

**Identity Verification Certificate – private individual**

Introduction by PRA authorised and FCA and PRA regulated firm

**1. DETAILS OF INDIVIDUAL** (see explanatory notes below)

First Name	<u>DAMON</u>	Middle Name	<u>ANDREW</u>
Surname	<u>BAXTER</u>	Date of Birth	<u>07/10/1966</u>
Address	<u>84 Godfrey Way</u> <u>Dunmow</u> <u>ESSEX</u>		
Postcode	<u>CM6 2SE</u>		
		Previous address if the individual has changed address in the last three months:	

**2. CONFIRMATION****I/we confirm that**

- the information in section 1 above was obtained by me/us in relation to the customer;
  - in providing this Identity Verification Certificate, I/we consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
  - original documentary evidence was seen;
  - the evidence I/we obtained to verify the identity of the customer;
- (tick only one)

☒ meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or

☐ exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

**3. DETAILS OF INTRODUCING FIRM** (or sole trader)

Full Name of Regulated Firm	<u>Sapphire Financial Solutions</u>
Financial Services Register Number	<u>524 292</u>
Signed for and on behalf of aforementioned Regulated Firm	Name
	<u>David Nicklin</u>
Position	Date <u>22/01/2016</u>

**4. EXPLANATORY NOTES**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.