

Confirmation of verification of identity – private individual

Introduction by an FSA-regulated firm

1. DETAILS OF INDIVIDUAL *(see explanatory notes below)*

First Name	MARK	Middle Name	—
Surname	NOAKES	Date of Birth	23 / 08 / 1963
Address	8-9 EAST GREEN COTTAGES BENTLEY, FARNHAM SURREY		
Postcode	GU10 5JQ		
	Previous address if the individual has changed address in the last three months: —		

2. CONFIRMATION

I/we confirm that

- the information in section 1 above was obtained by me/us in relation to the Customer;
- the evidence I/we obtained to verify the identity of the Customer:
(tick only one)

☐ meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or

☐ exceeds the standard evidence *(written details of the further verification evidence taken are attached to this confirmation).*

3. DETAILS OF INTRODUCING FIRM *(or sole trader)*

Full Name of Regulated Firm (or sole trader)

FSA Reference Number

Signed for and on behalf of a Regulated Firm

Name

Position

Date

4. EXPLANATORY NOTES

- A separate confirmation must be completed for each Customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the Customer, the identity of that person must be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any Customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.

SIGNED as a deed, and delivered when
dated, by (signature) (signature)

MARK NOAKES in the presence of:

Witness

Signature

A. H. HITHERSAY

:

Name :

A. H. HITHERSAY

Address :

92 FOREST ROAD
LISS FOREST
HAMPSHIRE
GU33 7BP