2017
Maturity Date	22/03/2022
Fund Name(s)	Carlton James Commercial Real Estate
Original Investment Value	£200,000.00
Current Investment Value	£200,000.00
Returns Offered (Overall)	41.97%
Investment Term	2 Years
Initial Investment Date	24/03/2017
Maturity Date	24/04/2022



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Matured Investments					
Investment Name	Original Investment (£)	Date	Maturity Value (£)	Maturity Date	Growth on Funds (£)
N/A	N/A	N/A	N/A	N/A	N/A



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Member Information

Robert Holmes	
Member Number	1
Status	Trustee
Date of Birth	15/10/1958
Sex	Male
National Insurance Number	WE048438B
Client Address	17 Beranburh Field Wroughton Swindon SN4 0QL
Contact Number	07818007114
Email Address	Raholmes17@gmail.com
Date Appointed to Scheme	21/10/2014

Simon Calton	
Member Number	2
Status	Trustee
Date of Birth	05/04/1980
Sex	Male
National Insurance Number	JM969938C
Client Address	67 Britten Road Swindon Wiltshire SN25 2HQ
Contact Number	07786023673
Email Address	simon@rycalgroup.com
Date Appointed to Scheme	21/10/2014



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Alan Edwards	
Member Number	3
Status	Trustee with holdings
Date of Birth	09/03/1958
Sex	Male
National Insurance Number	YP245738A
Client Address	27 St Margaret Road Old Town Swindon SN3 1RU
Contact Number	01793 694781
Email Address	alanjualedwards@gmail.com
Date Appointed to Scheme	11/03/2015

Andrew Ody	
Member Number	4
Status	Trustee with holdings
Date of Birth	23/11/1964
Sex	Male
National Insurance Number	NE439585A
Client Address	65 Thorney Park Wroughton Swindon SN4 0QR
Contact Number	01285 655220
Email Address	aody@batten-allen.co.uk
Date Appointed to Scheme	29/06/2015



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Ashni Joshi	
Member Number	5
Status	Trustee with holdings
Date of Birth	20/09/1961
Sex	Male
National Insurance Number	Unknown
Client Address	191 Oldfield Lane North Greenford UB6 8PN
Contact Number	07946296708
Email Address	ashnijoshi@hotmail.com
Date Appointed to Scheme	04/04/2016

Julian Teicht	
Member Number	6
Status	Trustee with holdings
Date of Birth	28/01/1964
Sex	Male
National Insurance Number	NE092350A
Client Address	Hurst Cottage Effingham Leatherhead Surrey KT24 5QP
Contact Number	07770597237
Email Address	juliant@cobhamgroup.com
Date Appointed to Scheme	16/02/2016



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Stuart Newland	
Member Number	9
Status	Trustee with holdings
Date of Birth	08/01/1967
Sex	Male
National Insurance Number	NM500833D
Client Address	98 Thetford Way Taw Hill Swindon SN25 1WJ
Contact Number	07550096188
Email Address	stuart@fenturi.com
Date Appointed to Scheme	08/07/2016

Nigel Scott	
Member Number	11
Status	Trustee with holdings
Date of Birth	27/12/1954
Sex	Male
National Insurance Number	YX015929A
Client Address	4 Manor Gardens Swindon Wiltshire SN2 2ND
Contact Number	07889206718
Email Address	Nigel.scott@sodexo.com
Date Appointed to Scheme	17/03/2017



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Notes:

It should be noted for the record, that Pension Practitioner.Com revised their fee structure from £800 + VAT (£960) for the establishing trustee and £400 + VAT (£480) for any additional trustee appointments, to a set fee of £880 for the establishing trustee and £440 for any additional trustee appointments during the financial year 16/17.