



FATCA Operations

Anti-Lau

Draper House

Saffron Road

Canterbury

CT6 2BB



Ref: T

Dear Sirs

IMPORTANT – THIS LETTER REQUIRES YOU TO TAKE ACTION

We are writing to you because anti-tax regulations in the UK have changed and we are seeking your help. The new regulations require us to review our customer records and identify any accounts which may be reportable to HM Revenue & Customs (HMRC).

The regulations require us to report any accounts held directly or indirectly or which are owned or controlled by persons or entities not resident for tax purposes in the UK. HMRC may then share this information with relevant tax authorities abroad under agreements between the UK and those other territories.

HMRC have produced a quick guide for customers about these changes and this may be helpful to you in understanding why you have received this letter and why we need you to provide certain information and forms. This guide can be found on our website at barclays.com/fatca under "Customers".

Alternatively you can access the guide from HMRC's website using the link at the bottom of this letter.

What you need to do

In order to meet the regulations mentioned above, we would ask you complete a self certification form¹ which will confirm your tax residency and status of your entity.

The self certification form and instructions can be found at barclays.com/fatca under "Forms".

Once on the Barclays FATCA webpage, please select "Forms" and then select "British Banking Association (BBA) entity self certification form". For instructions please select "British Banking Association (BBA) entity self certification form instructions".

If you are a US entity (ie. the entity is resident in the US for tax purposes) you will also need to complete form W-9, as specified within the self certification form instructions. A copy of the W-9 form is also available at barclays.com/fatca by selecting "Forms" and "The IRS W-9 form and instructions".



to 30% taxes on other information by headless (bare) post. Visit the UK's website accessibility section www.barclays.co.uk/Accessibility for more.

If you do not have a way to download a printer or would prefer us to provide you with a paper form(s), to complete please contact our support team on 0330 1545928* and we will arrange for one to be posted to you.

Please supply the completed form(s) within the next 90 days. You can do this by posting a signed copy of the form and return slip. If visiting a branch please take this letter with you, including the return slip.

Why it's important

If we do not receive this information then, depending on other information we hold about you, we may still be obliged to report the account information to HMRC, who may share that information with the appropriate tax authorities abroad. In certain circumstances, including where account holders do not respond to this request, we may also be required to arrange for withholding of 30% tax from any US source income payments made to your account. Fully completing the required form(s) will enable us to correctly determine if your account is reportable or not.

If you receive further or similar copies of this letter from Barclays, this may be in relation to multiple services or accounts you access via us, to which the above legislation applies. If you do have multiple relationships with us and receive separate requests for similar information, forms you will need to respond to each request.

Should you have any queries about this letter, or you believe the information that we currently hold is incorrect, please contact our support team on +44(0)330 159 5928 (lines are open Monday - Friday 8am - 8pm GMT) or alternatively via email at service.support@barclays.com.

Please note: Barclays Bank PLC are neither authorised nor qualified to provide client tax advice. We recommend that clients who are unsure of their tax status contact their own Independent Tax Advisor for further assistance.

Yours faithfully,

Global Head of Tax Operations

Section B – For a Non-Financial Entity (NFE) to complete

(a) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a Related Entity which is a related entity of such a corporation

If you have ticked (a), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (a) is a Related Entity of:

(b) Active NFE – a Government Entity or Central Bank

(c) Active NFE – an International Organisation

✓ (d) Active NFE – Employer. Please refer to guidance for further details

If you are a start-up company formed in the past 24 months, please provide your date of incorporation:

Date / /

DD / MM / YYYY

(e) Please tick if you claim at least one of the following: **Section C**

If your organisation is a resident of the UK Crown Dependencies or Gibraltar and your classification differs under the UK Crown Dependencies/Gibraltar Intergovernmental Agreements then please add alternative classification below:

UK and Gibraltar (04/01/2020)

(d) A UNITED KINGDOM RESIDENT
EMPLOYER FINANCED
OCCUPATIONAL PENSION SCHEME

Section C - Controlling Persons

Controlling Persons¹ are the natural person(s) who exercise control over an entity.

In the case of a trust, the Controlling Person(s) are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, or any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership)

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust

If you have ticked **Section A** (a) i or **Section B** (e) above, please complete the details for each Controlling Person in the section below (please continue on a separate sheet if necessary, signing, dating and attaching the sheet to this form) or attach completed Controlling Person forms for each Controlling person:

Please choose the capacity/position of Controlling Person from this list - Controlling Person of:

- | | |
|---|---|
| ☐ An entity or other legal person – control by ownership | ☐ a trust – other |
| ☐ An entity or other legal person – control by other means | ☐ a legal arrangement (non-trust) – settlor-equivalent |
| ☐ An entity or other legal person – senior managing official | ☐ a legal arrangement (non-trust) – trustee-equivalent |
| ☒ a trust – settlor | ☐ a legal arrangement (non-trust) – protector-equivalent |
| ☒ a trust – trustee | ☐ a legal arrangement (non-trust) – beneficiary-equivalent |
| ☐ a trust – protector | ☐ a legal arrangement (non-trust) – other-equivalent |
| ☐ a trust – beneficiary | |

Controlling Persons – 1st – TRUSTEE

Capacity/ Position: **TRUSTEE AND BENEFICIARY**

Surname: **VILLAGE**

Title: **MR.**

Permanent Residential Address:

First Name: **ANTHONY**

Street:

Middle Name(s): **NOEL**

Town:

Date of Birth: **14/09/1950**
(DD/MM/YYYY)

City:

Place of Birth (City/Town)

Postal Code:

Country of Birth:

Country:

Please complete the following table for any country the Controlling Person is considered a tax resident.

1. Country: UK	TIN:	or TIN unavailable
2. Country:	TIN:	or TIN unavailable
3. Country:	TIN:	or TIN unavailable
4. Country:	TIN:	or TIN unavailable

If no TIN is available, please provide explanation below: **(LCTR NUMBER)**

- 1.
- 2.
- 3.
- 4.

Controlling Persons – 2nd

Capacity: Trustee **TRUSTEE AND BENEFICIARY**

Surname: **COLLIER**

Title: **MR**

First Name: **STEVEN**

Middle Name(s): **ANTHONY**

Date of Birth: **18/12/1960**

Place of Birth (City, Town)

Country of Birth

Permanent Residential Address

Street

Town

City

Postal Code

Country

Please complete the following table for any country the Controlling Persons considered a tax resident

1. Country	TIN	or TIN unavailable
2. Country	TIN	or TIN unavailable
3. Country	TIN	or TIN unavailable
4. Country	TIN	or TIN unavailable

If no TIN is available, please provide explanation below

- 1
- 2
- 3

CTR NUMBER

Controlling Persons – 3rd – SETTLOR

Capacity: Settlor

Surname: **NOEL VILLAGE (STEEL FOUNDER) LTD**

Title:

First Name

Middle Name(s)

Date of Birth: / /

Place of Birth (City, Town)

Country of Birth

Permanent Residential Address

Street

Town

City

Postal Code

Country

Please complete the following table for any country the Controlling Person is considered a tax resident

1. Country	TIN	or TIN unavailable
2. Country	TIN	or TIN unavailable
3. Country	TIN	or TIN unavailable
4. Country	TIN	or TIN unavailable

If no TIN is available, please provide explanation below

- 1
- 2
- 3
- 4

(CORPORATION TAX)