

THE M&S PENSION SCHEME –APPLICATION TO TRANSFER

Pension Scheme Transfer Declaration Form

To be completed by the receiving pension scheme.

1. Transferring scheme details

Name of Trustee	The Marks & Spencer Pension Trust Limited
Address for correspondence	Alexandra Court 200-220 The Quays Salford Quays Manchester M50 3SP
Member name	Geoffrey Owen Jones
National Insurance number	NB881096C
Total transfer payment	£1,005,304.02
Pre 97 non COSR Rights included (excess)	£622,088.47
Pre 97 COSR Rights included (GMP)	£64,554.12
Post 97 COSR Rights included	£318,661.43

2. Receiving scheme details

Name of Pension Scheme Provider:	PENSION PRACTITIONER.COM								
Name of Pension Scheme:	ELLJESS INVESTMENTS LTD EXECUTIVE PENSION SCHEME								
Address of Pension Scheme:	PENSION PRACTITIONER.COM								
	DAWS HOUSE, 33-35 PAWSLANE								
	LONDON, NW7 4SD								
HMRC Reference number:	00822333RA								
3. Details of account to which transfer value will be paid ELLJESS INVESTMENTS LTD EXECUTIVE PENSION SCHEME									
Account number:	1	7	9	5	7	3	5	7	
Account sort code:	2	3	-	0	5	-	8	0	
Account roll number:	N/A								
Payment reference:	MJS TRANSFER								

3. Declaration by Personal Pension Scheme ("the Scheme")

I have enclosed, or already provided, evidence of registration by Her Majesty's Revenue & Customs (HMRC) confirming that the Scheme is a Recognised Overseas Pension Scheme as defined under section 169 of the Finance Act 2004			
The Scheme is able and willing to accept the Total Transfer Value and will use it to provide money-purchase benefits for the member			
The transfer value * is / is not the only payment to the Scheme * delete as appropriate			
Pension benefits cannot be paid from the scheme before the member's 55th birthday			
Any and all payments to, or in respect of, members from the Scheme are limited as required under authorised payment rules			
The amount of any and all charges applied in connection with this transfer have been specifically and explicitly disclosed to Geoffrey Owen Jones			
The status of the Scheme as a Recognised Overseas Pension Scheme still applies, and I am not aware of any reason that this will change in the future			
If, as a direct result of the transfer, the Marks & Spencer Pension Scheme is forced to pay an unauthorised payment charge the Scheme will indemnify the Marks & Spencer Pension Scheme against such a charge			
I confirm that the above is true to the best of my knowledge and belief, and that I am authorised to provide this confirmation by the Scheme			
Signature:		Date:	
Name:		Position:	
Pension Provider's Official Stamp:			

3. Declaration by Occupational Pension Scheme ("the Scheme")

I have enclosed, or already provided, evidence of registration by Her Majesty's Revenue & Customs (HMRC) confirming that the Scheme is a Registered Pension Scheme as defined under section 153 of the Finance Act 2004

Geoffrey Owen Jones is a member of the Receiving Scheme and will be entitled to benefits in respect of the transfer payment as agreed between the member and the trustees of the Receiving Scheme.

Pension benefits cannot be paid from the scheme before the member's 55th birthday

Any and all payments paid to members from the Scheme are limited as required under the authorised payment rules

The level of charge applied has been specifically and explicitly disclosed to Geoffrey Owen Jones

The status of the Scheme as a Registered Pension Scheme still applies, and there is no reason that this will change in the future

If, as a direct result of the transfer, the Marks & Spencer Pension Scheme is forced to pay an unauthorised payment charge the Scheme will indemnify the Marks & Spencer Pension Scheme against such a charge

3a. Additional where the Scheme is Contracted Out Salary Related (COSR)

The transfer value includes Guaranteed Minimum Pension (GMP) of £ 28.04 per week for the purposes of the Pension Schemes Act 1993. The GMP will be revalued (tick appropriate box):

at fixed rate	<input type="checkbox"/>	NIA
in line with section 148 orders	<input type="checkbox"/>	NIA
at limited rate	<input type="checkbox"/>	NIA

I have enclosed a copy of the contracting-out certificate. NIA

Transfer benefits provided in respect of the post 97 COSR value in section 3 will be in the same form as those provided for the Scheme's own contracted-out members.

Receiving Scheme ECON:

NIA

Receiving Scheme SCON:

NIA

3. Declaration by Occupational Pension Scheme ("the Scheme") ctd

I confirm that the declarations and information provided in section 3 and, where applicable, 3a are true to the best of my knowledge and belief. I also confirm that I am authorised to provide this confirmation by the Scheme.

Signature:

B. M. Daves

Date:

09 DECEMBER 2016

Name:

BRAD DAVES

Position:

SCHEME
ADMINISTRATOR

Pension Provider's Official
Stamp:

Pension Practitioner .Com Ltd
Daws House, 33-35 Daws Lane,
London. NW7 4SD.

THE M&S PENSION SCHEME – APPLICATION TO TRANSFER OVERSEAS

Additional Member Declaration Form

To be completed by the member if the receiving scheme is registered outside the United Kingdom.

1. Member details

Full Name	Geoffrey Owen Jones	Employee number	00022380
Date of Birth	19 September 1963	NI number	NB881096C
Date of Transfer Quotation	13 September 2016	Cash Equivalent Transfer Value	£1,005,304.02
Date joined scheme	18 October 1982	Date left scheme	3 August 2002

2. Transferring scheme details

Name of Trustee	The Marks & Spencer Pension Trust Limited
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3. Overseas arrangement

Name of overseas arrangement*	
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*This must be the same as the name shown on the letter issued by Her Majesty's Revenue and Customs (HMRC) confirming that the overseas arrangement is a Qualifying Recognised Overseas Pension Scheme.

Your Standard Lifetime Allowance (SLA) Information

Please complete this section with the SLA details of any occupational or personal pensions other than your pension in the M&S Pension Scheme.

If you do not hold this information you should request it from your other pension provider(s).

For assistance in completing this form, please refer to the 'Notes about completing your retirement form'.

A) Please provide details of any pensions which began before 6 April 2006 below.

Pension Provider	Pension in Payment at 5 April 2006
1.	
2.	
3.	
4.	

B) Please provide details of any pensions which commenced on or after 6 April 2006 but before your retirement date in the M&S Pension Scheme.

Pension Provider	SLA %	Date of Retirement
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1.		
2.		
3.		
4.		

C) Please provide details of all pensions which commence on the same day as your Marks and Spencer retirement date. We will assume that all benefits listed below will be treated as if they are paid AFTER your M&S Pension Scheme benefit unless you advise otherwise.

Pension Provider	SLA %
1.	
2.	
3.	
4.	

D) Please provide details of any overseas Transfer Out that occurred on or after 6 April 2006 and before your retirement date in the M&S Pension Scheme.

Date of Transfer	Amount Transferred
1.	
2.	
3.	
4.	

E) Do you have any form of protection from the Standard Lifetime Allowance registered with HMRC (you would have registered for this directly with HMRC if your benefits were, or were expected to, exceed the SLA)?

YES/NO (Delete as applicable)

If yes then please provide a copy of the relevant HMRC certificate

4. Member Declaration

- a) By completing this declaration you will either be exercising your statutory option to use the cash equivalent of your accrued benefits under the transferring scheme for subscribing to other pension arrangements which satisfy prescribed requirements or for acquiring transfer credits allowed under the rules of another occupational scheme (Chapter IV, Part IV, Pension Schemes Act 1993), or exercising your option under the Rules of the Scheme to have a transfer made to another scheme instead of entitlement to preserved benefits under the scheme.

The cash equivalent relates to your employment between the dates shown above. An amount representing the minimum benefits you accrued whilst you were contracted-out of the earnings related part of the State Pension Scheme is included in the transfer amount.

I accept that the receiving arrangement may not be regulated in any way by the law of the United Kingdom and that, as a consequence, there may be no obligation on the receiving arrangement or its trustees or administrators to provide any particular value or benefit in respect of the transfer payment.

I confirm that I have received a statement from the receiving arrangement showing the benefits to be awarded in respect of the transfer payment and the conditions (if any) on which these could be forfeited or withheld.

I have completed the Standard Lifetime Allowance Form and understand that should a lifetime allowance charge be made by HMRC, the trustees will deduct the amount of the lifetime allowance charge from my benefits prior to the transfer being paid.

- b) Either (please tick the appropriate box):

- | | |
|---|--|
| i) I am transferring my benefits to an occupational pension scheme (i.e. an employer sponsored scheme) and I attach a letter from my current employer which confirms that this employment is related to this scheme | |
| ii) I am not transferring my benefits to an occupational pension scheme. | |

- c) I acknowledge that in complying with my requirement:

The trustees will be discharged from any obligation to provide benefits under the Marks and Spencer Pension Scheme to which the cash equivalent related;

The transfer will be in lieu of all rights to which I would otherwise be entitled under the scheme;

When the transfer has been made I, my family and dependants will no longer have any entitlement under the scheme in respect of the amount transferred; and

The trustees of the Marks and Spencer Pension Scheme will have no responsibility for the use and/or application of the assets transferred.

Signed:

Name:

Date:

THE M&S PENSION SCHEME – APPLICATION TO TRANSFER OVERSEAS

Overseas arrangement Declaration

To be completed by the receiving overseas arrangement.

1. Member details

Full Name	Geoffrey Owen Jones	Employee number	00022380
Date of Birth	19 September 1963	NI number	NB881096C
Date of Transfer Quotation	13 September 2016	Cash Equivalent Transfer Value	£1,005,304.02

2. Overseas arrangement

Name of overseas arrangement*	
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*This must be the same as the name shown on the letter issued by HM Revenue and Customs (HMRC) confirming that the overseas arrangement is a Qualifying Recognised Overseas Pension Scheme.

The arrangement is established in	
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3. Declaration

I confirm that the receiving arrangement is a Qualifying Recognised Overseas Pension Scheme and confirm that we will continue to meet the obligations of a Qualifying Recognised Overseas Pension Scheme and will inform HMRC if this changes
I enclose a copy of correspondence received from HMRC. (Please note that the transfer will not be able to proceed without this confirmation).
I confirm that we have issued the member with a statement from the Arrangement showing the benefits to be awarded in respect of the transfer payment and the conditions (if any) on which these could be forfeited or withheld.
I confirm that benefits in respect of this transfer will not be paid from the scheme to the member before they reach age 55 unless under specific permitted circumstances such as ill health.

Signed:

Printed Name:

**Signing
Capacity*:**

Date:

*as trustee or administrator of the arrangement