



Telephone: **0800 634 4862** Fax: 020 8711 2522 Email: info@pensionpractitioner.com www.pensionpractitioner.com
UK Administration Centre: 48 Chorley New Road, Bolton BL1 4AP

Liberty SIPP
The Exchange
Bank Street
Bury
BL9 0DN

14th December 2017

Dear Sirs

Receiving Scheme Name: Leo 1929 SSAS
Your Reference/Account No: R1000734
Member Name: Paul Richer

The above-named member wishes to transfer their pension held with you into a pension scheme that we administer.

Please find enclosed the completed Transfer Out Form and a copy of the schemes HMRC Registration Certificate. The PSTR number is 00832186RF.

The transfer value should be paid by cheque made payable to 'Leo 1929 SSAS'. I can confirm that we are happy to accept the transfer.

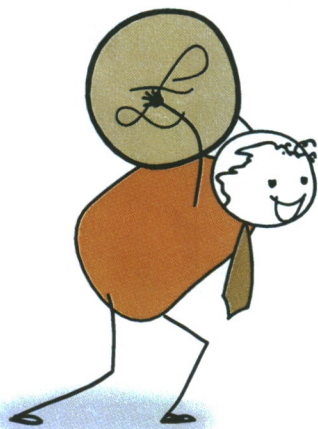
I have also enclosed the Redmayne Bentley Dealing account form in order to facilitate the reregistration of the holdings the member has within his SIPP.

If you have any additional requirements please notify me as soon as possible in order to prevent any delays on the transfer, alternatively I look forward to receiving confirmation that the transfer has completed.

Yours sincerely

A handwritten signature in black ink, appearing to be 'Emma Dane', written over a horizontal line.

Emma Dane
Senior Pensions Administrator



TRANSFER OUT FORM



MEMBER REF (Office use only)

Part A (to be completed by the member)

Name: **Paul Richer**

National Insurance Number: **YS-35-98-65-B**

Address:

9 Fairholme Close, London, England

Postcode: **N3 3EE**

Account Number: **R1000734**

Transitional or Fixed Protection:

We recommend that you seek independent financial advice before completing this section.

Have you registered for enhanced, primary or fixed protection with HMRC

Yes

☐

No

☒

If yes please send us a copy of the HMRC certificate.

Do you have a protected pension age (i.e. you are entitled to take benefits before age 55)

Yes

☐

No

☒

If yes what is the protected pension age

Member Declaration

Type of transfer:

Full

☒

Partial

☐

if this is a partial transfer please confirm amount:

If this is a full transfer please wind up the above plan and transfer the benefits arising to the scheme detailed in PART B below. I confirm that your compliance with this request shall be a full discharge of the liability of Liberty SIPP Limited and Liberty Trustees Limited in respect of the above plan.

Please encash all investments and transfer out in cash

☐

Please transfer all investments in specie; any cash on the Metro Bank account(s) will also be transferred to the Receiving Scheme

☒

Signature:

(Member)

Date:

12/11/2017

Signature:

(Authorised signatory, Liberty SIPP)

Signature:

(Authorised signatory, Liberty SIPP)



Part B (to be completed by receiving scheme)

Receiving Scheme/Insurer/Policy No:

Address/Post Code of Scheme/Provider

Is the Scheme a Registered Pension Scheme under Chapter II Part IV of the Finance Act 2004?
If NO the transfer cannot go ahead unless an annuity is being purchased

Yes ☒ No ☐

HM Revenue & Customs Reference Number

Contracting Out reference (ie ASCON/SCON/ECON/ASCN)

Please tick the appropriate box describing the type of Receiving Scheme

Fully invested in insurance policies with the provider named above ☐

Small Self Administered Scheme (SSAS) ☒

Self-Invested Personal Pension (SIPP) ☐

Defined Benefit Scheme ☐

Qualifying Recognised Overseas Pension Scheme ☐

Annuity Provider ☐

Payment Instructions (tick as appropriate)

Payee

By BACS (subject to a charge) ☐ By immediate transfer eg CHAPS (subject to a charge) ☐

Bank

Address

Sort Code

Account Name

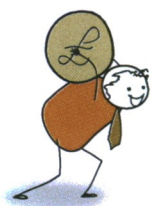
Account Number Ref

Declaration By Receiving Scheme

I confirm that the above information is correct and agree to the transfer of benefits. I authorise HM Revenue & Customs to confirm, or otherwise, to Liberty SIPP that the Receiving Scheme is a Registered Scheme.

Signature Position

Name (in capitals) Date



LIBERTY SIPP LIMITED

HEAD OFFICE:
THE EXCHANGE,
BANK STREET,
BURY BL9 0DN

TEL: 0161 763 7070

EMAIL: ENQUIRIES@LIBERTYPENSIONS.COM

WEB: WWW.LIBERTYPENSIONS.COM

DIRECTORS: JOHN FOX - JULIE DEAN - IAN CURRIE - MICHAEL TAYLOR

COMPANY REGISTRATION NO: 6365953. AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY (REGISTRATION NO: 476409).

Redmayne-Bentley's Pension Dealing Account Form

This form is to be completed by the Members and Trustees of the pension scheme. It should be read in conjunction with Redmayne-Bentley's *Terms and Conditions* (available at www.redmayne.co.uk/terms) and *Guide to Services and Charges* (available at www.redmayne.co.uk/charges).

Name of Pension Leo 1929 SSAS

Type of Pension (please select as appropriate) ☐ SIPP ☒ SSAS

☐ QROPS ☐ Other (please specify) _____

PRIMARY CONTACT

Your role within the scheme (Tick all that apply)

☒ Member ☒ Trustee* ☐ Authorised Dealer†

Title (eg. Mr. Mrs. Dr.) Mr Surname Richer

First Names (in full) Paul

Address 9 Fairholme Close
London

Postcode N3 3EE

E-mail _____

Tel No. (Day) _____

Tel No. (Evening) _____

Tel No. (Mobile) _____

Date of Birth 15 / 04 / 1952

Country and Place of Birth† _____

Country(ies) where taxed† _____

National Insurance No.‡ _____

Occupation _____

Employer _____

Residential Status: ☐ With Parents ☒ Home Owner ☐ Tenant ☐ Other

SECONDARY CONTACT

Your role within the scheme (Tick all that apply)

☐ Member ☐ Trustee* ☐ Authorised Dealer†

Title (eg. Mr. Mrs. Dr.) _____ Surname _____

First Names (in full) _____

Address _____

Postcode _____

E-mail _____

Tel No. (Day) _____

Tel No. (Evening) _____

Tel No. (Mobile) _____

Date of Birth _____ / _____ / _____

Country and Place of Birth† _____

Country(ies) where taxed† _____

National Insurance No.‡ _____

Occupation _____

Employer _____

Residential Status: ☐ With Parents ☐ Home Owner ☐ Tenant ☐ Other

*If there are more than two Trustees, please photocopy this form to add details of the additional Trustees.

†A person authorised to give dealing instructions on the account.

‡Mandatory for Members and Authorised Dealers.

Plan/Policy Number (if applicable) Leo 1929 SSAS

Further addresses for copy contract notes:

Position: ☐ Member ☐ Employer ☒ IFA ☐ Other

Title (eg. Mr. Mrs. Dr.) Mr Surname Thompson

First Names (in full) David

Company Name TAG Wealth Management

Address Riverdale

89 Grahama Road

Sheffield Postcode S10 3GP

E-mail info@tag.uk.com

Tel No. (Day) 0114 263 0888

Position: ☐ Member ☐ Employer ☐ IFA ☒ Other

Title (eg. Mr. Mrs. Dr.) MISS Surname DANE

First Names (in full) EMMA

Company Name Pension Practitioner

Address Daws House 48 CHORLEY NEW ROAD

33-35 Daws Lane BOLTON

London Postcode NW7 4SD BLI 4AP

E-mail info@pensionpractitioner.com

Tel No. (Day) 0800 634 4862

CLIENT WEB ACCESS (CWA)

If you have provided an e-mail address as part of this application, you will be given secure access to view your account through our CWA service. A CWA username will be sent to you via e-mail, with your CWA password to be sent by post. E-mail notifications will advise you when any future contract notes and statements are available to view within your CWA account. You have the option to switch to receiving paper contract notes and statements at any time within the 'Preferences' section of your CWA account or by checking the boxes below.

I wish to receive paper: ☐ Statements ☐ Contract Notes

CUSTODY AND PAYMENTS (Please complete in BLOCK CAPITALS)

All stock will be administered using the Redmayne-Bentley Nominee and cash deposit facilities. If you wish to appoint your own custodian, please tick this box ☐ and indicate the custodian's CREST participant ID _____

All interest, dividends, sale and corporate action proceeds will accrue to a designated deposit account held by Redmayne-Bentley. If you wish to hold all funds yourself and remit funds to us on receipt of a contract note, please tick this box ☐

Please provide details of the Trustee Bank account to which funds should be transferred for payment of eg. fees, income withdrawal etc. We require evidence of your Bank or Building Society account; please provide a hard copy document sent from your Bank or Building Society detailing your name, account number and sort code, such as a statement with full headers, recent correspondence or a spoiled cheque.

Name of Bank/Building Society _____

Bank Address _____

Postcode _____ Bank Account Holder's Name(s) _____

Sort Code _____ / _____ / _____ Bank Account Number _____

DECLARATION (Please complete)

1. I am a member of an authorised pension scheme or QROPS (member(s) only).
2. I/We have been supplied with a copy of Redmayne-Bentley's *Terms and Conditions* and *Guide to Services and Charges*.
3. If I am named as the Primary point of contact, I agree to pass on details of all corporate actions to the member(s) in a timely manner.
4. I/We give Redmayne-Bentley the authorisation to administer my/our* account(s) using the Redmayne-Bentley Nominee and cash deposit facilities.
5. I/We agree that in certain circumstances, investment restrictions may be placed upon Redmayne-Bentley by professional Trustees which may restrict any trading I/we may undertake.
6. Whilst most orders undertaken will be in accordance with our published *Best Execution Policy*, please note that Redmayne-Bentley may execute orders outside of a recognised market or Multilateral Trading Facility (MTF). You agree that we may do this as we see fit in order to achieve a similar or better result. Please contact us if you do not understand this or require further information.
7. This is our standard agreement, upon which we intend to rely, for allowing Trustees to undertake transactions on behalf of a pension scheme or QROPS. For your own benefit and protection you should read Redmayne-Bentley's *Terms and Conditions* and *Guide to Services and Charges* carefully. If you do not understand any point please ask for further information.
8. I/We declare that this application form has been completed to the best of my/our knowledge.

Please sign here

Date

Date

X

(Signatory One)



12.11.2017

X

(Signatory Two (if applicable))

Members of the London Stock Exchange · Authorised and Regulated by the Financial Conduct Authority
Redmayne-Bentley LLP is a Limited Liability Partnership · Registered in England and Wales No: OC344361
Registered Office: 9 Bond Court, Leeds LS1 2JZ · LEI: 213800S3IRIPK1R3JQ58
Telephone: 0113 200 6584 · E-mail: info@redmayne.co.uk · VAT No: GB 165 8810 81
Branches throughout the UK and in the Republic of Ireland, for details visit www.redmayne.co.uk

**REDMAYNE
BENTLEY**
STOCKBROKERS

PENSION 06/16/009 BBB7972



125010:00000009:001

Pension Practitioner.Com Limited
Daws House
33-35 Daws Lane
London
NW7 4SD

HM Revenue & Customs
Pension Schemes Services
FitzRoy House
Castle Meadow Road
NOTTINGHAM
NG2 1BD

Notification of registration for tax relief and exemptions

We have registered Leo 1929 SSAS
on 12 09 2016. Tax relief and exemptions are due from this date.

This notification tells you that HM Revenue and Customs (HMRC) acknowledge your declaration of liability and registration of the above pension scheme for tax purposes only. It has no legal significance beyond advising you of that.

Your Pension Scheme Tax Reference (PSTR) is 00832186RF. You should use this when you want to view the scheme details online and in all future communications with us.

Your pension scheme

On your application for registration you have indicated that the scheme is an occupational and investment-regulated pension scheme. If the scheme ceases to be investment-regulated and/or changes its pension scheme structure, then you must tell us about the change on an event report. If there are any other changes, to any factor regarding a declaration or confirmation you gave when applying to register the scheme, please tell us as soon as possible.

An occupational pension scheme is defined in Section 150(5) of the Finance Act 2004 as 'a pension scheme established by an employer or employers and having or capable of having effect so as to provide benefits to or in respect of any or all of the employees of:

- that employer or those employers
- any other employer

whether or not it also has or is capable of having effect so as to provide benefits to or in respect of other persons'.

As you have indicated that this is an occupational pension scheme, we expect a genuine employer to have established the scheme. If this is not the case, we may deregister the scheme.

Your responsibilities as the scheme administrator

As part of the registration process you declared that as the scheme administrator you are a fit and proper person to carry out the role and you will comply with Section 270(3) of the Finance Act 2004. This means that you:

- understand that you will be responsible for carrying out the functions conferred or imposed on the scheme administrator by and under this section
- intend to carry out those functions at all times, whether resident in the UK or another state which is a European Union member state or a non-member European Economic Area state

If you do not comply with Section 270(3), or it appears to HMRC that you are not a fit and proper person, we may deregister the pension scheme.

Authorised transfers

When you applied for registration you confirmed that:

- the pension scheme rules do not directly or indirectly entitle any person to unauthorised payments
- the pension scheme will not be administered in a way that knowingly entitles any person to unauthorised payments

You must make sure that any transfer of sums and assets out of the pension scheme is a recognised transfer in accordance with Section 169 of the Finance Act 2004. Any transfer that is not a recognised transfer would be deemed to be an unauthorised member payment.

An unauthorised member payment would be a scheme chargeable payment. As scheme administrator of the pension scheme you would be liable to a charge to Income Tax of up to 40% of the unauthorised payment, known as the scheme sanction charge.

You can get detailed guidance on pension liberation, which you may find useful in deciding if you should action a transfer request, from The Pensions Regulator. Go to www.thepensionsregulator.gov.uk

Deregistration

We may carry out checks to make sure that the pension scheme continues to meet the criteria to be a registered pension scheme for tax relief and exemptions.

~~If we identify that any of the criteria in Section 158 of the Finance Act 2004 apply then we may deregister the pension scheme. If that should happen, you will be liable to a deregistration tax charge of 40% of the total of:~~

- ~~• the amount of any sums held for the purposes of the pension scheme immediately before it ceased to be a registered pension scheme~~
- ~~• the market value at that time of any assets held for the purposes of the pension scheme~~

You can find more information about recognised transfers, unauthorised payments and deregistration in the ~~Pensions Tax Manual. Go to www.gov.uk and search for 'Pensions Tax Manual'.~~