

Carlton James 6a Callow Park Callow Hill Brinkworth Wiltshire SN15 5FD

1 February 2024

Our Ref: 132965/NM/WMORGAN

Dear Sir/Madam

Pension Transfer To James Hay Partnership

Member Name: Mr W Finlay National Insurance Number: WL 27 74 05 D Date of Birth: 18/04/1961 K2H Retirement Scheme policy number: WL277405D 132965 James Hay Partnership Member Number:

Mr Finlay has elected to transfer the benefits held under K2H Retirement Scheme policy/plan number WL277405D to James Hay Partnership. The transfer is to be in cash and all assets held under your plan should be sold prior to transfer.

This is a partial transfer of £14,000.00.

James Hay Partnership Scheme Details

Product Name	The James Hay Modular iSIPP
Scheme Name	The James Hay Personal Pension Plan
HM Revenue & Customs Tax Reference	This scheme is registered with HMRC under Chapter 2 of Part 4 of the Finance Act 2004
Pension Scheme Tax Reference Number	00616231RE
Address	James Hay Administration Company Ltd Dunns House St Pauls Road SALISBURY SP2 7BF

James Hay Partnership is not an annuity provider. All transfers where a Lump Sum has been paid must have been converted to Unsecured Pension (if prior to 6th April 2011), Capped Drawdown or Flexi-access Drawdown prior to transfer.

James Hay Partnership can only receive payments from an overseas scheme provided it is registered as a Qualifying Recognised Overseas Pension Scheme with HMRC.

James Hay Partnership, Dunn's House, St Paul's Road, Salisbury SP2 7BF DX: 333001 Salisbury 6

James Hay Partnership, Dunn's House, St Paul's Road, Salisbury SPZ /BF DX. 333UU Salisbury of James Hay Partnership is the trading name of James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 1435887); James Hay Wrap Nominee Company Limited (JHWMC) (registered in England number 1473695); James Hay Wrap Nominee Company Limited (JHWMC) (registered in England number 1473695); James Hay Wrap Nominee Company Limited (JHWMC) (registered in England number 1259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Santhouse Pensioneer Trustee Company Limited (SPTCL) (registered in England number 1670940); Sarum Trustees Limited (SarumTL) (registered in England number 103681); Sealgrove Trustees Limited (SPTCL) (registered in England number 1444964); Union Pension Trustees Limited (UPTL) (registered in England number 1458445); Union Pension Trustees Limited (UPTL) (registered in England number 1458445). JHS has its registered office at 2nd Floor, Gaspé House, 66-72 Esplanade, St Helier, Jersey, JET 1GH. IPS, JHAC, JHPT, JHWM, JHWNC, SPTCL, SarumTL, IPS Plc, PAL, STL, UPT and UPTL have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. The provision of Small Self Administered Schemes (SSAS) and trustee and/or administration services for SSAS are not regulated by the FCA. Therefore, IPS and IPS Plc are not regulated by the FCA in relation to these schemes or services. (04/19) relation to these schemes or services. (04/19)



What is required by James Hay Partnership from you:

The Payment

Please send the monies to the bank details listed below:

Bank:

Santander

Branch:

Bridle Road BOOTLE 130 4GB

Sort Code:

090222 10923188

Bank account No:

James Hay Pension Trustees Limited re Pension Schemes

Bank account name: Ref to be guoted:

C000132965 TVC

Please ensure that you quote the reference stated above to ensure timely allocation to the member. Incorrect references may lead to payments being returned.

Alternatively, should you wish to make the payment by cheque, it should be made payable to 'James Hay Pension Trustees Ltd. - ref: W Finlay - 132965.

Changes to National Minimum Pension Age (NMPA)

Due to impending changes regarding the National Minimum Pension Age (NMPA) please confirm the following information:.

- Is there a Protected Retirement Age within this policy?
 - If so what age could they take their pension at?
 - What percentage of the policy does this relate to?

Information we need to know

- Confirmation that the scheme is a Registered Pension Scheme with HMRC
- Confirmation if the scheme is an occupational money purchase or an occupational final salary (if not already provided)
- Confirmation if the scheme contains any safeguarded benefits
- Details of any court orders against the policy i.e. divorce or bankruptcy
- If there are any restrictions on the PCLS available as a result of a pension credit from a policy already in payment.
- If the policy being transferred is already in drawdown, please provide the following income details:

Whether the policy is Unsecured Pension or Capped Drawdown

The date benefits were crystallised

The value of the arrangements at the date they were crystallised

Amount of PCLS paid

Percentage of Standard Lifetime Allowance used

Amount of Lifetime Allowance Charge (if applicable)

GAD rate used when benefits were crystallised

Gilt Yield used when benefits were crystallised Current Maximum GAD income limit

Gross amount of income paid in this benefits year

James Hay Partnership, Dunn's House, St Paul's Road, Salisbury SP2 7BF DX: 333001 Salisbury 6

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JHPSALMAR19



*If the member is receiving income from more than 1 tranche under your plan, please provide these details for each tranche.

** If the member was originally in Alternatively Secured Pension or Unsecured Pension and has been converted to Capped Drawdown, the information provided should be as at the date the income was converted to Capped Drawdown.

Other Requirements

Should you require any further information or documentation from the member, please contact them or their IFA in the first instance.

What We Have Enclosed

- Member authority to proceed with the transfer.
- Confirmation of HMRC approval

*Please note that it is no longer our policy to complete other providers discharge forms, as all the information about our scheme and confirmation of our HMRC registration is contained in this letter.

Declaration By James Hay Administration Company Limited (Scheme Administrator)

We declare to the best of our knowledge and belief that the information given in this letter is true and complete.

The transfer payment will be applied to provide relevant pension benefits consistent with HMRC conditions of registration.

Should you have any questions about this transfer, please call us on 03455 212 414.

Yours faithfully

Will Morgan **Account Executive**

James Hay Administration Company Limited

Enc.

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Cash Transfer In Form



Application guide

Please complete this form if you wish to transfer your pension from another provider to your James Hay SIPP or IPS SIPP as cash. Should you wish to transfer any holdings in your existing pension in-specie, please instead complete the relevant 'In-specie Transfer Form', available at www.jameshay.co.uk.

If you are transferring an occupational defined benefits (final salary) scheme, we will require written confirmation from your financial adviser that they have advised you in respect of this transfer and recommended that you proceed with the transfer. If applicable, your financial adviser will need to complete and sign Section 6.

If you wish to transfer an occupational money purchase (defined contribution) scheme, we may accept the transfer if you provide either written confirmation from your financial adviser that they have recommended that you proceed with the transfer, or written confirmation from the transferring scheme that the transfer contains no safeguarded benefits.

Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay Partnership, Dunn's House, St. Paul's Road, Salisbury, SP2 7BF. If you require any assistance please call your Service Executive Team or our general enquiry number 03455 212 414.

The following are registered pension schemes for the purposes of Part 4 of the Finance Act 2004:

- The James Hay Personal Pension Plan (referred to below as James Hay SIPP): Pension Scheme Tax Reference 00616231RE
- IPS SIPP: Pension Scheme Tax Reference 00605813RN
- IPS (2008) SIPP: Pension Scheme Tax Reference 00605815RT
- IPS Pension Builder SIPP: Pension Scheme Tax Reference 00605666RJ

Please note: James Hay Partnership is not an annuity provider, and does not provide benefits in the form of a scheme pension - your financial adviser will be able to tell you more about how you can access funds from a SIPP in retirement.

1 Personal o	details	ALTERNATIVE CO.		Applicant to complete
Title	Mr			
Forename(s)	William			
Surname	Finlay			
Address	Beechleig Ladock Truro	jh	Postcode TR2 4QD	
Telephone	0 79404	12615	Email williamdfinlay@aol.com	1604
National Insura	nce number	WL 27 74 05 D		6042307
James Hay SIP	number	132965	OR IPS SIPP product number	<u>F</u>
IPS SIPP	administered by	The IPS Partnership PLC	IPS Pension Builder SIPP administered by	IPS Pensions Limited
IPS (2008	B) SIPP adminis	tered by IPS Pensions Limited	d	

Please note:

- Please ensure you fully complete this form for each transfer you would like to make. Whether or not you have already requested the transfer from the transferring scheme, you will still need to provide us with all of the information relating to the transfer. For any transfers that you have not already requested, we will request them on your behalf.
- We can only accept a transfer where any benefits have already started to be paid if it is a drawdown to drawdown transfer.
- If you transfer in plans in both capped drawdown and flexi-access drawdown, they will all be converted to flexi-access drawdown upon receipt.
- As we are reliant on third parties for part of this process, we are unable to guarantee that any transfer will be completed by a specific deadline.
- If you are transferring beneficiary pension rights in addition to non-beneficiary pension rights, we will need to open a separate SIPP for the beneficiary pension rights. If you are transferring beneficiary pension rights which have different tax treatment, separate SIPPs will be opened for each type of beneficiary pension right. Please contact us for further information about this process before proceeding.

f Yes, please also complete the relevant James Hay 'Benefit Payment Form' to initiate benefits, or our Drawdown to Drawdown Transfer Form' if the plan being transferred in is already in drawdown. Ilave you taken income through flexi-access drawdown, or an uncrystalised funds pension lump sum, from any ension you hold with other providers? If the transfer amount subject to an earmarking or pension sharing order or any other type of attachment order? Yes, please supply a certified copy of the order. If the transfer amount in respect of a pension credit (pension rights received as part of a divorce settlement)? Yes, please supply a certified copy of the court order, final order of divorce and pension sharing annex. If the transfer part of a block transfer? If yes Yes Yes Yes Yes Yes Yes Yes	The second secon	g scheme details my entitlement to benefits from	n the followin	g pension scheme to my	SIPP named in Section 1	Applicant to	Somplete
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If Yes, your financial adviser will need to complete and sign Section 6. Is James Hay Partnership the administrator of this scheme? Does James Hay need to complete the transferring scheme's transfer discharge form? If Yes, please enclose the form with this document. If No, we will request the transfer using the Origo Transfer Service. Do you wish to immediately withdraw benefits from this plan once it has been transferred? If Yes, please also complete the relevant James Hay 'Benefit Payment Form' to initiate benefits, or our Drawdown Transfer Form' if the plan being transferred in is already in drawdown. If Yes please also complete the relevant James Hay 'Benefit Payment Form' to initiate benefits, or our Drawdown to Drawdown Transfer Form' if the plan being transferred in is already in drawdown. If Yes please you taken income through flexi-access drawdown, or an uncrystalised funds pension lump sum, from any ension you hold with other providers? It the transfer amount subject to an earmarking or pension sharing order or any other type of attachment order? Yes, please supply a certified copy of the order. It the transfer amount in respect of a pension credit (pension rights received as part of a divorce settlement)? Yes, please supply a certified copy of the court order, final order of divorce and pension sharing annex. It the transfer part of a block transfer? If Yes is please complete the deceased's personal details below. Yes, please complete the deceased's personal details below. Yes, is income from the beneficiary pension rights taxable?							
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Your right to cancel Applicant to complete

You have a right to cancel this transfer in.

Once you have submitted this transfer form, we will send you a cancellation notice, which confirms that you will have 30 days from receipt of the transfer into the plan to let us know that you have changed your mind and therefore wish to cancel the transfer.

For members who have received financial advice to proceed with the transfer

During the 30 day cancellation period you can give us investment instructions. If you choose to cancel the transfer under the terms of the cancellation rights, we will transfer the money that has been transferred to your SIPP to another pension provider chosen by you. Please note that if the value of your investments has fallen in the period between your money being invested and the time your cancellation instruction is received by us, you will not receive back the full amount that you invested. This is explained in the cancellation notice that we will send to you.

For members who have not received financial advice to proceed with the transfer

As you have not received any financial advice before applying for this transfer, we will not permit any investments using this transfer money during your 30 day cancellation period, in case you decide to cancel.

However, you have the option to waive your right to the 30 day cancellation period. If you choose to do this, we will apply the transfer money to your SIPP, and it will be available for immediate investment upon receipt of your instructions.

If you wish to waive your right to the cancellation period, please read the following confirmation and then tick the box to confirm your acceptance:

L	to this transfer in. I accept that once I have waived my right to this cancellation period, I will no longer be able to cancel the transfer in or have the money that is transferred into the SIPP returned. I also accept that my plan will be subject to all the applicable charges as detailed in the relevant SIPP charges schedule.			
6	Financial adviser declaration (if applicable)	Financial adviser to compl		
I co	nfirm in respect of the proposed transfer from the above named transferring scheme that:			
. ,	his transfer contains safequarded benefits, as defined by the Financial Conduct Authority (FCA)	Ves X		

,	confirm in respect of the proposed transfer from the above named transferring scheme that:		
	this transfer contains safeguarded benefits, as defined by the Financial Conduct Authority (FCA).	Yes	X No
	I have provided the above named applicant with advice in respect of this transfer in accordance with, in particular, the Financial Conduct Authority Conduct of Business Sourcebook, Chapter 19.	X Yes	☐ No
	I have given a personal recommendation that the pension transfer is suitable for the applicant and that the applicant should proceed with this transfer.	X Yes	No
	the personal recommendation has been given/checked by a pension transfer specialist as defined by the FCA and includes an appropriate pension transfer analysis and transfer value comparator as applicable.	X Yes	No
	I am qualified to provide financial advice in respect of pension transfers, as defined by the FCA, and my firm has the appropriate FCA permissions to provide advice on pension transfers and opt outs.	X Yes	□ No

Financial adviser's signature	Name of firm		
DocuSigned by:	Fisher Investments UK		
liam Morgan 			
Name of financial adviser	FCA firm reference number		

Liam morgan 191609

7 Member declaration

Applicant to complete

I authorise and instruct the provider of the transferring scheme named in Section 4 to transfer funds from the plan(s) listed directly to my James Hay SIPP. Where the provider of the transferring scheme listed in Section 4 has asked me to give them any original policy documents in return for the transfer of funds and I am unable to do so, I agree that I will be responsible for any losses and/or expenses which may result from me giving misleading or inaccurate information, deliberately or carelessly, or given on my behalf, either in this form or with respect to benefits from the plan.

I authorise the provider of the transferring scheme listed in Section 4 to release all necessary information to James Hay Partnership to enable the transfer of funds.

I authorise the provider of the transferring scheme listed in Section 4 to obtain from and release to my financial adviser any additional information that may be required to enable the transfer of funds.

If an employer is paying contributions to the transferring scheme listed in Section 4, I authorise the provider of the scheme to release to that employer any relevant information in connection with the transfer of funds from the relevant plan(s).

Until this application is accepted and complete, James Hay Partnership's responsibility is limited to the return of the total payment(s) to the provider of the transferring scheme listed in Section 4.

I accept responsibility in respect of any claims, losses and expenses that James Hay Partnership may incur as a result of any incorrect information provided by me in this application, or of any failure on my part to comply with any aspect of this application.

I accept that any enhanced or fixed protection will be lost if the transfer is not a permitted transfer as defined by HM Revenue & Customs legislation.

I agree that any entitlement to take lump sum rights in excess of 25% may only be retained on transfer if the transfer is part of a block transfer as defined by HM Revenue & Customs legislation. However, I accept that if this lump sum figure is over £375,000 and I have enhanced or primary protection, the lump sum on these certificates will take precedence.

I accept that certain guarantees and protections may only be retained if the transfer is part of a block transfer as defined by HM Revenue & Customs legislation.

I accept that James Hay Partnership will not pay any benefits or invest the funds that are transferred until all relevant transfer information has been received

Signature

Docusigned by:

William David Finlay

Date

22-01-2024 | 10:11 AM GMT



We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of James Hay Partnership Management Limited (JHPM) (registered in England number 02538532); James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068388); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 166649); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); The IPS Partnership Pic (IPS PIc) (registered in England number 1458445); Union Pension Trustees Limited (JHY) (registered in England number 2634371). JHS has its registered office at Aztec Group House, 11-15 Seaton Place, St Heller, Jersey, JE4 0QH. JHPM, IPS, JHAC, JHPT, JHWM, JHWNC, PAL, SarumTL, IPS PIc, and UPT have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF, JHAC, JHWM, IPS and IPS Pic are authorised and regulated by the Financial Conduct Authority. (3/21)

(b) HM Revenue & Customs

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User Name: (A0009752) PSTR 00616231RE

Pension Schemes

Your HMRC Services

- · Pension Schemes
 - >Welcome
 - >View messages
 - >View all Pension Schemes
 - >Advanced Scheme Search
 - >Registered Pension Schemes Manual
- · Registration
 - >View Certificate
 - >View Registration Details
- · Scheme Administration
 - >View Current Scheme Details
 - >Amend Scheme Details
 - >Scheme Administrator Management
 - >Practitioner Management
 - >View Submission Receipts
- Pension Service Notices for Scheme
 - >View Notices
- · Reporting
 - >Event Report
 - >Accounting for Tax
 - >Pension Scheme Return
- · Quick Links
 - >The Pensions Regulator
 - >VAT
 - >Your Online Services
 - >Make a Payment
 - >Sign out

Pension Scheme Summary

Pension Scheme Name The James Hay Personal Pension Plan Pension Scheme Tax Reference 00616231RE (PSTR)

- > View current scheme details
- > Amend scheme details

Reporting @

Registration (2)

Please select the type of report below:

Registration for 05 Apr

2006

View

Relief at source

Register

> Accounting for Tax

> Registered Pension Scheme Return

> Event Report

Scheme Administration

Pension Service Notices for Scheme 2

Please select an option below:

You have 88 notices for this Pension

Scheme

> Scheme Administrator management > View notices

> Practitioner management (2)

> View submission receipts

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02/01/2024, 08:36 Print

Current scheme details	
Pension Scheme Name	The James Hay Personal Pension Plan
Deferred annuity contract / Retirement annuity contract made after 5 April 2006	No
Date scheme registered	05 Apr 2006
Name of Scheme Administrator who registered the scheme	James Hay Administration Company Limited
Scheme status	Open
Sub-scheme	No
Pension scheme structure	Single
The scheme is an investment regulated pension scheme ② Band of number of scheme members	Yes
Registered for Relief at source	No
Occupational pension scheme 2	No
Country or Territory scheme established	UNKNOWN