



Processing Centre
Venture Wales Building
Merthyr Tydfil Industrial Park.
Pentrebach
CF48 4DR

Mr John Heather
Greenways
Brackley Avenue
Hook
Hampshire
RG27 8QX

Date: 10 March 2020

Dear John,

Gemini Petfoods Pension Scheme

Please find enclosed an account opening form for signing by you.

I have penciled in the sections to be completed. If Mrs Heather also wishes to have her own trading account, with her own separate logins I can set this up if you wish.

If you could return this to me and I will complete the formalities at this end. Once the account is opened we will send to you an activation code and you will then be free to deposit funds into your II account. There is no charge for this service by us and you will trade directly with Interactive Investors.

Kind regards

Yours sincerely

G A McCloskey
For Retirement Capital

*Please sign page 2 of 7
of the Application Form.

DEAR GAVIN

21/3/20

P2Z BKE ENCLOSED

REGARDS

Important information

- The Scheme Trustee, Scheme Administrator and Member must complete this form and sign the relevant Declaration in section 5 or 6.
- Please take the time to review our current Terms of Service, including the Pension Trading Account Terms of Service before completing your application. Our Terms are available online at ii.co.uk/legal
- If you have any questions, please get in touch via www.ii.co.uk/contact-us

Definitions

- The following definitions contained in this form shall have the same meaning given to these in our Terms and/or any agreement between yourself and Interactive investor:
 - i **The Scheme Trustee** (also known as the Corporate Trustee) is responsible for ensuring that the Pension Scheme is run in accordance with the Trust Deed and also for the security of the Pension Scheme assets.
 - ii **The Scheme Administrator** is responsible to HM Revenue & Customs (HMRC) for the Member's Pension Scheme.
 - iii **The Member** is the beneficial owner of the Pension Trading Account and the investments held in the Account through their membership of the Pension Scheme.

1. Scheme and Trustee details (to be completed by the Scheme Trustee)

Scheme name

Account number

Pension Scheme Tax Reference (PSTR)

Trustee name

Registered office address

Email

Phone number

Mobile number

Primary contact for all correspondence

Please tick to confirm you have included a copy of the scheme deeds with this application.

☐

For Small Self-Administered Schemes (SSAS) with 2 or more members please provide the Legal Entity Identifier below.

2. Scheme Administrator details (to be completed by the Scheme Administrator)

Administrator name

Registered office address

Email

Phone

FCA Registration Number

3. Member details (to be completed by the first or sole beneficial owner of the PTA)

Important information

- Please complete all parts of this Section and provide full details of the source of funds / wealth by ticking the appropriate boxes. Please note that we will be unable to process your application without this information.
- If there is more than one beneficial owner of the account, please record the personal details of the first Member below and use the Pension Trading Account - Additional Members Application Form to record the details of all other beneficial owners.
- Each beneficial owner must sign the Member Declaration in Section 6 of this form. **↓ Please Complete.** Each Member must sign the Member Declaration in Section 2 of the Pension Trading Account - Additional Members Application Form.

Title

X

Date of Birth

X

First name(s)

X

Place of birth (Town and Country)

X

Surname

X

Nationality (please state all if more than one)

X

National Identifier (for all Nationalities) see page 7 for guidance

Tax Identification Number

Address

Previous address (if less than 3 years at current address)

Email

Phone number

Mobile number

Occupation (if retired please state previous occupation)

Industry of work

What is the source of funds/wealth which will be used to fund the account?

Savings

☐

Salary

☐

Maturing Investments

☐

Sale of shares

☐

Sale of property

☐

Sale of company

☐

Inheritance

☐

Loan

☐

Gift

☐

Company Profits

☐

Other (please state)

What will be the value of monthly deposits you make into the account?

£1 - £1,000

☐

£1,001 - £50,000

☐

£50,001 - £250,000

☐

£250,001 or more

☐

How many trades will be placed on the account each month?

0 - 5

☐

6 - 20

☐

21 - 50

☐

51 or more

☐

What will be the estimated value of the portfolio in the account?

£0 - £10,000

☐

£10,001 - £50,000

☐

£50,001 - £250,000

☐

£250,001 or more

☐

4. Your nominated bank account (to be completed by the Scheme Trustee or Administrator)

Important information

- To proceed with your Pension Trading Account (PTA) application you'll first need to register your nominated bank account details with us. You can register either one bank account for all payments to and withdrawals from the PTA or two bank accounts: one for payments and one for withdrawals.
- For each bank account you wish to register with us please provide the following two documents:
 - i A paying-in slip, bank statement or voided cheque; and
 - ii The current Authorised Signatory List for the account signed by a minimum of two authorised signatories

Rules on payments and withdrawals

- We can only accept payments and withdrawal requests made by the Scheme Trustee or Scheme Administrator (The Pension Operator)
- Payments can only be made from a bank account you have previously registered with Interactive investor and that account must be held in the name of the Pension Scheme.
- Withdrawals can only be made to a bank account you have previously registered with Interactive investor and that account must be held in the name of the Pension Scheme.

Your nominated bank account for payments

Name of account holder

Account number

Sort code

Reference

Your nominated bank account for withdrawals

If you will be using the same nominated bank account for all payments and withdrawals, please tick this box

☐

To register a different nominated bank account for withdrawals, please provide the bank details below.

Name of account holder

Account number

Sort code

Reference

5. Scheme Trustee and Scheme Administrator Declaration

- We wish to apply for a Interactive investor Pension Trading Account.
- We confirm that we have been given the opportunity to read and consider the Interactive investor Pension Trading Account Terms of Service that will form the contract between us and upon which Interactive investor intend to rely, for our own benefit and protection. We should read these Terms carefully before starting to trade. We can ask for further information if we do not understand at any point.
- We understand that Interactive investor are not obliged to accept our application and do not need to give reasons for not proceeding with our application.
- Responsibility for ensuring that investments in the pension comply with HM Revenue & Customs guideline rests with the Scheme Trustee(s). Interactive investor are not responsible or liable for rectifying any trades in non-compliant investments.
- We confirm that our permanent address is not outside the United Kingdom.
- We undertake to notify you promptly of any changes to the details supplied.
- We confirm that we have included all deeds for the scheme and agree to provide any further supplemental deeds as and when they are created
- I/we consent to the use of my/our personal information as set out in Interactive Investor's Privacy Policy.

Scheme Trustee Signatory

Name

Signature

Signature

Scheme Administrator Authorised Signatory

Name

Signature

Signature

6. Member declaration (to be completed by the first or sole beneficial owner of the PTA)

- I confirm that I have been given the opportunity to read and consider the Interactive investor Pension Trading Account Terms of Service that will form the contract between us and upon which Interactive investor intend to rely. For my own benefit and protection I should read these Terms carefully before starting to trade. I can ask for further information if I do not understand any point.
- I/we consent to the use of my/ our personal information as set out in interactive investor's Privacy Policy.
- I confirm that I am 18 years of age or over and the information given is true and correct to the best of my knowledge and belief.
- If you would like the authority to place trades and give instructions on the PTA please tick this box ☒

Sole or first member

Name

Signature

X X

Date

X / /

Please return your completed form and return this, together with your completed Pension Trading Account - Additional Members Application Form (if applicable) to:

Product Administration, interactive investor, Exchange Court, Duncombe Street, Leeds, LS1 4AX

Guidance on National Identifiers

As part of MiFID II legislation, we are required to record your 'National Identifier'. This could take a number of different forms depending on your nationality. Please see the below list of National Identifiers to help you identify what yours is. N.B. If you have more than one nationality, please provide a National Identifier for each of them.

Country Name	1st Priority Identifier	2nd Priority Identifier
Austria	(No National Identifier required)	
Belgium	Belgian National Number (Numéro de registre national – Rijksregister-nummer)	
Bulgaria	Bulgarian Personal Number	
Cyprus	National Passport Number	
Czech Republic	National identification number (Rodné číslo)	Passport Number
Germany	(No National Identifier required)	
Denmark	Personal identity code: 10 digits alphanumerical: DDMMYYXXXX	
Estonia	Estonian Personal Identification Code (Isikukood)	
Spain	Tax identification number (Código de identificación fiscal)	
Finland	Personal identity code	
France	(No National Identifier required)	
United Kingdom	UK National Insurance number	
Greece	10 DSS digit investor share	
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	
Hungary	(No National Identifier required)	
Ireland	(No National Identifier required)	
Iceland	Personal Identity Code (Kennitala)	
Italy	Fiscal code (Codice fiscale)	
Liechtenstein	National Passport Number	National Identity Card Number
Lithuania	Personal code (Asmens kodas)	National Passport Number
Luxembourg	(No National Identifier required)	
Latvia	Personal code (Personas kods)	
Malta	National Identification Number	National Passport Number
Netherlands	National Passport Number	National Identity Card Number
Norway	11 digit personal id (Foedselsnummer)	
Poland	National Identification Number (PESEL)	Tax Number (Numer identyfikacji podatkowej)
Portugal	Tax number (Número de Identificação Fiscal)	National Passport Number
Romania	National Identification Number (Cod Numeric Personal)	National Passport Number
Sweden	Personal identity number	
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	
Slovakia	Personal number (Rodné číslo)	National Passport Number
All other countries	National Passport Number	