TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited, trustees of the Zurich Personal Pension (No3Z) Scheme (Zurich Former Protected Rights Transfer Plan)

	Former Protected Rights Transfer Plan)	
If you do not complete	all sections of this form it could cause a delay in	
	the transfer process	
Member name:	Mr I J Ducker	
Plan number(s):	ZP2000002850	
Name of receiving scheme:		
Name and address of the receiving scheme's trustee:		
Name and address of the receiving scheme's administrator:		
Transfer Instructions		
I hereby authorise you to:		
Please tick <u>ONE</u> of the follow	ving options:	
Cash resulti For transfers in cash, please r	all assets held under the above plan(s) and transfer the ng funds to the receiving scheme in CASH note that if you wish any assets to be sold immediately, you should tily in this regard. Assets will otherwise be sold during the transfer nce to yourself.	
2. Transfer all assets held under the above plan(s) IN-SPECIE* to the receiving scheme (any residual cash will also be transferred)		
For In Specie transfers, pleas cannot be transferred should funds transferred to new schellike to be contacted to request	be automatically sold and me or confirm that you would	
*An 'In-specie' transfer means re-regis	tering your essets in the name of your new provider (without being sold).	
Combination sold, a below be tra	nbination of CASH and IN-SPECIE* (some assets to be and some to be transferred – please give full details inbox) Funds held on the Royal Bank of Scotland (RBS) account will insferred in CASH. Do not tick Combination if only the RBS at is to go in cash.	

STOCK TO BE SOLD	STOCK TO BE TRANSFERRED
npletion of the transfer process will only by your financial advisor, and upon receipt of y will accept the interim payment (before the tous if necessary).	of cash funds to the receiving scheme prior to be made following a specific written request by yourse written confirmation from the receiving scheme that the completion of the transfer and will if required returns in the name of your new provider (without being sold).
artial the remaining Pen	the transfer of a portion of your Pension Fund, with asion Fund being retained within this Pension
Combination of the be done on whole ar Drawdown then the	an be done on a CASH or IN-SPECIE* basis, or a two. IMPORTANT: A Partial Transfer out can only trangements. If your plan is in Full or Partial Capped whole drawdown arrangement will have to be etained in this arrangement.
Combination of the be done on whole ar Drawdown then the transferred or fully resease indicate either an amount, or reentage of cash held to be	an be done on a CASH or IN-SPECIE* basis, or a two. IMPORTANT: A Partial Transfer out can only rrangements. If your plan is in Full or Partial Capped whole drawdown arrangement will have to be
Combination of the be done on whole ar Drawdown then the transferred or fully respectively assessed indicate either an amount, or recentage of cash held to be	an be done on a CASH or IN-SPECIE* basis, or a two. IMPORTANT: A Partial Transfer out can only rrangements. If your plan is in Full or Partial Capped whole drawdown arrangement will have to be
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Combination of the be done on whole ar Drawdown then the transferred or fully resease indicate either an amount, or recentage of cash held to be insferred:	an be done on a CASH or IN-SPECIE* basis, or a two. IMPORTANT: A Partial Transfer out can only rrangements. If your plan is in Full or Partial Capped whole drawdown arrangement will have to be etained in this arrangement.

funds to us if necessary).
*An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold).

Personal Details
Please confirm the following details: -
Are you, or have you ever been subject to bankruptcy proceedings?
Are you, or have you ever been subject to divorce proceedings? Yes No
If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:
WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Declaration			
I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days.			
My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme.			
I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value.			
Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations.			
I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits.			
I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request.			
Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses: Client Financial Advisor Receiving Scheme			
WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.			
Member's signature:			
Member's full name: /AN JOHN DUCKEP,			
Date: 23.06-2014			
Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB			

RECEIVING SCHEME WARRANTY

To: Zurich Pension Trustees Limited, trustees of the Zurich Personal Pension (No3Z) Scheme (Zurich Former Protected Rights Transfer Plan)

(Zur	ich Form	mer Protected Rights Transfer Plan)		
Member name:		Mr I J Ducker		
Plan number(s):		ZP2000002850		
Name of receiving scheme:				
Name and address of the receiving scheme's trustee:				
Name and address of t receiving scheme's administrator:	the			
PSTR or QROPS number:				
Member reference / plan number:				
Contact name and telephone for in-specie transfers:				
CHAPS (we cannot send monies by BACS)/Cheque (cash):	Bank / Bra Name and addre			
UK transfer or Overseas transfer	Sort Code National C	e (UK) or Clearing Code		
	Account N BIC code	Number (UK) or		
	Account N	Name		
		if applicable)		
We cannot pay by BACS				
In Specie Registration Details				
Please see the e	nclose	ed stock holding list for you to carry out a		
permissibility che	eck, so	you can provide us with the Registration		
		<u>Details.</u>		
lf vou do not pro	vide th	nese details this will delay the start of the		
If you do not provide these details this will delay the start of the transfer of stock for the member				
Receiving Scheme Designation:				
Broker Details				

Broker name:	
Account details:	
Contact at Broker:	
Broker Contact Phone number:	
Broker Address:	
Trustee Details	
Trustee Name:	
Trustee Address:	
Co-Trustee Details	
Co-Trustee Name:	
Co-Trustee Address:	
We will provide the Deeds of Ass charge to the member.	signment for Trustee Investment Polices held at no

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above. We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees Limited from Capita Life & Pensions Regulated Services Limited (including any further monles which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the
 Finance Act 2004 and will be used solely for the purpose of providing retirement and
 death benefits in accordance with the receiving scheme rules. We have not offered and
 nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's QROPS Status if required.

Signed:

Date:

Name:

Position:

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited, trustees of the Zurich Personal Pension (No3Z) Scheme (Zurich Self Invested Personal Pension)

(
If you do not complete	all sections of this form it could cause a delay in the transfer process	
	the transfer process	
Member name:	Mr I J Ducker	
Plan number(s):	ZU2000004852	
Name of receiving scheme:		
Name and address of the receiving scheme's trustee:		
Name and address of the receiving scheme's administrator:		
Transfer Instructions		
I hereby authorise you to:		
Please tick <u>ONE</u> of the follow	wing options:	
1. Sell all assets held under the above plan(s) and transfer the Cash resulting funds to the receiving scheme in CASH For transfers in cash, please note that if you wish any assets to be sold immediately, you should contact our dealing team directly in this regard. Assets will otherwise be sold during the transfer process, without further reference to yourself.		
2. Transfer all assets held under the above plan(s) IN-SPECIE* to the receiving scheme (any residual cash will also be transferred)		
cannot be transferred should funds transferred to new sche like to be contacted to request	me or confirm that you would	
A combination of CASH and IN-SPECIE* (some assets to be sold, and some to be transferred – please give full details inbox below) Funds held on the Royal Bank of Scotland (RBS) account will be transferred in CASH. Do not tick Combination if only the RBS account is to go in cash.		

If you have ticked this option, or if you have any transfer of assets, please provide clear details in the			
STOCK TO BE SOLD	STOCK TO BE TRANSFERRED		
NOTE: Please note that an interim transfer of cash completion of the transfer process will only be made or your financial advisor, and upon receipt of writted they will accept the Interim payment (before the confunds to us if necessary). *An 'In-specie' transfer means re-registering your essets in the	de following a specific written request by yourself en confirmation from the receiving scheme that ompletion of the transfer and will if required return		
A Partial Transfer is the transfer of a portion of your Pension Fund, with the remaining Pension Fund being retained within this Pension arrangement. This can be done on a CASH or IN-SPECIE* basis, or a Combination of the two. IMPORTANT: A Partial Transfer out can only be done on whole arrangements. If your plan is in Full or Partial Capped Drawdown then the whole drawdown arrangement will have to be transferred or fully retained in this arrangement.			
Please indicate either an amount, or percentage of cash held to be transferred:			
STOCK TO BE SOLD	STOCK TO BE TRANSFERRED		
or your financial advisor, and upon receipt of writte they will accept the interim payment (before the co funds to us if necessary).	de following a specific written request by yourself		

Personal Details
Please confirm the following details: -
Are you, or have you ever been subject to bankruptcy proceedings?
Are you, or have you ever been subject to divorce proceedings? Yes No
If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:
WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.

Declaration '			
I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days.			
My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme.			
I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value.			
Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations.			
I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits.			
I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request.			
Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses: Client Financial Advisor Receiving Scheme			
WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.			
Member's signature:			
Member's full name: LAN JOHN DUCKER.			
Date: 23-06-2014			
Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB			

RECEIVING SCHEME WARRANTY

To: Zurich Pension Trustees Limited,

				nal Pension (No3Z) Scheme Personal Pension)	
Member name:		Mrl	J Duck	ker	
Plan number(s):		ZU2	000004	1852	Í
Name of receiving scheme:					
Name and address of the receiving scheme's trustee:					
Name and address of the receiving scheme's administrator:					
PSTR or QROPS numb	er:				
Member reference / plan number:					ı
Contact name and telephone for in-specie transfers:					
CHAPS (we cannot send monies by BACS)/Cheque (cash):	Bank / Branch Name and address				
UK transfer or Overseas transfer	Sort Code (UK) or National Clearing Code		Ī		
	Account I BIC code		(UK) or		ļ
	Account I		hlol		
**We cannot pay by B	Ref. No (if applicable)		ı		
In Specie Registration Details					
Please see the e	nclose	d sto	ck ho	olding list for you to carry out a	<u>a</u>
permissibility che	ck, so	you		provide us with the Registratio	<u>n</u>
			<u>Deta</u>	<u>IIIS.</u>	
If you do not provide these details this will delay the start of the transfer of stock for the member			<u>€</u>		
Receiving Scheme Designation:	Idilater of acock for the member				
Broker Details					

Broker name:	
Account details:	
Contact at Broker:	
Broker Contact Phone number:	
Broker Address:	
Trustee Details	
Trustee Name:	
Trustee Address:	
Co-Trustee Details	
Co-Trustee Name:	
Co-Trustee Address:	
We will provide the Deeds of Ass charge to the member.	ignment for Trustee Investment Polices held at no

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above. We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees
 Limited from Capita Life & Pensions Regulated Services Limited (including any further
 monies which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the
 Finance Act 2004 and will be used solely for the purpose of providing retirement and
 death benefits in accordance with the receiving scheme rules. We have not offered and
 nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's QROPS Status if required.

Signed:

Date:

Name:

Position:

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB

TRANSFER DISCHARGE FORM

To: Zurich Pension Trustees Limited, trustees of the Zurich Personal Pension (No3Z) Scheme (Zurich Self Invested Personal Pension)

If you do not complete all sections of this form it could cause a delay in the transfer process Mr I J Ducker Member name: ZU2000004670 Plan number(s): Name of receiving scheme: Name and address of the receiving scheme's trustee: Name and address of the receiving scheme's administrator: **Transfer Instructions** I hereby authorise you to: Please tick **ONE** of the following options: 1. Sell all assets held under the above plan(s) and transfer the resulting funds to the receiving scheme in CASH Cash For transfers in cash, please note that if you wish any assets to be sold immediately, you should contact our dealing team directly in this regard. Assets will otherwise be sold during the transfer process, without further reference to yourself. Transfer all assets held under the above plan(s) IN-SPECIE* to In-Specie the receiving scheme (any residual cash will also be transferred) For In Specie transfers, please confirm, if any assets that Sell assets automatically cannot be transferred should be automatically sold and funds transferred to new scheme or confirm that you would like to be contacted to request further instruction. Contact me for further instruction *An 'In-specie' transfer means re-registering your assets in the name of your new provider (without being sold). A combination of CASH and IN-SPECIE* (some assets to be Combination sold, and some to be transferred - please give full details inbox below) Funds held on the Royal Bank of Scotland (RBS) account will be transferred in CASH. Do not tick Combination if only the RBS account is to go in cash.

If you have ticked this option, or if you have any specific instructions with regard to the sale or transfer of assets, please provide clear details in the box below:				
STOCK TO BE SOLD	STOCK TO BE TRANSFERRED			
•				
NOTE: Please note that an interim transfer of cash funds to the receiving scheme prior to completion of the transfer process will only be made following a specific written request by yourself or your financial advisor, and upon receipt of written confirmation from the receiving scheme that they will accept the interim payment (before the completion of the transfer and will if required return funds to us if necessary).				
*An 'in-specie' transfer means re-registering your assets in the	e name of your new provider (without being sold).			
A Partial Transfer is the transfer of a portion of your Pension Fund, with the remaining Pension Fund being retained within this Pension arrangement. This can be done on a CASH or IN-SPECIE* basis, or a Combination of the two. IMPORTANT: A Partial Transfer out can only be done on whole arrangements. If your plan is in Full or Partial Capped Drawdown then the whole drawdown arrangement will have to be transferred or fully retained in this arrangement.				
Please indicate either an amount, or percentage of cash held to be transferred:				
STOCK TO BE SOLD	STOCK TO BE TRANSFERRED			
or your financial advisor, and upon receipt of writt	de following a specific written request by yourself en confirmation from the receiving scheme that ompletion of the transfer and will if required return			

Personal Details
Please confirm the following details: -
Are you, or have you ever been subject to bankruptcy proceedings?
Are you, or have you ever been subject to divorce proceedings?
If you have ticked Yes to either of the above questions, please provide full details, and confirm whether this plan is affected in any way by the outcome of those proceedings:
WARNING: It can be a serious offence to make false statements. The penalties
could be severe and may lead to prosecution.

Declaration Declaration					
I declare that I have checked the details, which I have provided, and to the best of my knowledge and belief, all of the information I have supplied on, or with, this form is accurate and complete. If I discover that I have provided incorrect or incomplete details, I will provide the additional information in writing within 30 days.					
My intention is that the transfer value will be used by the receiving scheme solely to provide me with pension benefits. I have not been offered and I do not expect to receive any cash payment, loan or other non-pension benefits from the receiving scheme.					
I understand that Zurich Pension Trustees Limited will have no further obligation or liability to me in respect of the plan(s) listed above (or the part(s) thereof to which the transfer relates) after payment of the transfer value.					
Where a transfer is from £ sterling to another currency, the value received will reflect any currency fluctuations.					
I understand that if I only transfer a part of my benefits under this scheme, this may revoke any entitlement I may have to enhanced lump sum benefits.					
I authorise you to contact the provider or administrator of the above mentioned pension scheme and provide them with any information they may require to process my transfer request.					
Please contact one of the following individuals in the first instance for any outstanding information to ensure the claim progresses: Client Client Financial Advisor Receiving Scheme					
WARNING: It can be a serious offence to make false statements. The penalties could be severe and may lead to prosecution.					
Member's signature:					
Member's full name: IAN JOHN DUCKER					
Date: 23-06-2014					
Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB					

RECEIVING SCHEME WARRANTY

To: Zurich Pension Trustees Limited, trustees of the Zurich Personal Pension (No3Z) Scheme (Zurich Self Invested Personal Pension)

(Zurich Self Invested Personal Pension)				
Member name:		Mr I J Duck	ker	
Plan number(s):		ZU2000004	1670	
Name of receiving scheme:				
Name and address of the receiving scheme's trustee:				
Name and address of t receiving scheme's administrator:	he			
PSTR or QROPS numb	er:			
Member reference / pla number:	ın			
Contact name and tele for in-specie transfers:				
CHAPS (we cannot send monies by BACS)/Cheque (cash):	Bank / Branch Name and address			
UK transfer or Overseas transfer	Sort Code (UK) or National Clearing Code			
	Account Number (UK) or BIC code			
	Account Name			
	Ref. No (if applicable)			
We cannot pay by BACS				
	<u>In Spe</u>	cie Regist	tration Details	
			olding list for you to carry out a	
permissibility che	ck, so		provide us with the Registration	
		<u>Detai</u>	<u>IIS.</u>	
<u>If you do not pro</u>	vide th	ese detail	Is this will delay the start of the	
transfer of stock for the member				
Receiving Scheme Designation:				
Broker Details	-			

Broker name:		
Account details:		
Contact at Broker:		
Broker Contact Phone number:		
Broker Address:		
Trustee Details		
Trustee Name:		
Trustee Address:		
Co-Trustee Details		
Co-Trustee Name:		
Co-Trustee Address:		
We will provide the Deeds of Assignment for Trustee Investment Polices held at no charge to the member.		

Declaration:

As the Trustee/ Administrator of the above receiving scheme, we confirm the above pension is a Registered Pension Scheme under the HMRC reference number specified above. We confirm and declare that:

- The above member is (or will become) a member of the above scheme.
- The scheme is willing and able to accept a transfer paymentZurich Pension Trustees Limited from Capita Life & Pensions Regulated Services Limited (including any further monies which subsequently arise in connection with this plan).
- Any such transfer will be a recognised transfer in accordance with Section 169 of the
 Finance Act 2004 and will be used solely for the purpose of providing retirement and
 death benefits in accordance with the receiving scheme rules. We have not offered and
 nor do we intend to make available any non-pension benefits to the member.
- Where relevant, each separate Drawdown arrangement transferred will be placed in a separate arrangement (which holds no other funds) within the receiving scheme, and existing income limits and review periods for these arrangements will be maintained, in accordance with HMRC regulations.

We also give authority to you to contact HMRC in regards to the Registered Pension Scheme's

QROPS Status if required.

Signed:

Date:

Name:

Position:

Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street.

.Please return this form to: Zurich Personal Pension (No3Z) Scheme, 141 Castle Street, Salisbury, Wiltshire, SP1 3TB