



novia

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Strictly Private & Confidential

Mr Lockton
108 Boot Hill
Atherston
Warwicks
CV9 2EN

See attached.

01 April 2016

Dear Mr Lockton

Re: Novia Investor number 141049

We have recently received a request to transfer your pension from The Novia SIPP to the GC Decorators Yorkshire Ltd Pension Scheme.

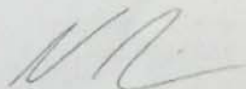
In order to safeguard our clients pensions, Novia conduct due diligence checks on pension schemes to which we have been asked to process a transfer to and we are not familiar with.

Your pension with Novia will remain invested and protected until we satisfy ourselves that we can safely process your transfer request.

To assist us in processing your request we would be grateful if you would complete and return the enclosed questionnaire.

If you have any queries or would like to discuss this matter further please call our Client Services team on 0845 680 8000.

Yours sincerely



Nick Raine
Head of Operations

Pension Transfer Questionnaire

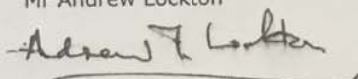
Re: Novia Investor number 141049

Please answer the following questions providing as much detail as you can:

- 1) What is your current employment status?
- 2) What is the name of your current employer?
- 3) How is your employer related to the GC Decorators Yorkshire Ltd Pension Scheme?
- 4) Your pension has been held with Novia for 2 years 5 months. Please could you provide us with comments as to the reason for transferring away after such a short period of time?
- 5) What additional benefits might be available from the GC Decorators Yorkshire Ltd Pension Scheme which are not available from Novia e.g. lump sum payments, personal loans, investment options?
- 6) Please provide the business name and contact details for the company that has advised you to transfer to the GC Decorators Yorkshire Ltd Pension Scheme?

Name: Mr Andrew Lockton

Signed:



Date:

5/4/16

Please return the completed form in the enclosed envelope to: Compliance Department, Novia Client Services, PO Box 4328, Bath, BA1 0LR (a prepaid envelope is enclosed).