

## Pension Scheme Return & Event Questionnaire 2013

Scheme Name KEITH + VANESSA HICKMAN PENSION SCHEME

### Information required for the pension scheme return

The scheme administrator is required to complete a pension scheme return for the tax year. We undertake this as part of our pension scheme service to the trustees. The return is over 11 pages long. In addition, there may be an event report due; presently there are over 16 different event reports that may arise.

We have condensed the information needed into a short questionnaire. Please answer the questions below using the notes section if you feel we require further information and return this form to us. If there are any parts of this form that you are unsure of please contact your scheme consultant.

Commencing on 6<sup>th</sup> April 2012 and ending on 5<sup>th</sup> April 2013

If other dates please specify: From: \_\_\_\_\_ to year end: \_\_\_\_\_

#### Questions:

Did the Scheme acquire, own or dispose of Property during the period?	<del>yes</del> / no
If so, was this property from a **Connected Party/Parties?	yes / no
Did the Scheme acquire, own or dispose of any shares in the sponsoring employer?	<del>yes</del> / no
Did the Scheme acquire, own or dispose of shares in an unquoted company, excluding the sponsoring employer?	<del>yes</del> / no
Did the Scheme make, or have during the period, a Loan to a Connected Party/Parties? (this does not include borrowings made <u>TO</u> the Scheme from banks/building societies or other financial institutions)	<del>yes</del> / no
Did the Scheme have any interest in *tangible moveable property?	<del>yes</del> / no
Did the Scheme acquire, own or dispose of any other assets from a **Connected Party/Parties directly or indirectly?	<del>yes</del> / no
Did any member take funds from the Scheme, other than as pension income?	<del>yes</del> / no
Did the Scheme hold cash at bank during the period?	yes / <del>no</del> <span style="float: right;">SMALL CASH AMOUNT IN CURRENT A/C</span>
If so, can you itemise any transactions that we may not be aware of within the period of the return?	use notes section <span style="float: right;">SEE BANK STATEMENT</span>

\*Tangible moveable property includes: Assets that you can touch and move, such as fine wines, machinery, works of art, assets that have a lifespan of less than 51 years e.g. patents.

\*\*A connected party includes: A relative, a partner, co-director, or co-trustee.

A connected party may also include a company that does not participate in the scheme but may be connected to you through the associations given above.

Notes Section

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In order that we may prepare your benefit statement, we will require the remuneration, dividend income and any other income for each scheme member. Please complete the table below for income in respect of the tax year ending 5<sup>th</sup> April 2013. Please also advise the fund split in respect of each member:

	Name of Member	Salary £	Dividend Income £	Other Income £	% of Fund Split
1					%
2					%
3					%
4					%
5					%
6					%

Signed: 

Date: 17/5/2013

Please return this form to Stacy Lunnon at:  
**PensionPractitioner.Com**  
Daws House  
33-35 Daws Lane  
London  
NW7 4SD

You can also email this form with other paperwork we may have requested to:  
[stacyl@pensionpractitioner.com](mailto:stacyl@pensionpractitioner.com)



# Business Current Account Statement

BARCLAYS

Account name KEITH & VANESSA HICKMAN PENSION SCHEME  
Account number 23402452 Sort Code 20-27-17

Statement for 25 Dec 2012 - 22 Mar 2013

Statement sheet 17 (issued on 25 Mar 2013)

Balance from 24 Dec 2012	12,360.19
Total payments - incl.	
commission & interest	1,182.99
Commission charges	0.99
Interest paid	0.00
Total receipts	0.00
Balance as at 22 Mar	11,177.20

04142 WUB2004A C00064 14900 2717 1221597011

THE TRUSTEES  
KEITH & VANESSA HICKMAN  
PENSION SCHEME  
74 GOSPEL END ROAD  
SEDGLEY  
DUDLEY  
DY3 3YT



IBAN GB46 BARC 2027 1723 4024 52  
SWIFTBIC BARCGB22

## Important information about the Financial Services Compensation Scheme

We are covered by the Financial Services Compensation Scheme (FSCS). The FSCS can pay compensation to depositors if a bank is unable to meet its financial obligations. Most depositors - including most individuals and small businesses - are covered by the scheme.

In respect of deposits, an eligible depositor is entitled to claim up to £85,000. For joint accounts each account holder is treated as having a claim in respect of their share so, for a joint account held by two eligible depositors, the maximum amount that could be claimed would be £85,000 each (making a total of £170,000). The £85,000 limit relates to the combined amount in all the eligible depositor's accounts with the bank, including their share of any joint account, and not to each separate account.

For further information about the compensation provided by the FSCS (including the amounts covered and eligibility to claim) please ask at your local branch, refer to the FSCS website [www.fscs.org.uk](http://www.fscs.org.uk) or call the FSCS on 020 7741 4100 or 0800 678 1100. Please note only compensation related queries should be directed to the FSCS.

Barclays Bank PLC may also accept deposits under the following trading names; Barclays, Barclays Bank, Barclaycard, Barclays Business, Barclays Capital, Barclays Corporate, Barclays Direct, Barclays UK & Ireland Private Bank, Barclays International Private Banking, Barclays Premier, Barclays Private Bank, Barclays Wealth, Woolwich Mortgages. Deposits accepted from an eligible depositor under these trading names are combined for the purposes of deposit compensation from the FSCS. The FSCS is not applicable to deposits held at branches in the Channel Islands or the Isle of Man.

## Transactions in date order

Date	Description	Payments	Receipts	Balance
	Balance brought forward			12,360.19
9 Jan	Commission charges for the period 13 Nov/12 Dec	0.69		12,359.50
4 Feb	Commission charges for the period 13 Dec 2012/13 Jan 2013	0.30		12,359.20
20 Mar	Cheque issued 100015	1,182.00		11,177.20
22 Mar	Balance carried forward			11,177.20
	Total Payments/Receipts	1,182.99	0.00	

Continued

BARCLAYS Leicester LE87 2BB Tel 0845 605 2345

Barclays Bank PLC. Reg. No. 1026167. Registered in England. Reg. Office: 1 Churchill Place, London E14 5HP.

THIS IS THE BALANCE AT

THIS 5/4/2013

004142 11053 WUB2004A 1221597011 1 of 2

KEITH & VANESSA HICKMAN PENSION SCHEME  
74 GOSPEL END ROAD  
SEDGLEY  
DUDLEY  
W. MIDLANDS  
DY3 3YT

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DATE 31 OCT 2011  
ACCOUNT CD7366

POUNDS STERLING

ACCOUNT SUMMARY - GBP BASE CURRENCY

SPOT RATE	1	
ENDING LEDGER BALANCE		143,498.71CR
OPEN VARIATION MARGIN		0.00
ACCOUNT VALUE		143,498.71CR
INITIAL MARGIN		0.00
HAIRCUT PHYSICAL VALUE		0.00
FREE EQUITY		143,498.71CR
PHYSICAL VALUE		0.00

A PAYMENT OF £100,000 WAS  
ISSUED BY THE FSCS ON 17/9/12.

ANY FURTHER PAYMENTS ARE STILL  
PENDING THE FINAL COURT HEARING  
IN AUGUST THIS YEAR.

I EXPECT TO GET MOST OF THE  
BALANCE OF £43,498.71



Pensions and Trusts  
Statement of Recent Account Activity

Barclays Stockbrokers  
Tay House  
300 Bath Street  
Glasgow  
G2 4LH

Account number 480/69958262/56

Keith, Vanessa Hickman Pen Sch  
C/o Mr Keith Hickman  
74 Gospel End Road  
Dudley  
West Midlands  
DY3 3YT

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Tel 0845 601 7788  
e-mail stockbrokers@barclays.co.uk  
Web www.stockbrokers.barclays.co.uk

BARCLAYS

23rd January 2013 to 22nd April 2013

Date	Transaction Description	Debit	Credit	Balance
22/03/13	Sale of 6,596 ESSAR ENERGY PLC ORD GBP0.05 shares		9,562.60	320,027.15
22/03/13	Sale of 4,770 VODAFONE GROUP ORD USD0.11428571 shares		8,934.04	328,961.19
28/03/13	Sale of 271 ASTRAZENECA ORD USD0.25 shares		8,786.97	337,748.16
28/03/13	Sale of 423 TULLOW OIL ORD GBP0.10 shares		5,237.16	342,985.32
28/03/13	Sale of 7,084 BWIN.PARTY DIGITAL ORD GBP0.00015 shares		10,445.25	353,430.57
28/03/13	Sale of 37,647 MGT CONSULTING GP ORD GBP0.01 shares		11,520.19	364,950.76
28/03/13	Sale of 8,767 LAMPRELL PLC ORD GBP0.05 shares		12,348.52	377,299.28
28/03/13	Sale of 4,330 SALAMANDER ENERGY ORD GBP0.10 shares		9,113.65	386,412.93
28/03/13	Sale of 3,121 TULLETT PREBON GRP ORD GBP0.25 shares		8,657.57	395,070.50
28/03/13	Sale of 3,481 CHEMRING GROUP ORD GBP0.01 shares		8,943.11	404,013.61
28/03/13	Sale of 3,841 CAPE ORD GBP0.25 shares		12,090.66	416,104.27
28/03/13	Sale of 2,011 IMAGINATION TECH. GBP0.10 shares		10,255.70	426,359.97
02/04/13	Gross interest payment from 22/02/13 to 28/03/13		38.20	426,398.17
10/04/13	Sale of 6,918 PETRA DIAMONDS ORD GBP0.10 shares		7,891.10	434,289.27
	BALANCE CARRIED FORWARD			434,289.27
		CASH	BALANCE	

004192 2 OF 7 CTU0002A

Pensions and Trusts  
Account Valuation - Sector and Investment Summary

Barclays Stockbrokers  
Tay House  
300 Bath Street  
Glasgow  
G2 4LH

Account number 480/69958262/56

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Tel 0845 601 7788  
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Keith, Vanessa Hickman Pen Sch  
C/o Mr Keith Hickman  
74 Gospel End Road  
Dudley  
West Midlands  
DY3 3YT

BARCLAYS

Valuation Date: <sup>6<sup>TH</sup></sup> 22nd April 2013

5/4/13

Sector and Investment	Quantity	Mid Market Price (p)	Mid Market Value (£)	Book Cost (£)	Profit/(Loss) (%)	Gross Yield (%)
OIL & GAS PRODUCERS						
BG GROUP ORD GBP0.10	499	<del>1038P</del> XD	<del>5,179.62</del>	5,034.79	2.87	1.8
MINING						
GEM DIAMONDS ORD USD0.01 (DI)	5,406	<del>134P</del>	<del>7,244.04</del>	8,048.60	(9.99)	0.0
KENMARE RESOURCES EUR0.06	25,212	<del>27.30P</del>	<del>6882.89</del>	8,089.72	(16.63)	0.0
LONMIN ORD USD1	2,829	<del>26.75P</del>	<del>6,744.21</del>	8,051.65	(6.22)	0.0
HEALTH CARE EQUIP & SERVICES						
OPTOS ORD GBP0.02	4,612	<del>272.90P</del>	<del>7,550.60</del>	8,049.00	(7.74)	0.0
FINANCIAL SERVICES						
ICAP ORD GBP0.10	2,592	<del>150P</del>	<del>7,425.32</del>	8,052.64	(7.87)	8.8
SOFTWARE & COMPUTER SERVICES						
SDL ORD GBP0.01	1,599	<del>291.9P</del>	<del>7,566.05</del>	8,050.64	(23.69)	1.8
SHANS HOLDINGS						
TOTAL			<u>£48,309.62</u>			
CASH + SHANS VALUE			<u>£482,598.89</u>			