



### **Important information (all sections are mandatory)**

Please read this along with your Terms of Service. Definitions contained on this form shall have the same meaning given to them in the 'Terms' and/or any agreement between you and us.

#### **Who completes this application?**

The Scheme Trustee, Scheme Administrator and the Member must complete and sign this form. We only accept applications from pension schemes registered with HM Revenue & Customs (HMRC); which have an appointed Corporate Trustee.

### **Please remember**

We reserve the right to query the details provided on this application and request Governing and/or Supplementary Deeds at any time.

### **Trustee, Administrator & Member definition**

**Scheme Trustee**— responsible for making sure the pension scheme is run in accordance with the Trust Deed and for the security of the pension scheme assets.

**Scheme Administrator**— regulated by the FCA and responsible to HMRC for the Member's pension scheme.

**Member**—the beneficial owner of the Pension Trading account and the investments held in it through their membership of the pension scheme.

## **1 Scheme Trustee details**

Scheme name:

JOHN P SULLIVAN PENSION SCHEME

Trustee name:

JOHN PATRICK SULLIVAN.

Scheme Trustee  
Account No:

Address:

11 LANGLEY PARK  
MILL HILL  
LONDON

Post code:

NW7 2AA

Email:

johns@candgprops.co.uk.

Phone No:

07739 596301

PSTR No:

00763754RA

**PSTR No:** A Pension Scheme Tax Reference Number is your unique reference given to you by HMRC and is ten characters made up of eight numbers followed by two letters.

## 2 Scheme Administrator Details

Company name:

Address:

Post code:

Email:

Phone No:

FCA No:

If you can't provide a FCA Registration Number you'll need to send us a certified copy of the original Governing Deed and Supplementary Deeds.

## 3 Member Details

Name:

Title: MR First name: JOHN Surname: SULLIVAN

Current

Previous

Address:

11 LANGLEY PARK  
MILL HILL  
LONDON

Post code: NW7 2AA

Post code:

Please provide your previous address in the box on the right if you haven't lived at your current address for three years or more.

Email:

johns@cardpropr.co.uk

Phone No:

07739 596301

D.O.B:

(DD/MM/YYYY)

14 03 1963

National Insurance  
Number/TIN:

NA 29 92 92 A

Citizenship:

BRITISH

Nationality:

ENGLISH / IRISH

Place of birth  
(Town/City &  
Country):

LONDON, ENGLAND

Is the Member to be the nominated User as  
detailed in the Pension Trading Account  
Terms of Service?

YES

☒

NO

☐

OCCUPATION:

If retired, please tell us your previous job  
role in the box above. Example—retired  
doctor

Industry you work/worked in:

PROPERTY

## Account withdrawals

Withdrawals from the account can only be made to a bank account in the name of the scheme. The Scheme Trustees need to complete the section below, and provide:

A paying-in slip and the current Authorised Signatory list (with at least two signatures of authorised signatories).

Please make sure you complete this section before returning your application. There may be a delay in releasing payments if we don't receive this information with the rest of your details.

## 4 Nominated Bank Account

Name of account holder:

JOHN PSULLIVAN PENSION SCHEME

What we mean by...

Sort code:

40 - 03 - 01

Beneficiary reference— A reference that will appear on your statement of the nominated bank account that the payment is being sent to. It helps understand where the monies have come from. It can be up to 18 characters in length.

Account number:

91492098

Beneficiary reference:

TD DIRECT INVESTING

Destination country— The country of the bank account you're sending payments to.

Destination country:

ENGLAND - UK

PLEASE REMEMBER THAT ONLY THE PENSION OPERATOR CAN MAKE WITHDRAWALS

If you're sending a payment from a different bank account than the one named above, please let us know in advance, so we know where the monies have come from.

### United States dealing

If you're interested in dealing in U.S. securities, you'll need to complete a W-8BEN-E form in the name of the Pension Scheme. This will need to be filled in by the Scheme Trustees. *Your capital is at risk.*

Visit [www.tddirectinvesting.co.uk](http://www.tddirectinvesting.co.uk). Click on 'Useful Forms' > 'Account Administration' and you'll find the W-8BEN-E—along with instructions—under 'Pension Trading Accounts & Company Accounts'.

## Read before you complete

We need to get a good understanding of how you intend to use your account. This includes the source of funds/wealth, monthly deposits, volume of monthly trades and estimated portfolio value.

Please tick the relevant box from each section. We won't be able to process your application if this information is missing, so please make sure you answer each question below before returning the form.

## 5 About the account (to be completed by the Member)

### What is the source of funds/wealth used to fund the account?

Savings	<input type="checkbox"/>	Salary	<input checked="" type="checkbox"/>	Maturing Investments	<input type="checkbox"/>
Share Sales	<input checked="" type="checkbox"/>	Property Sale	<input type="checkbox"/>	Company Sale	<input type="checkbox"/>
Inheritance	<input type="checkbox"/>	Loan	<input checked="" type="checkbox"/>	Gift	<input type="checkbox"/>
Company Profits	<input type="checkbox"/>	Other (please specify)	<input type="text"/>		

### What will the value of monthly deposits be?

£0 - £1,000	<input checked="" type="checkbox"/>	£1,001 - £5,000	<input type="checkbox"/>	£5,001 - £25,000	<input type="checkbox"/>
£25,000 +	<input type="checkbox"/>				

### What will the volume of monthly trades be?

0 - 5	<input checked="" type="checkbox"/>	6 - 20	<input type="checkbox"/>	21 - 50	<input type="checkbox"/>
51 +	<input type="checkbox"/>				

### What will the volume of monthly trades be?

£0 - £10,000	<input checked="" type="checkbox"/>	£10,001 - £50,000	<input type="checkbox"/>	£5,0001 - £250,000	<input type="checkbox"/>
£250,000 +	<input type="checkbox"/>				

## 6 Declaration

We wish to apply for a TD Direct Investing Pension Trading account.

### Scheme Trustees

- We confirm that we have been given the opportunity to read and consider the Terms of Service that will form the contract between us and upon which TD Direct Investing intend to rely, for our own benefit and protection. We should read these terms carefully before starting to trade. We can ask for further information if we do not understand at any point
- We understand that TD Direct Investing are not obliged to accept our application and do not have to give reasons for not proceeding with our application
- Responsibility for ensuring that investments in the pension comply with HM Revenue & Customs guidelines rest with the Scheme Trustee(s). TD Direct Investing cannot be held responsible or liable for rectifying any trades in non-compliant investments
- We understand all withdrawals from the Pension Trading account will be made in the name of the Pension Scheme
- We confirm that our permanent address is not outside the United Kingdom
- We undertake to notify you promptly of any changes to the details supplied.

### Member

- I confirm that I have been given the opportunity to read and consider the Terms of Service that will form the contract between us and upon which TD Direct Investing intend to rely, for my own benefit and protection. I should read these terms carefully before starting to trade. I can ask for further information if I do not understand any at point
- I consent to the use of my personal information as set out in clause 18 of the Terms of Service
- I confirm that I am 18 years of age or over and the information given is true and correct to the best of my knowledge and belief.

### Scheme Administrator Authorised Signatory

Name:

Signature:

Date:

### Scheme Trustee Signatory

Name:

Signature:

Date:

### Member Signatory

Name:

Signature:

Date:

### Before you sign & date

Make sure you've included:

Nominated bank account details  
(with paying-in slip)

Authorised Signatory list