

Identity Verification Certificate – private individual

Introduction by PRA authorised and FCA and PRA regulated firm

1. DETAILS OF INDIVIDUAL *(see explanatory notes below)*

First Name

Middle Name

Surname

Date of Birth

Address

Previous address if the individual has changed address in the last three months:

Postcode

2. CONFIRMATION

I/we confirm that

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:
(tick only one)

☐

meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or

☐

exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

3. DETAILS OF INTRODUCING FIRM *(or sole trader)*

Full Name of Regulated Firm

Financial Services Register Number

Signed for and on behalf of aforementioned Regulated Firm

Name

Position

Date

4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.