TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Former Protected Rights Transfer Plan)

If you do not complete all sections of this form it could cause a delay in the transfer process

Member name:	Mr I J Ducker
Plan number(s):	ZP2000002850
Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	

Transfer Instructions

I hereby authorise you to:

Please tick ONE of the following options:

1. Cash ☐ Sell all assets held under the above plan(s) and transfer the resulting funds to the receiving scheme in CASH

For transfers in cash, please note that if you wish any assets to be sold immediately, you should contact our dealing team directly in this regard. Assets will otherwise be sold during the transfer process, without further reference to yourself.

2. In-Specie ☐ Transfer all assets held under the above plan(s) IN-SPECIE* to the receiving scheme (any residual cash will also be transferred)

For In Specie transfers, please confirm, if any assets that cannot be transferred should be automatically sold and funds transferred to new scheme or confirm that you would like to be contacted to request further instruction.

☐ Sell assets automatically

☐ Contact me for further instruction

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

3. Combination ☐ A combination of CASH and IN-SPECIE* (some assets to be sold, and some to be transferred – please give full details inbox below) Funds held on the Royal Bank of Scotland (RBS) account will be transferred in CASH. Do not tick Combination if only the RBS account is to go in cash.

If you have ticked this option, or if you have any specific instructions with regard to the sale or transfer of assets, please provide clear details in the box below:

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

4. Partial ☐ A Partial Transfer is the transfer of a portion of your Pension Fund, with the remaining Pension Fund being retained within this Pension arrangement. This can be done on a **CASH** or **IN-SPECIE*** basis, or a Combination of the two. IMPORTANT: A Partial Transfer out can only be done on whole arrangements. If your plan is in Full or Partial Capped Drawdown then the whole drawdown arrangement will have to be transferred or fully retained in this arrangement.

Please indicate either an amount, or percentage of cash held to be transferred: ______________________

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

Personal Details

Please confirm the following details: -

	Yes	No
Are you, or have you ever been subject to bankruptcy proceedings?	☐	☐
Are you, or have you ever been subject to divorce proceedings?	☐	☐

If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:

WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Declaration

I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days.

My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme.

I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value.

Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations.

I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits.

I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request.

Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses:

- [ ] Client
- [ ] Financial Advisor
- [ ] Receiving Scheme

WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Member's signature: I.J. Ducker

Member's full name: IAN JOHN DUCKER.

Date: 23-06-2014

Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

RECEIVING SCHEME WARRANTY

**To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Former Protected Rights Transfer Plan)**

Member name:	Mr I J Ducker
Plan number(s):	ZP2000002850

Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	
PSTR or QROPS number:	
Member reference / plan number:	
Contact name and telephone for in-specie transfers:	

CHAPS ***(we cannot send monies by BACS)***/**Cheque (cash):**

UK transfer or Overseas transfer

Bank / Branch Name and address	
Sort Code (UK) or National Clearing Code	
Account Number (UK) or BIC code	
Account Name	
Ref. No (if applicable)	

****We cannot pay by BACS****

In Specie Registration Details

Please see the enclosed stock holding list for you to carry out a permissibility check, so you can provide us with the Registration Details.

If you do not provide these details this will delay the start of the transfer of stock for the member

Receiving Scheme Designation:	

Broker Details

Broker name:	
Account details:	
Contact at Broker:	
Broker Contact Phone number:	
Broker Address:	

Trustee Details

Trustee Name:	
Trustee Address:	

Co-Trustee Details

Co-Trustee Name:	
Co-Trustee Address:	

We will provide the Deeds of Assignment for Trustee Investment Polices held at no charge to the member.

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above.

We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees Limited from Capita Life & Pensions Regulated Services Limited (including any further monies which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the Finance Act 2004 and will be used solely for the purpose of providing retirement and death benefits in accordance with the receiving scheme rules. We have not offered and nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's QROPS Status if required.

Signed:

Date:

Name:

Position:

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Self Invested Personal Pension)

If you do not complete all sections of this form it could cause a delay in the transfer process

Member name:	Mr I J Ducker
Plan number(s):	ZU2000004852
Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	

Transfer Instructions

I hereby authorise you to:

Please tick <u>ONE</u> of the following options:

1. Cash ☐ Sell all assets held under the above plan(s) and transfer the resulting funds to the receiving scheme in CASH

For transfers in cash, please note that if you wish any assets to be sold immediately, you should contact our dealing team directly in this regard. Assets will otherwise be sold during the transfer process, without further reference to yourself.

2. In-Specie ☐ Transfer all assets held under the above plan(s) IN-SPECIE* to the receiving scheme (any residual cash will also be transferred)

For In Specie transfers, please confirm, if any assets that cannot be transferred should be automatically sold and funds transferred to new scheme or confirm that you would like to be contacted to request further instruction.

☐ Sell assets automatically

☐ Contact me for further instruction

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

3. Combination ☐ A combination of CASH and IN-SPECIE* (some assets to be sold, and some to be transferred – please give full details inbox below) Funds held on the Royal Bank of Scotland (RBS) account will be transferred in CASH. Do not tick Combination if only the RBS account is to go in cash.

If you have ticked this option, or if you have any specific instructions with regard to the sale or transfer of assets, please provide clear details in the box below:

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

4. **Partial** ☐ A Partial Transfer is the transfer of a portion of your Pension Fund, with the remaining Pension Fund being retained within this Pension arrangement. This can be done on a CASH or IN-SPECIE* basis, or a Combination of the two. IMPORTANT: A Partial Transfer out can only be done on whole arrangements. If your plan is in Full or Partial Capped Drawdown then the whole drawdown arrangement will have to be transferred or fully retained in this arrangement.

Please indicate either an amount, or percentage of cash held to be transferred: ______________________

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

Personal Details

Please confirm the following details: -

	Yes	No
Are you, or have you ever been subject to bankruptcy proceedings?	☐	☐
Are you, or have you ever been subject to divorce proceedings?	☐	☐

If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:

WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Declaration
I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days. My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme. I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value. Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations. I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits. I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request. Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses: [✓] Client [ ] Financial Advisor [ ] Receiving Scheme **WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.** **Member's signature:** I. J. Ducker **Member's full name:** IAN JOHN DUCKER. **Date:** 23-06-2014
Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

RECEIVING SCHEME WARRANTY

To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Self Invested Personal Pension)

Member name:	Mr I J Ducker
Plan number(s):	ZU2000004852

Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	
PSTR or QROPS number:	
Member reference / plan number:	
Contact name and telephone for in-specie transfers:	

CHAPS *(we cannot send monies by BACS)*/Cheque (cash):

UK transfer or Overseas transfer

Bank / Branch Name and address	
Sort Code (UK) or National Clearing Code	
Account Number (UK) or BIC code	
Account Name	
Ref. No (If applicable)	

****We cannot pay by BACS****

In Specie Registration Details

Please see the enclosed stock holding list for you to carry out a permissibility check, so you can provide us with the Registration Details.

If you do not provide these details this will delay the start of the transfer of stock for the member

Receiving Scheme Designation:	

Broker Details

Broker name:	
Account details:	
Contact at Broker:	
Broker Contact Phone number:	
Broker Address:	

Trustee Details

Trustee Name:	
Trustee Address:	

Co-Trustee Details

Co-Trustee Name:	
Co-Trustee Address:	

We will provide the Deeds of Assignment for Trustee Investment Polices held at no charge to the member.

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above.

We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees Limited from Capita Life & Pensions Regulated Services Limited (including any further monies which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the Finance Act 2004 and will be used solely for the purpose of providing retirement and death benefits in accordance with the receiving scheme rules. We have not offered and nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's QROPS Status if required.

Signed:	
Date:	
Name:	
Position:	

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Self Invested Personal Pension)

<u>If you do not complete all sections of this form it could cause a delay in the transfer process</u>

Member name:	**Mr I J Ducker**
Plan number(s):	**ZU2000004670**

Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	

Transfer Instructions

I hereby authorise you to:

Please tick <u>ONE</u> of the following options:

1. Cash ☐ Sell all assets held under the above plan(s) and transfer the resulting funds to the receiving scheme in CASH

For transfers in cash, please note that if you wish any assets to be sold immediately, you should contact our dealing team directly in this regard. Assets will otherwise be sold during the transfer process, without further reference to yourself.

2. In-Specie ☐ Transfer all assets held under the above plan(s) IN-SPECIE* to the receiving scheme (any residual cash will also be transferred)

For In Specie transfers, please confirm, if any assets that cannot be transferred should be automatically sold and funds transferred to new scheme or confirm that you would like to be contacted to request further instruction.

☐ Sell assets automatically

☐ Contact me for further instruction

An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).

3. Combination ☐ A combination of CASH and IN-SPECIE* (some assets to be sold, and some to be transferred – please give full details inbox below) Funds held on the Royal Bank of Scotland (RBS) account will be transferred in CASH. Do not tick Combination if only the RBS account is to go in cash.

If you have ticked this option, or if you have any specific instructions with regard to the sale or transfer of assets, please provide clear details in the box below:

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

4. Partial ☐ A Partial Transfer is the transfer of a portion of your Pension Fund, with the remaining Pension Fund being retained within this Pension arrangement. This can be done on a CASH or IN-SPECIE* basis, or a Combination of the two. IMPORTANT: A Partial Transfer out can only be done on whole arrangements. If your plan is in Full or Partial Capped Drawdown then the whole drawdown arrangement will have to be transferred or fully retained in this arrangement.

Please indicate either an amount, or percentage of cash held to be transferred: ____________________

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED

NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).

**An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).*

Personal Details

Please confirm the following details: -

Are you, or have you ever been subject to bankruptcy proceedings? Yes ☐ No ☑

Are you, or have you ever been subject to divorce proceedings? Yes ☐ No ☐

If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:

WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Declaration
I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days.

My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme.

I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value.

Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations.

I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits.

I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request.

Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses:

- ☐ Client
- ☐ Financial Advisor
- ☐ Receiving Scheme

WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Member's signature:	I J Ducker
Member's full name:	IAN JOHN DUCKER.
Date:	23-06-2014

Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

RECEIVING SCHEME WARRANTY

**To: Zurich Pension Trustees Limited,
trustees of the Zurich Personal Pension (No3Z) Scheme
(Zurich Self Invested Personal Pension)**

Member name:	Mr I J Ducker
Plan number(s):	ZU2000004670

Name of receiving scheme:	
Name and address of the receiving scheme's trustee:	
Name and address of the receiving scheme's administrator:	
PSTR or QROPS number:	
Member reference / plan number:	
Contact name and telephone for in-specie transfers:	

CHAPS *(we cannot send monies by BACS)*/Cheque **(cash):**

UK transfer or Overseas transfer

Bank / Branch Name and address	
Sort Code (UK) or National Clearing Code	
Account Number (UK) or BIC code	
Account Name	
Ref. No (if applicable)	

****We cannot pay by BACS****

In Specie Registration Details

Please see the enclosed stock holding list for you to carry out a permissibility check, so you can provide us with the Registration Details.

If you do not provide these details this will delay the start of the transfer of stock for the member

Receiving Scheme Designation:	

Broker Details

Broker name:	
Account details:	
Contact at Broker:	
Broker Contact Phone number:	
Broker Address:	

Trustee Details

Trustee Name:	
Trustee Address:	

Co-Trustee Details

Co-Trustee Name:	
Co-Trustee Address:	

We will provide the Deeds of Assignment for Trustee Investment Polices held at no charge to the member.

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above.

We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees Limited from Capita Life & Pensions Regulated Services Limited (including any further monies which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the Finance Act 2004 and will be used solely for the purpose of providing retirement and death benefits in accordance with the receiving scheme rules. We have not offered and nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's QROPS Status if required.

Signed:	
Date:	
Name:	
Position:	

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB