



Emily McAlister
Pension Practitioner
48 Chorley New Road
Bolton
BL1 4AP



25 October 2017

Dear Emily

Elljess Investments Ltd SSAS – Dolphin Investment

Further to my email, please find enclosed the following:

- Loan Note Offer – which requires Pension Practitioners signature
- Trustee resolution and waiver
- Metrobank form

Once signed, please post the LNO to Sarah at:

Dolphin International Group, 38 Eastgate Drive, Little Island, Cork. T45 Y049 Ireland

Any questions, please give me a call.

Kind regards

Dawn Hutchings

Loan Note Offer

Dolphin Trust GmbH hereby presents an opportunity, for the person named on the form, below to accept a Loan Note Offer from the company.

Personal Details	
Policy Owner(s)	Geoffrey Jones & Lisa Jones
Date Of Birth	19/09/1963 16/10/1962
Home Address	Marchants Barn, Marchants Close, Hurstpierpoint, West Sussex
Home Postcode	BNX9VZ BNB 9UZ
Mobile Telephone Number	+447811444212
Home Telephone Number	
E-mail Address	geoff.owenjones@btinternet.com
Passport Number	
Occupation	
Scheme Details	
Scheme Name	Elljess Investments Ltd Executive Pension Scheme
Scheme Address	Pension Practitioner
Trustee Name	Geoffrey & Lisa Jones

Investment Details	
Investment Amount	£100,000.00
Payment Reference	CK5900489048KC (& your name)
Bank Name	Barclays
Bank Address	1 Churchill Place, London, E14 5HP, United Kingdom
Account Name	TTT Moneycorp Ltd GBP Client Safeguarding Account
Account Number	80007870
Sort Code	20-06-05
IBAN	GB17 BARC 2006 0580 0078 70
SWIFT	BARCGB22
Term of Loan Note	5 Years
Interest Payments	10% per annum deferred plus an additional 10% bonus at the end of the term

I, the undersigned, hereby confirm that I wish to accept this Loan Note Offer and can confirm that I have read, fully understood and accept the Terms & Conditions detailed in the loan Note Instrument Documentation that has been provided to me.

Upon receipt of this completed Loan Note Offer form, together with the payment of my agreed investment amount £100,000.00 (and which needs to be declared as cleared funds) I understand that Dolphin Trust GmbH will then issue to me, via the vehicle of post, a Loan Note Certificate that carries:



DOLPHIN TRUST

- a) Certificate Number
- b) A date of issuance
- c) A value of Loan expressed in pounds sterling
- d) 10% per annum deferred plus an additional 10% bonus at the end of the term

Note: A sample Loan Note Certificate is within the Loan Note Instrument as schedule 1.

Signed by Investor

Printed Name of Investor

GEOFFREY JONES

Date Signed

23/10/17.

Witness

Print Name

Dawn Hutchings

Date Signed

23/10/17

Signed Pension Provider

Date Signed

Signature

Name

Lisa O' Sullivan

Attorney, for an on behalf of Dolphin Capital 80. Projekt GmbH & Co. KG

Date Signed

Loan Notes Explained

If you are reading this document, you will have chosen to Invest into Dolphin Trust GmbH for 5 Years Please read this document in association with our Information Memorandum and a document entitled Loan Note Instrument. Based on the advice given to Dolphin Trust GmbH by our UK Lawyers (Pitmans Solicitors) we have adopted a specific method for taking in Loans. This is to ensure we comply with appropriate UK regulations.

Our method of taking in Loans is known as a Loan Note Instrument (LNI) mechanism. The LNI is a detailed legal document that explains how our Loan Notes work in finite detail. You will have read and accepted this document as part of the investment process. When using a Loan Note Instrument, the process dictates that we have to issue investors with a Loan Note Offer Letter.

The Loan Note Offer Letter details the basic terms of the offering from Dolphin Trust GmbH to you as the Investor. This Loan Note Offer Letter needs to be signed by you and returned to us. Once we have received the signed Loan Note Offer Letter and your investment money has been banked by Moneycorp Who is authorised by the Financial Conduct Authority (FCA) in the UK and are regulated by the Central Bank of Ireland for conduct of business rules and are a reputable and significant payment processing firm, you will receive a signed Loan Note Certificate in your welcome pack.

It is important that you print this Loan Note Certificate off and keep it somewhere safe.

Trustees Resolution

Name of Scheme: Elljess Investments Ltd Executive Pension Scheme

Date: 23-10-17

Trustees:

Geoffrey Owen Jones

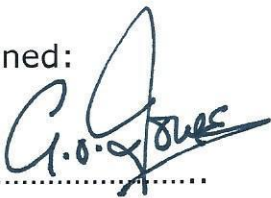
Lisa Jane Jones

The member trustees wish to make an investment into Dolphin Trust.

Rule 5.3 of the Scheme Rules states that "The Trustees may delegate or authorise sub-delegation of any of their duties, powers and discretions to any one or more of themselves or any Participating Employer or such other person or persons or body as the Trustees may from time to time determine and the Trustees are not liable for any loss arising as a consequence"

Having regard to Rule 5.3 of the Scheme Rules the Trustees have resolved that investment decisions concerning the Fund are delegated alone to the member Trustees, Geoffrey Owen Jones and Lisa Jane Jones until such time that the Trustees otherwise resolve.

Signed:


.....

Geoffrey Owen Jones
Trustee


.....

Lisa Jane Jones
Trustee

Geoffrey Owen Jones and Lisa Jane Jones
Marchants Barn
Little Park Farm
Marchants Close
Hurstpierpoint
Hassocs
West Sussex
BN6 9UZ

Pension Practitioner
48 Chorley New Road
Bolton
BL1 4AP

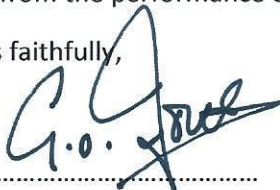
23rd October 2017

Dear Sirs,

Under the Trustee Resolution dated 18-10-2015 investment decisions concerning Elljess Investments Ltd Executive Pension Scheme are delegated alone to the member Trustees, Geoffrey Owen Jones and Lisa Jane Jones until such time that the Trustees otherwise resolve. Availing ourselves of the powers delegated by this resolution, we wish to inform you of our intention to invest £100,000 of our fund contained within the Elljess Investments Ltd Executive Pension Scheme into Dolphin Trust.

We confirm our understanding that Pension Practitioner cannot offer advice or recommendations on investments, that we have sought any independent advice we deem necessary to enable the investment into Dolphin Trust, and that we know, understand and appreciate the risks inherent with this investment. We also confirm that we assume all responsibility for these risks, that the investment might not be regulated by the Financial Services Compensation Scheme (FSCS) or the Financial Ombudsman Service (FOS), and that we cannot hold Pension Practitioner liable for any loss that may arise from the performance or failure of this investment.

Yours faithfully,



Geoffrey Owen Jones
Member Trustee of the Elljess Investments Ltd Executive Pension Scheme



Lisa Jane Jones
Member Trustee of the Elljess Investments Ltd Executive Pension Scheme

Outward Payment Instruction (Faster Payments & CHAPs)



Store

1. Customer details

Customer Name

Account Number

1	7	9	5	7	3	5	7
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2. Payment details

Payment Type

- ☒ Faster Payment (No Fee) *Faster Payment Maximum Value = £100K. Payments of a higher value must be sent via CHAPs*
- ☐ CHAPs (£25.00 Fee) *CHAPs Cut Off Time = 3PM. Payments received after this time will be processed Next Day*
- ☐ Account To Account Transfer

Amount (GBP)

	1	0	0	0	0	0	0	0
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 Date To Process

2	3	1	0	2	0	1	7
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Amount in Words

3. Beneficiary Information

Beneficiary Name

Beneficiary Sort Code

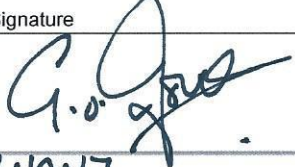
2	0	0	6	0	5
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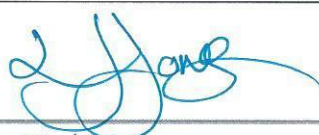
Beneficiary Account Number

8	0	0	0	7	8	7	0
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Payment Reference (if applicable)

4. Customer Signature

Authorised Signature 
Date:

Authorised Signature 
Date:

FOR INTERNAL USE ONLY - ID & V Confirmed

☐ Customer Photo ☐ Customer Signature ☐ 4Tress

☐ ID

Input By:
Signature:
Date:

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Authorised By:
Signature:
Date:

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301 OF 1.1 (05/12/11)