Hi Brad,

Steve asked me to send this over to you to complete the rest of Section 'A' and Section 'B'. I've signed Section 'A' ready.

Lorraine.

Vantage SIPP

Transfer Out Form

HARGREAVES LANSDOWN

Part A - You should complete this part first

02.13 (2)

1. Your Details

Title(Mr/Mrs/Miss/etc)	MRS	Surname	LAWSON	Full forenames	LORRAINE ANN
National Insurance no.	WE 65 56 26 C				
Postcode	SS13 1RJ	Date of birth	20 / 05 / 1959	HL Client No.	2142820

2. Details of your new pension scheme

Scheme name	PETER PICKERING PENSION SCHEME	Type of pension	SSAS	Policy number (if known)	
Name and address of scheme administrator					
				Postcode	

3. Fund to be transferred

Would you like the full fund to be transferred? Yes ✓ No ☐

If no, please indicate the portion of the fund to be transferred: 100 % **OR** £

How should your fund be transferred?

Cash ✓

In Specie (Stock Transfer) ☐ *Please note that some pension schemes do not accept stock transfers, or may decline an 'in specie' transfer of certain assets. Any holdings which cannot be transferred in specie will be sold and transferred as cash. Any investment income received in your SIPP following our receipt of this application will be transferred as cash.*

Important Note: It is your responsibility to ensure there is sufficient cash to pay any transfer-out fees. If you prefer to pay any transfer-out fees by cheque, please return your cheque with this form.

If you wish to transfer only part of your fund, you must specify the assets to be transferred (for an 'in specie' transfer) or ensure there is sufficient cash (if it is to be a cash transfer).

Income Drawdown Transfers - HMRC regulations permit the transfer of full income drawdown arrangements only. If you would like to transfer out part of your income drawdown plan please contact us before proceeding.

Declaration

- I confirm that I wish to transfer my HL Vantage SIPP to the Registered Pension Scheme shown above. I authorise Hargreaves Lansdown to provide the scheme administrator named above with any information that they require in respect of this transfer.
- For cash transfers, please accept this instruction to sell any assets currently held within my HL Vantage SIPP including, where applicable, those held within the HL Group SIPP and the HL Portfolio Management Service (PMS). The proceeds should be transferred to the scheme detailed above.
- If I have requested a full transfer I understand that all regular payments being made to my SIPP will be cancelled on receipt of this form unless I have given written instructions to the contrary. Please accept this as my authority to contact my employer to cancel these contributions where applicable.
- I understand a transfer fee of £75, or £250 for a transfer to an Overseas Pension Scheme, will be deducted from the fund value before it is transferred.
- If I have received a cash incentive from Hargreaves Lansdown in the past 12 months, I understand I must reimburse Hargreaves Lansdown for the full amount. This is in accordance with the original cash offer terms. I have enclosed a cheque for the amount due with this form.
- I understand I have not received and will not receive any advice from Hargreaves Lansdown regarding any aspect of this transfer, unless that aspect is covered under a separate agreement for individual advice from a Hargreaves Lansdown Financial Practitioner.
- I confirm that the information provided above is to the best of my knowledge true and complete.

I confirm that the payment made and/or assets transferred (as appropriate) shall constitute a full and final discharge in respect of this portion of my HL Vantage SIPP (as specified above), and that I shall have no further claim against Hargreaves Lansdown in respect of this portion.

Signature **X** LLawson

Date **X** 20 / 01 / 2015

PART B - This section will be completed by your new pension provider

Your Details

Scheme name	Company name
Name and address of Scheme Administrator	
Phone number	Conatact name

Scheme type (please tick as appropriate)

- ☐ **SIPP/SSAS -** *Nature of transfer: 100% Cash/Wholly or Partly in specie (Please provide stockbroker details separately for in specie)*
- ☐ **100% Insured -** *Transfer cheque may only be payable to the scheme administrator or the insurance company involved*
- ☐ **Retirement Annuity -** *It is not possible for a scheme formerly approved as a retirement annuity contract under Chapter 3 Part 14 Income and Corporation Taxes Act 1988 to accept a transfer from the HL Vantage SIPP.*
- ☐ **Deferred annuity/section 32 buy out -** *For this option, by signing the declaration you are also confirming that you are an insurance company authorised to carry out long term business in the UK.*
- ☐ **QROPS -** *As this transfer is a Benefit Crystallisation Event there will be further documents to be completed to ascertain whether or not there is a Lifetime Allowance Charge to be deducted prior to transfer*
- ☐ **Other -** *Please specify the nature of your scheme:*

Scheme Registration: We confirm that the above scheme is registered under Chapter 2 of Part 4 of Finance Act 2004 or deemed to be so registered by virtue of paragraph 1 (1) of Schedule 36 Finance Act 2004, or is a Qualifying Recognised Overseas Pension Scheme (QROPS).

Pension scheme tax reference (PSTR)	OR	QROPS reference number
ASCN (if applicable)		

Please indicate your preferred payment type:

Electronic Transfer ☐

Please provide bank details:

Account name	Account number	Sort code
Name and address of bank branch		
	Postcode	Policy number (if known)

Cheque ☐ **Please provide payee details:**

Declaration: We declare that the above information is true and complete and that we are willing and able to accept this transfer. We confirm that the funds transferred will be applied to provide benefits consistent with the legislation covering Registered Pension Schemes. We give permission for HMRC to provide the scheme administrator of the HL Vantage SIPP with information relating to the status and registration of our scheme.

Signature	Name
Position	Date / /

Ceding Scheme Details

Full Name: HL Vantage SIPP
Scheme Type: Self Invested Personal Pension *Deemed as registered by virtue of paragraph 1(1) of Schedule 36 of the Finance Act 2004.*
Pension Scheme Tax Reference (PSTR): 00616238RC