

Hewitt Associates Outsourcing Limited
Prospect House
Abbey View
St. Albans AL1 2QU
Tel +44 (0) 1727 888200 Fax +44 (0) 1727 888333
www.hewitt.com/uk

Hewitt

Mr B Davis
Freshfield Analysis Pension Scheme
Pension Practitioner
Daws House
33-35 Daws Lane
London
NW7 4SD

5 August 2010

Dear Mr Davis

Citigroup Global Markets Ltd Pension & Life Assurance Scheme (PLAS)
Ms K Painter – NI Number WK952694A

I am writing in response to your recent letter regarding Ms Painter's transfer out from the above Scheme.

Please find attached a copy of the correspondence we have issued to Ms Painter today.

I trust this is satisfactory, however if you have any further queries please do not hesitate to contact us at the address above. Alternatively, you can email citi.uk.mailbox@hewitt.com or call Citi Member Services on 0870 843 5000.

For and on Behalf of the Trustees of the Citigroup Global Markets Ltd Pension & Life Assurance Scheme (PLAS)

Yours sincerely,



Alex Palmer
Hewitt Associates Outsourcing Limited
DDI: 0870 843 5000
FAX: 0870 843 6000

Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS)

Application for Transfer – Non Protected Rights

My details

Full name:	Ms K Painter		
NI Number:	WK952694A	Date of birth:	19 March 1960
The transfer value:	£385,966.00	Statement date:	30 April 2010

My instructions to the Trustees

I want to accept the benefits that my new scheme/pension arrangement has offered me in return for the transfer value from the above Scheme.

I understand that if you are not satisfied that the receiving scheme/pension arrangement meets the prescribed requirements under Pension Schemes Act 1993; the transfer will not take place.

I agree to the Trustees of Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) paying the transfer value to my new scheme/pension arrangement.

If the receiving scheme/pension arrangement is an occupational pension scheme, I confirm that I am still an employee of the employer detailed below.

New scheme type:

(please tick one)

Occupational Pension Scheme

☐

Buy-out Policy
(Section 32)

☐

Personal Pension
(including stakeholder)

☐

Name of employer (if receiving scheme is an occupational scheme):

Occupation:

Name of new scheme:

Address of new scheme:

Postcode

I understand that the benefits resulting from the receiving scheme/pension arrangement may be either more or less than those which would otherwise have been payable under the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS). I also understand that once you have paid the transfer value to my new scheme/pension arrangement, the Trustees of the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) will not owe me, my family or dependants any benefits in the Scheme.

***Citigroup Global Markets Limited Pension & Life Assurance
Scheme (PLAS)***

Application for Transfer (contd) – Non Protected Rights

My signature:

Date:

Address:

<div></div>

Postcode

Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS)

Application for Transfer – Protected Rights

My details

Full name:	Ms K Painter		
NI Number:	WK952694A	Date of birth:	19 March 1960
The transfer value:	£697,830.00	Statement date:	30 April 2010

My instructions to the Trustees

I want to accept the benefits that my new scheme/pension arrangement has offered me in return for the transfer value from the above Scheme.

I understand that if you are not satisfied that the receiving scheme/pension arrangement meets the prescribed requirements under Pension Schemes Act 1993; the transfer will not take place.

I agree to the Trustees of Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) paying the transfer value to my new scheme/pension arrangement.

If the receiving scheme/pension arrangement is an occupational pension scheme, I confirm that I am still an employee of the employer detailed below.

New scheme type:

Occupational Pension Scheme

☐

Buy-out Policy
(Section 32)

☐

Personal Pension
(including stakeholder)

☐

(please tick one)

Name of employer (if receiving scheme is an occupational scheme):

Occupation:

Name of new scheme:

Address of new scheme:

Postcode

I understand that the benefits resulting from the receiving scheme/pension arrangement may be either more or less than those which would otherwise have been payable under the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS). I also understand that once you have paid the transfer value to my new scheme/pension arrangement, the Trustees of the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) will not owe me, my family or dependants any benefits in the Scheme.

Application for Transfer (contd) – Protected Rights

--

Postcode

Postcode

*Citigroup Global Markets Limited Pension & Life Assurance
Scheme (PLAS)*

**Receiving Scheme/Pension Arrangement Details Form
Non Protected Rights**

To be completed by the receiving scheme/pension arrangement.

TO: The Trustees of the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) ("the Scheme")

Full name: Ms K Painter

NI Number: WK952694A

Date of birth: 19 March 1960

Receiving scheme/pension arrangement details

Name of scheme or arrangement:

Type of scheme or arrangement:

Name of employer (if an occupational pension scheme):

Date member's employment commenced (if an occupational pension scheme):

HMRC registration number:

ECON:

ASCON:

SCON:

Date contracted-out:

Rate of revaluation of GMP:

Fixed

☐

Section 148

☐

COMP

☐

COMB

☐

Bank/Building Society – payment instructions

Name of Account Holder:

Account number:

Sort code:

Name of Bank or Building Society:

Branch address:

Postcode:

Roll number: (for Building Societies only):

Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS)

Receiving Scheme/Pension Arrangement Details Form (contd) Non Protected Rights

Please tick appropriate box(es)

- ☐ In respect of the member's request to you to pay a transfer payment to the receiving scheme/pension arrangement, we confirm that the receiving scheme/pension arrangement is registered under the Finance Act 2004. **Please send us a copy of your scheme's registration letter. Alternatively you can provide a current print out from HMRC's pension schemes online.**
- ☐ If accrued rights to guaranteed minimum pensions are to be transferred, the receiving scheme/pension arrangement can accept a transfer payment in respect of these rights under the Contracting Out (Transfer and Transfer Payment) Regulations 1996. Please send us a copy of your Contracting Out Certificate.
- ☐ If protected rights are transferred, the receiving scheme/pension arrangement can accept a transfer in respect of these rights under the Protected Rights (Transfer Payments) Regulations 1987. Please send us a copy of your Contracting Out Certificate.
- ☐ If the receiving scheme/pension arrangement is an occupational pension scheme, we can confirm that the above member is currently employed by the employer.
- ☐ I hereby give the Trustees of Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) authority to check the validity of the receiving scheme/pension arrangement with HMRC.

**Signed
for and on behalf of
the trustees of the
receiving
scheme/pension
arrangement:**

Date:

Name of Signatory:
*(in BLOCK
CAPITALS)*

Receiving Scheme/Pension Arrangement Details Form Protected Rights

TO: The Trustees of the Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) ("the Scheme")

NI Number:	WK952694A	Date of birth:	19 March 1960
-------------------	-----------	-----------------------	---------------

Rate of revaluation of GMP:	Fixed	Section 148	COMP	COMB
------------------------------------	--------------	--------------------	-------------	-------------

[illegible]

***Citigroup Global Markets Limited Pension & Life Assurance
Scheme (PLAS)***

Receiving Scheme/Pension Arrangement Details Form (contd)

Protected Rights

Please tick appropriate box(es)

- ☐ In respect of the member's request to you to pay a transfer payment to the receiving scheme/pension arrangement, we confirm that the receiving scheme/pension arrangement is registered under the Finance Act 2004. **Please send us a copy of your scheme's registration letter. Alternatively you can provide a current print out from HMRC's pension schemes online.**
- ☐ If accrued rights to guaranteed minimum pensions are to be transferred, the receiving scheme/pension arrangement can accept a transfer payment in respect of these rights under the Contracting Out (Transfer and Transfer Payment) Regulations 1996. Please send us a copy of your Contracting Out Certificate.
- ☐ If protected rights are transferred, the receiving scheme/pension arrangement can accept a transfer in respect of these rights under the Protected Rights (Transfer Payments) Regulations 1987. Please send us a copy of your Contracting Out Certificate.
- ☐ If the receiving scheme/pension arrangement is an occupational pension scheme, we can confirm that the above member is currently employed by the employer.
- ☐ I hereby give the Trustees of Citigroup Global Markets Limited Pension & Life Assurance Scheme (PLAS) authority to check the validity of the receiving scheme/pension arrangement with HMRC.

**Signed
for and on behalf of
the trustees of the
receiving
scheme/pension
arrangement:**

Date:

Name of Signatory:
*(in BLOCK
CAPITALS)*
