

PRN Medical Transcription Limited
4 Highfield Road
Malvern
Worcestershire
WR14 1HS

Date: 04 January 2024
Our Ref: VAN/04710539/LC
Helpline: 0800 408 2065
Your Ref:

Dear Sir/Madam

Vanguard FTSE UK All Share Index Unit Trust Fund
Clients Name: PRN Medical Transcription Limited and PRN Medical
Transcription Fund
Reference Number: 438

Thank you for your letter which we received on 2023-12-06. We recently wrote to you requesting certain verification documents, however we have not received a reply.

To reiterate, anti-money laundering regulations require us to maintain up-to-date client information on an ongoing basis and where relevant obtain details of controllers and beneficial owners.

We are unable to accept the certification of the pension trust documents as it has been certified by one of the directors, but we require it to be certified by an independent professional or regulated person. We also require documents to verify PRN Medical transcription Ltd as the Pension Administrator. Please find enclosed a list of documents, which are required to verify your organisation based on the entity type. To help us meet our regulatory and legal requirements, please send us the stated item(s), along with the provided documentation slip, as soon as possible. We may contact you for further information.

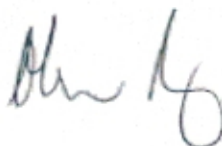
If, however, your entity has been classified incorrectly, please contact us immediately so that we can amend our records accordingly and request alternative verification documents from you where necessary.

Anti-money laundering regulations prevent us from processing certain instructions, releasing withdrawal proceeds, or in some cases accepting contributions without having first verified the investor and any third parties registered against the account.

If you have not yet made an investment with us, to comply with data protection regulations we will remove your details from our records if you do not provide the requested documentation. We would like to thank you in advance for your co-operation.

If you need any further information please call a member of our team on 0800 408 2065.

Yours faithfully



Ollie Rabley
Chief Operating Officer, Finance

Enclosure(s): ML Doc Slip
ML Docs Corporate Guide
BO & Controller Guidelines
BO & Controller Details

AML Documentation Slip

Please return this page with your documentation.

Client Reference: 438

Client name: PRN Medical Transcription Pension Fund and PRN Medical Transcription Limited

Client address: 4 Highfield Road
Malvern
Worcestershire
WR14 1HS

If certified, please arrange for the certifier to provide the following details:	
Certifier name:	
Signature:	
Job title:	
Date:	
Business telephone number:	
Business name & address:	

Please return this form to Vanguard Investments UK Limited, PO Box 10315, Chelmsford, CM99 2AT.

DOCUMENTATION GUIDELINES FOR CORPORATE ENTITIES

Please provide certified copies of the documents shown below. Do not send originals of documents.

For UK/EU/EEA regulated companies, copies must be certified and dated by an authorised signatory or company secretary within the firm. For all other entities, copies must be certified and dated by an independent professional or regulated person subject to the anti-money laundering regulations and include the signature, name and position of the person signing. The certification must be dated within the last 6 months.

For PRN Medical Transcription Limited as the Pension Administrator Private Corporate Company

We require:

- ☐ Certificate of Incorporation (or equivalent)
- ☐ Memorandum and Articles of Association (or equivalent)
- ☐ Confirmation of Senior Manager(s) responsible for operations); including full name, residential address and date of birth of each individual
- ☐ Full List of Directors, Shareholders, Beneficial Owner(s) and Person(s) of Significant Control (PSC); including full name, residential address and date of birth of each individual – please note we may attempt electronic verification checks and request verification documents where required. See Beneficial Owner and Controller Guidelines for more information
- ☐ Up-to-date structure chart, including ownership percentages
- ☐ Up-to-date Authorised Signatories list

For PRN Medical Transcription Pension Fund as the Pension Scheme Small Self-Administered Scheme (SSAS)

Please note this will be registered in the name(s) of the Trustee(s), who will be verified in their own right.

We require:

- ☐ Evidence of HMRC or Pension Regulator registration, including PSTR reference, or Pension Scheme Incorporation Documents and Trust Deed to be certified by an independent person as per the guidelines at the top of the page

BENEFICIAL OWNER AND CONTROLLER GUIDELINES

In the case of a body corporate, other than a company listed on a regulated market, the beneficial owner includes any individual who:

- exercises ultimate control over the management of the body corporate; or
- ultimately owns or controls (whether through direct or indirect ownership or control, including through bearer share holdings or by other means) more than 25% of the shares or voting rights in the body corporate; or
- otherwise controls the body corporate

For example, if no individual owns or controls more than 25% of the shares or voting rights in the body, firms should use judgement in determining whether an individual owning or controlling a lower percentage exercises effective control.

Guidance on the meaning of other forms of significant influence and control is available for companies: www.gov.uk/government/news/people-with-significant-control-psc-who-controls-your-company

For applicants who are body corporates or partnerships please provide details below of any natural person(s) that directly own or control more than 25% of the voting rights in the body or partnership or who own or are entitled to more than 25% of its capital or profits.

Should the applicant not be directly owned by a natural person(s), please send details of the ownership structure to us and upon receipt we will advise you of any further information that may be required from you.

Information provided will be validated accordingly and we may be required to obtain further details or documentation from you, depending on the information provided.