

Identity Verification Certificate – private individual

Introduction by PRA authorised and FCA and PRA regulated firm

1. DETAILS OF INDIVIDUAL (see explanatory notes below)

| | | | |
|------------|--|--|-------------------|
| First Name | <u>RICHARD</u> | Middle Name | <u>CAMERON</u> |
| Surname | <u>FILLAN</u> | Date of Birth | <u>08/10/1963</u> |
| Address | <u>53 TOTTIES</u> <u>TOTTIES</u> <u>HOLMARTH</u> | | |
| Postcode | <u>HD9 1UG</u> | Previous address if the individual has changed address in the last three months: | |
| | | | |

2. CONFIRMATION

I/we confirm that

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:
(tick only one)

- ☒ meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or
- ☐ exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

3. DETAILS OF INTRODUCING FIRM (or sole trader)

| | | | |
|---|-------------------------------------|-----------------|--|
| Full Name of Regulated Firm | <u>SAPPHIRE FINANCIAL SOLUTIONS</u> | | |
| Financial Services Register Number | <u>524 292</u> | | |
| Signed for and on behalf of aforementioned Regulated Firm | Name | | |
|  | <u>DAVID NICKLIN</u> | | |
| Position | Date | <u>04/08/17</u> | |
| | | | |

4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.