

SSAS SET UP INFORMATION

Client Name/s Members and Trustees	Paul Henry Franks LINDA FRANKS
Scheme Name	PLF Pension fund
What ceding schemes are the transfers coming from If not transfers – source & explanation of contribution	Dentons x 2 James HAY x 1
What are the Transfer values/contribution amount	140000 CASH James HAY Dentons 40K cash + Assets. TOTAL 600K
What percentage of customers funds are going into non standard investments, unconnected third party loans, loan note structures.	Preference share structure by non connected party 25% of retirement assets ✓
Details of the investments the client (trustees) are considering	Elysium funds via future capital partners owned personally - in specie transfer to take place ✓ 140K
The number of people employed by the scheme establisher	4 including Paul.
The corporation Tax reference of the employer The VAT registration number of the employer PAYE reference	5327677618295402 173386096 1201ZA83264
Current employment status	Director.
Is the proposed Limited Company currently active	yes Keith Long Target financial FCA ✓ 410806
Financial Adviser Involved	yes
If no Financial Adviser – explanation why	NA
Classification of Client <u>Sophisticated</u> <u>High Net Worth</u> Retail	
Explanation as to why customer is setting up a SSAS	consolidate all SIPP's husband & wife and provide flexibility
Names of introducers	NA
Details of web based material:	NA