

Pension Scheme Return & Event Questionnaire

Information required for the pension scheme return

The scheme administrator is required to complete a pension scheme return for the tax year. We undertake this as part of our pension scheme service to the trustees. The return is over 11 pages long. In addition, there may be an event report due, presently there are over 16 different event reports that may arise.

We have condensed the information needed into a short questionnaire. Please check the appropriate box and return this form to us. If there are any parts of this form that you are unsure of please contact your scheme consultant.

Commencing on 6th April 2009 and ending on 5th April 2010

	Yes	No
1. Did the scheme have any interest tangible moveable property*		✓
2. Did the scheme own or dispose of any shares in the sponsoring employer		✓
3. Did the scheme acquire or own shares in an unquoted company, this excludes the sponsoring employer		✓
4. Did the scheme acquire any assets from a connected party** directly or indirectly	✓	✓
5. Did any member take funds from the scheme – other than as pension income		✓
6. Do you require a trustee meeting. If yes, this will be arranged on receipt of the completed questionnaire		✓

Notes:

*Tangible moveable property includes: Assets that you can touch and move, such as fine wines, machinery, works of art, assets that have a lifespan of less than 51 years e.g. patents.

**A connected party includes: A relative, a partner, co-director, or co trustee.

A connected party may also include a company that does not participate in the scheme but may be connected to you through the associations given above.

In order that we may prepare your benefit statement, we will require the total remuneration, inclusive of dividend income for each scheme member. Please give the name and remuneration below for income in respect of the tax year ending 5th April 2010.

K. R. PAINTER: NIL (poss. not a member as of 5/4)
F. G. HEMINGWAY: NIL (not a member as of 5/4)
S. G. HEMINGWAY: £9200

Scheme Name: FRESHFIELD ANALYSIS LTD PENSION SCHEME

Signed: A. G. Hemingway Date: 9 SEP 10.

Please return this form to Pension Practitioner .Com Limited at:

Daws House
33-35 Daws Lane
London
NW7 4SD

You can also email this form with other paperwork we may have requested you to provide in the cover letter to: post@pensionpractitioner.com

NB No UK shares held in
the Scheme, except:

SVG Capital : 12021 shares

Shroders NV : 7336 shares

Both held directly (not ~~through~~ via a broker).

Tax Statement from broker attached —
US tax years end in Dec 31, same as the
accounting year for the trust.

**CORPORATE
CUSTOMER SERVICES
24 HOUR SERVICE
0845 850 5808**

STATEMENT OF ACCOUNT

LONDON CHIEF OFFICE,
PO BOX 54873, LONDON SW1Y 5WX
Tel: n/a

Branch Code 12-01-03
Account No. 00340004
From 01APR09
To 30APR09
Statement No. 54
Page No. 1 of 1



47700/122 0103/023925/0001/0001

Trustees Freshfields Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

Posted 7/5/09

ACCOUNT NAME : FRESHFIELDS ANALYSIS PS I R

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04

CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			19679.50
18APR	FICM S J HEMINGW TRN U106008434 33	17005.94		2673.56
23APR	CP-PETER BRYAN + KATHIE PAINTER 32 77889871501		10417.00	13090.56
30APR	GROSS CREDIT INTEREST TO 30APR 31 FIL INV SVCS LTD 1000170788 27		7.57 125370.00	138468.13

Total Withdrawals
Total Pay-Ins

£17005.94
£135794.57



STATEMENT OF ACCOUNT

LONDON CHIEF OFFICE,
PO BOX 54873, LONDON SW1Y 5WX
Tel: n/a

Branch Code 12-01-03
Account No. 00340004
From 01MAY09
To 29MAY09
Statement No. 55
Page No. 1 of 1

47700/133 0103/028098/0001/0001

Trustees Freshfields Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

ACCOUNT NAME : FRESHFIEDS ANAYSIS PS I R

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04

CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			138468.13
07MAY	URB091270007132 TRN U127007285 - <i>35 26 28 26</i>	138030.00		438.13
12MAY <i>34</i>	FRESHFIELD ANALYSI SCHRODER DIVS <i>34</i>		1540.58	1978.69
21MAY	CP-PETER BRYAN + KATHIE PAINTER <i>35</i> 77889893969		10417.00	12395.69
29MAY	GROSS CREDIT INTEREST TO 29MAY <i>36</i>		19.38	12415.07

Total Withdrawals £138030.00
Total Pay-ins £11976.94

CORPORATE
CUSTOMER SERVICES
24 HOUR SERVICE
0845 850 6808

STATEMENT OF ACCOUNT

LONDON CHIEF OFFICE,
PO BOX 54873, LONDON SW1Y 5WX
Tel: n/a

Branch Code 12-01-03
Account No. 00340004
From 30MAY09
To 30JUN09
Statement No. 56
Page No. 1 of 1

66500/131 0103/025754/0001/0001

Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

Not yet posted

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04

CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			12415.07
28JUN	CP-PETER BRYAN + KATHIE PAINTER 37 77880825239		10417.00	22832.07
30JUN	GROSS CREDIT INTEREST TO 30JUN 38		7.20	22839.33

Total Withdrawals
Total Pay-Ins

£0.00
£10424.26



STATEMENT OF ACCOUNT

056977 /66500/T252
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF



Branch Code 12-01-03
Account No. 00340004
From 01JUL09
To 31JUL09
Statement No. 57
Page No. 1 of 1

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			22839.33
22JUL	F C T S J HEMING TRN U203007311	22442.56		396.77
31JUL	GROSS CREDIT INTEREST TO 31JUL		9.01	405.78

10
41
—

Total Withdrawals £22442.56
Total Pay-Ins £9.01





STATEMENT OF ACCOUNT

039328/66500/T411
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knobworth
Hors
SG3 6PF



Branch Code 12-01-03
Account No. 00340004
From 01AUG09
To 28AUG09
Statement No. 58
Page No. 1 of 1

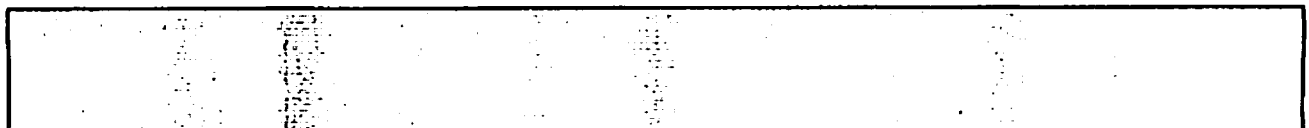
ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			405.78
05AUG	CP-PETER BRYAN + KATHIE PAINTER 42 77889858649		10417.00	10822.78
21AUG	FCT SJ HEMINGWAY TRN U233004829 43	9289.10		1533.68
20AUG	CP-PETER BRYAN + KATHIE PAINTER 44 77889877504		10417.00	11950.68
28AUG	GROSS CREDIT INTEREST TO 28AUG 45		3.68	11954.36

Total Withdrawals
Total Pay-Ins

£9289.10
£20837.68





STATEMENT OF ACCOUNT

025753 /66500/T127
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF



Branch Code 12-01-03
Account No. 00340004
From 29AUG09
To 30SEP09
Statement No. 59
Page No. 1 of 1

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			11954.36
28SEP	CP-PETER BRYAN A KATHIE PAINTER 146 77889804599		10417.00	22371.36
29SEP	DIV' SCHROEDERS PLC NON-VOTING ORDIN 47		733.60	23104.96
30SEP	GROSS CREDIT INTEREST TO 30SEP 48		7.41	23112.37 ✓

Total Withdrawals £0.00
Total Pay-ins £11158.01

We have written separately to advise you that the Terms & Conditions on this account will be updated to reflect the Payment Services Regulations (PSRs) introduced on 1.11.09. PSRs will regulate payment services throughout the EU & EEA. Read your new T&Cs by visiting www.bankofscotland.co.uk/termsandconditions

International Debit Card Transactions: The exchange rate shown includes a conversion fee of 2.75% of the amount of the transaction
Bank of Scotland plc. Registered in Scotland number SC327000. Registered Office: The Mound, Edinburgh EH1 1YZ.
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CORPORATE
CUSTOMER SERVICES
24 HOUR SERVICE
0045 050 5000

STATEMENT OF ACCOUNT

056514/66500/T250
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

Branch Code 12-01-03
Account No. 00340004
From 01OCT09
To 30OCT09
Statement No. 60
Page No. 1 of 1

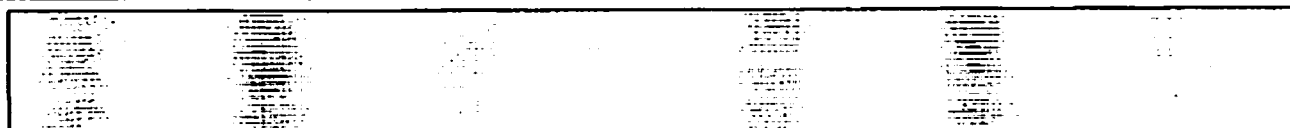


ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			23112.37
08OCT	F C T S J HEMING TRN U281007079 4.9	21575.83		1536.54
29OCT	CP-PETER BRYAN + KATHIE PAINTER 50 77889830802		10417.00	11953.54
30OCT	GROSS CREDIT INTEREST TO 30OCT 51		4.08	11957.62 ✓

Total Withdrawals £21575.83
Total Pay-Ins £10421.08



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CORPORATE
CUSTOMER SERVICES
24 HOUR SERVICE
0845 050 5808

STATEMENT OF ACCOUNT

024115/66500/T123
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

Branch Code 12-01-03
Account No. 00340004
From 31OCT09
To 30NOV09
Statement No. 61
Page No. 1 of 1

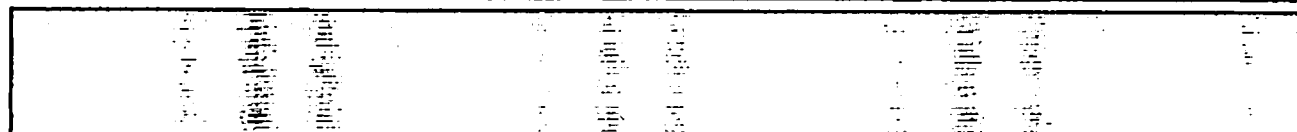


ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			11957.62
20NOV	F/C/T SJ HEMINGW TRN U324007911 52	9907.13		2050.49
30NOV	GROSS CREDIT INTEREST TO 30NOV 53		4.84	
	CP-PETER BRYAN + KATHIE PAINTER 54			
	77889866098		10417.00	12472.33

Total Withdrawals £9907.13
Total Pay-ins £10421.84



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CORPORATE
CUSTOMER SERVICES
24 HOUR SERVICE
0845 050 5808

STATEMENT OF ACCOUNT

060622 /66500/T263
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF



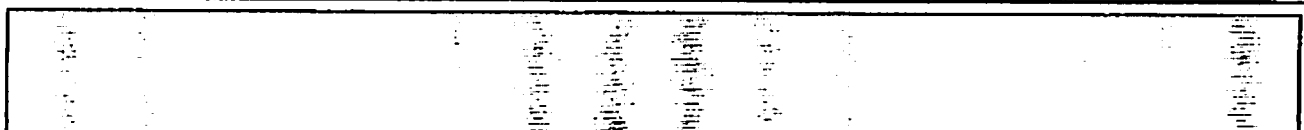
Branch Code 12-01-03
Account No. 00340004
From 01DEC09
To 31DEC09
Statement No. 62
Page No. 1 of 1

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2009	BALANCE BROUGHT FORWARD			12472.33
21DEC	CP-PETER BRYAN + KATHIE PAINTER 77889888327 55		10417.00	22889.33
31DEC	GROSS CREDIT INTEREST TO 31DEC 56		8.74	22898.07 ✓

Total Withdrawals £0.00
Total Pay-Ins £10425.74





STATEMENT OF ACCOUNT

027470/66500/T130
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF



Branch Code 12-01-03
Account No. 00340004
From 01JAN10
To 29JAN10
Statement No. 63
Page No. 1 of 1

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2010	BALANCE BROUGHT FORWARD			22898.07
28JAN	CP-PETER BRYAN + KATHIE PAINTER 77889814339 2		10417.00	33315.07
29JAN	GROSS CREDIT INTEREST TO 29JAN 4		12.01	33327.08

Total Withdrawals £0.00
Total Pay-ins £10429.01



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STATEMENT OF ACCOUNT

038881/66500/T321
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knebworth
Herts
SG3 6PF

Branch Code 12-01-03
Account No. 00340004
From 30JAN10
To 26FEB10
Statement No. 64
Page No. 1 of 1



ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

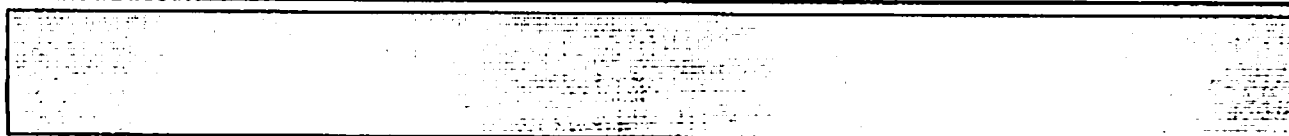
BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-Ins	Balance (DR = Overdrawn)
2010	BALANCE BROUGHT FORWARD			33327.08
26FEB	GROSS CREDIT INTEREST TO 26FEB 5		16.62	33343.70

*further
no credits up to 4/3/10.*

two

Total Withdrawals	£0.00
Total Pay-ins	£16.62





STATEMENT OF ACCOUNT

023747 /66500/T119
Trustees Freshfield Analysis
Pension Scheme
Caxton Villa
Park Lane
Knobworth
Herts
SG3 6PF



Branch Code 12-01-03
Account No. 00340004
From 27FEB10
To 31MAR10
Statement No. 65
Page No. 1 of 1

ACCOUNT NAME : FRESHFIELD ANALYSIS LTD PS

BIC : BOFSGB21254
IBAN : GB58 BOFS 1201 0300 3400 04
CORPORATE CURRENT ACCOUNT

Date	Description	Withdrawals	Pay-ins	Balance (DR = Overdrawn)
2010	BALANCE BROUGHT FORWARD			33343.70
17MAR	CP-PETER BRYAN + ALIINDA - KATHIE 77889853492 6		10417.00	43760.70
18MAR	010013 7	30000.00		13760.70
19MAR	CP-PETER BRYAN + REF ALIINDA-KATHIE PA INTER 8		10417.00	24177.70
29MAR	DIV* SCHRODERS PLC SCH/C0000177547 9		1540.58	25718.26
31MAR	GROSS CREDIT INTEREST TO 31MAR 10.		17.53	25735.79

Total Withdrawals
Total Pay-ins

£30000.00
£22392.09



International Debit Card Transactions: The exchange rate shown includes a conversion fee of 2.75% of the amount of the transaction.
Bank of Scotland plc. Registered in Scotland number SC327000. Registered Office: The Mound, Edinburgh EH1 1YZ.
Authorised and regulated by the Financial Services Authority.

Form 1042-S Department of the Treasury Internal Revenue Service		Foreign Person's U.S. Source Income Subject to Withholding <div style="display: flex; justify-content: space-around; align-items: center;"> <div> <input type="checkbox"/> AMENDED </div> <div> <input type="checkbox"/> PRO-RATA BASIS REPORTING </div> </div>						2009		OMB No. 1545-0096 Copy B for Recipient									
1	Income code	2	Gross income	3	Withholding allowances	4	Net income	5	Tax rate	6	Exemption code	7	U.S. Federal tax withheld	8	Withholding by other agents	9	Total withholding credit	10	Amount repaid to recipient
	01		0.97		0.00		0.00		0.00		05		0.00		0.00		0.00		0.00
	08		2,299.46		0.00		0.00		15.00				344.87		0.00		0.00		0.00
	34		1,191.36		0.00		0.00		30.00				161.84		0.00		0.00		0.00

11 Withholding agent's EIN > 36-4390795 <input checked="" type="checkbox"/> EIN <input type="checkbox"/> QI - EIN	22 Recipient's account number (optional) 70490560 13b Recipient code 05
12 WITHHOLDING AGENT'S name and address (including ZIP code) OPTIONSPRESS, INC 311 WEST MONROE STREET SUITE 1000 CHICAGO, IL 60606-4661	13 RECIPIENT'S name, street address, city or town, province or state, and country STEPHEN JOHN HEMINGWAY AND KATHARINE ROSALIND PAINTER TTEE UA DTD 08/21/1995 CAXTON VILLA PARK LANE
14 Recipient's U.S. TIN, if any > <input type="checkbox"/> SSN or ITIN <input type="checkbox"/> EIN <input type="checkbox"/> QI - EIN	15 Recipient's foreign tax identifying number, if any
16 Country code UK	
17 NONQUALIFIED INTERMEDIARY'S (NQI'S)/FLOW-THROUGH ENTITY'S name	18 Country code
19 NQI's/Flow-through entity's address	20 NQI's/Flow-through entity's U.S. TIN, if any > 21 PAYER'S name and TIN (if different from withholding agent's)
	<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> 23 State income tax withheld </div> <div style="width: 30%;"> 24 Payer's state tax no. </div> <div style="width: 30%;"> 25 Name of state </div> </div>

PAGE 1

OPTIONSPRESS, INC.
311 WEST MONROE STREET SUITE 1000
CHICAGO, IL 60606-4661

** NON-EXEMPT **

DEC 31, 2009

0670 02 67507

SUBSTITUTE 1099 STATEMENT

TAX ID G67-06-7507
PAYER 36-4390795

FRESHFIELD ANALYSIS LTD
PENSION SCHEME
CAXTON VILLA
PARK LANE KNEBWORTH
HERTS ENGLAND SG3 6PP
UNITED KINGDOM

1099B PROCEEDS: BROKER TRANS

1099-B PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS -OMB.1545-0715
-REGULATED FUTURES CONTRACTS-

	Converted Amt.
8. -- Profit/(Loss) Realized on Futures Contracts in USD for 2009	85,453.18
*** Total Reported for Line 8 in USD for 2009	85,453.18
10. Total Unrized P/L Converted to USD on Futures..... -12/31/09	17,870.00
*** Total Reported for Line 10 in USD for 2009	17,870.00
11. Aggregate Profit or (Loss) from lines 8, 9 and 10	103,323.18

* * * END OF 1099-B STATEMENT (REGULATED FUTURES CONTRACTS) * * *
