

## Identity Verification Certificate – private individual

Introduction by PRA authorised and FCA and PRA regulated firm

### 1. DETAILS OF INDIVIDUAL (see explanatory notes below)

First Name	<u>Michael</u>	Middle Name	<u></u>
Surname	<u>Elwin</u>	Date of Birth	<u>26 Oct 1963</u>
Address	<u>12 Hinsley Close</u> <u>Arnold</u> <u>Nottingham</u>		
Postcode	<u>NG5 7LD</u>	Previous address if the individual has changed address in the last three months: <u></u>	

### 2. CONFIRMATION

#### I/we confirm that

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:

(tick only one)

☒ meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or

☐ exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

### 3. DETAILS OF INTRODUCING FIRM (or sole trader)

Full Name of Regulated Firm	<u>Sapphire Financial Solutions</u>
Financial Services Register Number	<u>524 292</u>
Signed for and on behalf of aforementioned Regulated Firm	Name
	<u>David Nicklin</u>
Position	Date <u>25 March 2015</u>

### 4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.