

Account Authorisation Form

This form enables you to give authority to a named individual to place instructions to buy or sell securities on your behalf, be provided with ad-hoc information related to the account(s), withdraw funds to the account holders' bank account only and instruct on corporate actions. You can also choose from the additional authority options below. **Authority can be provided to an individual only and not a professional firm or entity.** A separate agreement and *Intermediary Terms of Business* are required for this purpose. Please complete all fields in CAPITALS and return to your usual Redmayne Bentley executive.

PRIMARY ACCOUNT HOLDER

Title Mrs Surname THOMPSON First Name(s) (In full) LYNNIE CHRISTEEN
Date of Birth 18 / 10 / 1956 Postcode S10 3RJ

Please note, this authority will apply to all of your existing accounts and any accounts opened in the future, including joint accounts.

Please state the relationship to the named individual* HUSBAND

*If you have stated anything other than 'partner', 'parent' or 'child', we may require additional information.

AUTHORITY

Please see below for details of the authority this form covers as standard.

- Trading instructions - the named individual will be permitted to give instructions to Redmayne Bentley to buy and sell investments on your account(s). Redmayne Bentley may accept instructions given by the individual as if they were given by you and may act upon them without obtaining any further consent from you. Redmayne Bentley will not accept any other instructions from the individual, including changing addresses or amending bank details.
- Information - ad-hoc information may be provided to the named individual as requested.
- Corporate Actions instructions.
- Withdrawing funds - please note, funds can only be sent to the account holders' bank account.
- Client Web Access (CWA) Grouping - please note, this can only be provided if the named individual has a Redmayne Bentley account with CWA.

This individual may be given access to your CWA account by way of grouping it to their own CWA user account. This grouping is only performed on request and will not be applied automatically. Please contact us to request this. The individual is then able to view your account(s) in addition to their own, using their usual login details, as well as amend contact details and marketing/corporate actions communication preferences. Your login details will not be affected, and you will not be able to view their account(s). Please note, any accounts you open in the future will not be automatically added to the named individual's CWA user account by way of grouping, and only one CWA group may exist across your accounts at any one time. It is your responsibility to inform and ensure consent is provided by the named individual for this grouping.

OPTIONAL ADDITIONAL AUTHORITY

Please select any additional authority you would like to provide to the named individual.

- ☒ Copy documentation on request.
- ☒ Tax information on request (Annual Consolidated Tax Vouchers)
- ☒ Copy investment reports on request for advisory and discretionary management services only (please see copy investment reports section below).

DEALING WITH ADVICE AND INVESTMENT MANAGEMENT ACCOUNTS ONLY

Your account will be covered by the same authorisation above, but in addition the named individual will be permitted to receive and act upon advice. The named individual will also be able to discuss all financial information on the account (including, but not limited to, expenditure and income) on an ad-hoc basis. Please note, this excludes information which forms part of a formal Know Your Client Update or contact information and bank details.

If you do not wish to include your Dealing with Advice or Investment Management accounts in the authorisation, please inform your adviser or investment manager.

DECLARATIONS

Account Holder(s)

- The named individual will be authorised across the account(s) as per the selected options overleaf.
- I agree to and understand that the authorised individual will be permitted to discuss all financial information on the account (including, but not limited to, expenditure and income) on an ad-hoc basis (excluding information which forms part of a formal Know Your Client Update or contact information and bank details) and receive and act upon advice (for Dealing with Advice or Investment Management accounts only).
- I understand the authority will apply to all accounts in my name, including joint accounts and confirm all account holders consent to this.
- I understand any accounts opened in the future will be covered by this authorisation, unless I inform Redmayne Bentley otherwise.
- I will be bound by any instructions the individual gives to Redmayne Bentley. Redmayne Bentley will not be responsible for any losses that arise as a result of instructions given by the individual.
- I can cancel this authority at any time by writing to Redmayne Bentley. The individual will be authorised to give instructions on my behalf until Redmayne Bentley has confirmed receipt of my written confirmation.

I hereby accept the above terms in relation to all accounts in my name, including joint accounts, unless stated above.

PLEASE SIGN HERE

 11 / 06 / 20

PRIMARY ACCOUNT HOLDER

DATE

AUTHORISED INDIVIDUAL

To comply with anti-money laundering regulations, we are required to identify the individual being given authority and may check their details with fraud prevention agencies and may require evidence of their identity. The authority will not be put in place until this is confirmed.

Redmayne Bentley Account Number (if applicable) _____

Please note, the account number above will be used for the CWA grouping.

Title Mr Surname THOMPSON

First Name(s) (In full) DAVID

Residential Address 15 CHORLEY RD

FURWOOD

SHEFFIELD Postcode S10 3 RJ

Tel (Day) 07831 216196

Tel (Mobile) _____

Email david.thompson@tagwealth.co.uk

• UK

Date of Birth _____ / _____ / _____

Tax Identification Number* _____

* In the UK, this is your National Insurance Number.

Nationalities _____ National Identifier** _____

**If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.

Authorised Individual

- The investments in the account(s) for which the authority applies will remain in the name of the account holder(s) and I have no legal or beneficial entitlement to the investments, any income that arises from the investments or cash held in the specified account(s).
- For advisory accounts only, I understand the account holder(s) mandate of the portfolio fully in order to act upon advice.
- Redmayne Bentley may use and disclose the information contained in this form about me to make enquiries with fraud prevention agencies for verifying my identity.
- My authority is limited to the options chosen above. Redmayne Bentley will not accept any other instructions on the account from me, including changing addresses or email addresses for the account holder(s), amending bank details or authorising payments from the account to a third party.
- I have read and agree to Redmayne Bentley's *Privacy Policy*, available at www.redmayne.co.uk/privacy or in hard copy upon request.

PLEASE SIGN HERE

 11 / 06 / 20

AUTHORISED INDIVIDUAL

DATE

Redmayne-Bentley's Legal Entity Identifier (LEI) Application Authorisation Form

Office Use Only
Branch No:
Exec:
A/C No:

The information requested in this form is required so that we can apply for an LEI on behalf of the named entity and continue to provide our services to you beyond 3rd January 2018. Please note that we cannot guarantee that an LEI will be issued before 3rd January 2018, therefore, there may be a period during which we cannot undertake transactions on your account. Please complete ALL fields and return it to your **usual Redmayne-Bentley branch** or send it to: **Data Management, Redmayne-Bentley, 9 Bond Court, Leeds LS1 2JZ.**

Account name _____

Account number _____

Account Address 15 CHORLEY RD, Fulwood, SHEFFIELD S10 3 RJ.

Postcode _____

E-mail address (Please complete if blank): dauid.thompson@tagweath.org.uk

Please select one of the following options

☐ I/we confirm that the LEI should be registered to the account name (if this is the Official Entity Name)

☒ I/we confirm that the LEI should be registered to the Official Entity Name (as per supporting documentation or public register):

Legal Formation Country UK

If there is a parent entity, please give their LEI here: _____

☒ I/we give authority to Redmayne-Bentley to obtain an LEI on our behalf. I/we understand the LEI will be valid for 12 months and that I/we will be responsible for renewal prior to the renewal date.

Headquarters Address

(this should be the entity's correspondence address, if different to the address given above)

Address 1 _____

Address 2 _____

Town/City _____

County/State _____

Country _____

Postcode/Zip _____

Legal Formation Address

(This should be the address recorded in the public register or HMRC correspondence, if different to the Account or Headquarters Address)

Address 1 _____

Address 2 _____

Town/City _____

County/State _____

Country _____

Postcode/Zip _____

Country of Business Registry (Country where the public registry is established) _____

Official Business Registry Add: (eg. Companies House, Charity Commission) _____

Official Business Registry Reference _____

Once the LEI has been received from the LEI-issuing organisation, which is the London Stock Exchange, Redmayne-Bentley will pass it on to the contact person(s) for the entity account and transfer ownership of the LEI so that the entity can maintain and renew the LEI itself. In accordance with the agreement made with the Global Legal Entity Identifier Foundation, there is an obligation for each legal entity to review and renew its status annually. Redmayne-Bentley will be acting as a "Registration Agent" and liaising with the London Stock Exchange.

The cost for this is £115 + VAT (£138.00 inc VAT) (Please note this is subject to change in the future. Payment must be by cheque or from monies held on account. Redmayne-Bentley will absorb any administration costs.)

☒ I/we enclose a cheque for £115+VAT (£138.00 inc VAT) made payable to Redmayne-Bentley.

☒ I/we authorise Redmayne-Bentley to take payment of £115+VAT (£138.00 inc VAT) from our account _____
(please complete with the account number you wish to use)

I/we confirm that we have included evidence of formation (only required if the named entity is a Trust or Investment Club).

☒ For a Trust: copy of a Trust Deed which includes the full name and address of the Trust or most recent HMRC correspondence.

☐ For an Investment Club: Most recent HMRC correspondence

DECLARATION (Please complete)

I/we declare that on behalf of the entity named overleaf:

1. The information provided in this form is to the best of my/our knowledge and belief, accurate and complete.
2. I/We understand that if the information provided in this form is incorrect or incomplete, Redmayne-Bentley may not be able to carry out any transactions, or otherwise provide its services to the above-named entity.
3. I/We will notify Redmayne-Bentley promptly in writing if any of the information provided in this form becomes incorrect.
4. Redmayne-Bentley shall not be responsible for the consequences of any incorrect or incomplete information being provided in this form, or if I/we do not notify Redmayne-Bentley of any such information subsequently becoming incorrect.
5. I/We understand that, in certain circumstances, Redmayne-Bentley will be obliged to share the information provided in this form with the relevant tax authorities, who may pass it on to other tax authorities. By signing below, I/we provide my/our consent on behalf of the above-named entity to such information sharing.
6. Where applicable, I/we accept the charges (detailed above) for application of an LEI.

Before signing below please make sure that you have carefully read the above declaration. If there is anything in this form that you do not understand, or if you have any questions, please contact your usual Redmayne-Bentley branch and seek clarification before signing. By signing below, you agree on behalf of the above-named entity to both the declaration and consent.

Please sign here (Authorised Signatory 1)

Date

Position

Member Trustee.

X David Thompson 03, 07, 20

Print name David Thompson

If required:

Please sign here (Authorised Signatory 2)

Date

Position

Member Trustee

X Lynne Thompson 03, 07, 20

Print name Lynne Thompson

This form must be signed by an authorised signatory of the entity and accompanied by a list of authorised signatories, if such a list has not previously been provided to Redmayne-Bentley or if the list is not the current list..

☐ I/we confirm that a list of authorised signatories is enclosed.

☐ I/we confirm that we have previously provided a list of authorised signatories to Redmayne-Bentley and that list is current.

Pension Scheme Agreement Form for a Stockbroking Account



This form is required to complete the opening of your execution-only stockbroking account. For further details please contact your local office. Please ensure you have read our *Stockbroking Services: Schedule of Charges* and *Stockbroking and Dealing with Advice Terms of Business* (available at www.redmayne.co.uk/terms).

Please complete all details in **CAPITALS**.

PENSION SCHEME DETAILS

Full Pension Scheme Name Langold SSAS Pension Scheme Tax Reference Number 00834577RV
Country of Establishment England Legal Entity Identifier (LEI)* _____
Type of Pension Scheme: ☐ DB (Defined Benefit) ☒ SSAS (Small Self-Administered Scheme)
☐ QROPS (Qualifying Recognised Overseas Pension Scheme)

**If the Pension Scheme does not have an LEI please provide evidence that this has been applied for, or please contact us for further information. Please note that single-member QROPS do not require an LEI.*

PENSION SCHEME DOCUMENTS

The following documents have been provided to Redmayne Bentley:

- ☐ A certified** copy of the Pension Scheme Trust Deed and Scheme Rules, as well as any Trustee appointments/removals.
☐ A certified** copy of letter/evidence of the relevant tax authority registration (in the UK, this is HMRC).

***Certification should be undertaken by a regulated or professional person covered by money laundering regulation or a government department. Certified copies of documentary evidence should be marked "Original Seen" and where there is a photograph, also confirm that the photograph is a "True Likeness". The certification should be dated, include a name, signature, address, a contact telephone number of the individual certifying, their capacity and a company stamp where possible.*

Examples of who can certify:

- Director, officer or manager of a regulated financial services business
- Official of an Embassy, Consulate or High Commission of the country issuing the passport
- Member of the Judiciary
- Serving Police or Customs Officer
- Lawyer or Notary Public
- Actuary
- Accountant with a recognised professional qualification
- Senior Civil Servant

PROFESSIONAL / CORPORATE TRUSTEE

☐ Yes ☐ No If yes, please complete below.

Company Name _____ Address _____
Tel (Eve) _____
Corporate Registration Number _____
Country of Registration _____ Postcode _____
Is the Trustee a regulated entity? ☐ Yes ☐ No
If yes, please state their regulator _____ Tel _____ Email _____
and provide a certified copy of their authorised signatory list.

If no, we will require additional verification documents.

TRUSTEE/MEMBER VERIFICATION

In order to verify individuals linked to the Pension Scheme, please complete for all Trustees/Members who are authorised to give instructions on behalf of the Scheme.

AUTHORISED INDIVIDUAL 1

☒ TRUSTEE ☒ MEMBER

Title Mr Surname Thompson

First Name(s) (In full) David

Date of Birth 08 / 09 / 58

Residential Address Yew Lodge
15 Chorley Rd. Fulwood

Sheffield Postcode S10 3RJ

Country England

Tel 07831216196

Email david.thompson@tagwealth.org.uk

AUTHORISED INDIVIDUAL 2

☒ TRUSTEE ☒ MEMBER

Title Mrs Surname Thompson

First Name(s) (In full) Lynne Christine

Date of Birth 18 / 10 / 56

Residential Address as over

Postcode

Country

Tel

Email lthompson@tag.co.uk

PRIMARY CONTACT

Please confirm who you wish to be the primary contact for the Scheme:

☐ Professional / Corporate Trustee ☒ Authorised Individual 1 ☐ Authorised Individual 2

If further Trustees/Members are authorised to give instructions on behalf of the Scheme, please copy this page, complete and attach to the form.

In order to identify all Trustees of the Scheme, please complete for all remaining Trustees.

Title Surname Title Surname

First Name(s) (In full) First Name(s) (In full)

Country of Residence Country of Residence

Title Surname Title Surname

First Name(s) (In full) First Name(s) (In full)

Country of Residence Country of Residence

Title Surname Title Surname

First Name(s) (In full) First Name(s) (In full)

Country of Residence Country of Residence

QROPS-ONLY INFORMATION

If your Pension Scheme is a single-member QROPS, please also complete these details as the Member:

Nationality(ies)

National Identifier†

† If your Nationality is British, this is your National Insurance Number; if you have a different Nationality, or if you have Dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier, which may be different to your Tax Identification Number.

ACCOUNT FUNDING

Please indicate the expected average monthly value of payments or funding into the account

- ☐ £0 - £5,000 per month on average ☒ £5,001 - £20,000 per month on average
☐ £20,001 - £50,000 per month on average ☐ >£50,000 per month on average
☐ Sale of shares only

Please indicate the main origin of the initial and ongoing funds or existing assets (if transfer or sale) for the account (please tick all that apply and select at least one)

- ☒ Accumulated investments ☒ Sale of property/business
☒ Sponsor contributions: Approx. value £_____ ☐ Other: _____ Approx. value £1.7m

CLIENT WEB ACCESS (CWA) INCLUDING ELECTRONIC CONTRACT NOTES AND STATEMENTS

If you have provided an email address, we will register the Scheme for our Client Web Access (CWA) service, enabling you to view online your portfolio valuation, balances, contract notes and statements and also make secure payments to the Scheme's account(s). We will issue an email notification when a new document is available for you to view in CWA, unless you have already advised us that you wish to receive documents by post. Please note, certificated transactions are not detailed in CWA portfolio valuations or statements. Please not also, there can only be one set of login credentials per account.

PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like permission to contact the Scheme by email with relevant marketing information about the Scheme's account and the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below. Please note that if you tick any of the boxes below, email communications will be sent to the person named as the primary contact on page one of this form.

- Services and products that may be of interest to you ☒
Stock market investment news and investment opportunities ☒
Details of investment seminars and events ☒

We may use personal data based on legitimate interest to contact the Scheme occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant to the Scheme. If the Scheme does not wish to receive such messages by post, please contact your Redmayne Bentley office or executive.

PAYMENTS

Unless advised otherwise, a deposit account will be opened for you with Redmayne Bentley and all monies credited to this.

Please pay settlements to ☒ deposit account ☐ bank/building society

Please pay dividends to ☐ deposit account ☐ bank/building society

We require details of the Scheme's bank/building society so that we can make a BACS payment when a withdrawal is requested. If this information is not received within seven working days of your account opening, we will require proof of the account when you make your withdrawal request, which may lead to a delay in you receiving the funds.

Name of Bank or Building Society _____

Branch Address _____

Postcode _____

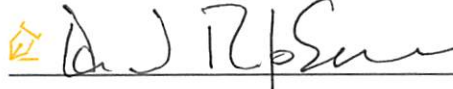
Bank Account Holder's Name(s) _____

Bank Sort Code ____/____/____ Bank Account Number _____ Roll Number _____

DECLARATION

- I/We understand that this is Redmayne Bentley's standard agreement for Stockbroking services and this agreement form, along with the *Stockbroking and Dealing with Advice Terms of Business* and *Stockbroking Services Schedule of Charges*, constitute a legally binding agreement in English law.
- I/we authorise Redmayne Bentley to administer my/our account using the Redmayne Bentley Nominee and cash deposit facilities.
- I/We understand that Redmayne Bentley will execute orders in line with the *Order Execution Policy* (available at www.redmayne.co.uk/orderexecution).
- I/We confirm that I am/we are not a United States 'person' as defined by FATCA, or a Canadian resident.
- I/We declare that this agreement form has been completed to the best of my/our knowledge.

ALL AUTHORISED INDIVIDUALS TO SIGN

 _____ David Thompson 06 / 07 / 2020

AUTHORISED INDIVIDUAL 1 PRINT NAME DATE

 _____ Lynne Thompson 06 / 07 / 2020

AUTHORISED INDIVIDUAL 2 PRINT NAME DATE

If further Trustees/Members are authorised to give instructions on behalf of the Scheme, please copy this page, complete and attach to the form.

Please ensure you have completed **ALL FIELDS** and return to: Transfers, Redmayne Bentley, 9 Bond Court, Leeds LS1 2JZ