

UOB KAY HIAN (HONG KONG) LIMITED

大華繼顯（香港）有限公司

Exchange Participant of the SEHK 香港聯合交易所參與者
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UOBKayHian

綜合日結單 Daily Combined Statement

RBC PENSION SCHEME

DAWS HOUSE
33-35 DAWS LANE
LONDON
NW7 4SD

Print Date 列印日期: 10 Apr 2019
Account No 客戶編號: 660023-001M
AE Code 經紀編號: 327
Debit Interest Rate (HKD) 借款利率: 7.1250% p.a

Margin Limit 保證金限額: HKD 1
Margin Authority Expiry Date 31 Dec 2019
信貸限額到期日:

現金帳戶記錄 Cash Statement for 09 Apr 2019

Date 交易 日期	Sdate 交收 日期	Ref No 參考 編號	Stock Code 股票 代號	Bought / In 買 / 入	Sold / Out 沽 / 出	Description 摘要	Price 單價	CCY 貨幣	Debit 支帳 / 結欠	Credit 存帳 / 結存	Balance 結餘
承上結餘 Balance B/F								EUR			300,000.00
09/04	09/04	119154				T/T WITHDRAW		EUR	-299,974.00		26.00
09/04	09/04	119155				BANK CHARGES		EUR	-26.00		0.00
結餘轉下 Balance C/F								EUR			0.00

N/A* - (Remarks: For all shares held before the launch date, the Reference Cost is "N/A", the Reference Cost will NOT be calculated if there is any asset holdings with "N/A". The Reference Cost will be calculated upon it is reset after all holdings are sold or withdrawn; or upon client instruct to adjust the Reference Cost manually. For Details information, please refer to the "Reference cost calculation user manual" which can be found from our Company's website utrade.com.hk.)
N/A* - (備註: 所有於服務開始日期前持有之證券, 參考成本價為 "N/A" ("不適用"), 如某證券之參考成本價為 "N/A" ("不適用"), 該證券之參考成本價將不會被計算。直至所持有股數全部沽出或提取, 參考成本價被重置; 或客戶指示手動修改參考成本價, 參考成本價才會被計算。詳情請參閱本行之 "計算參考成本價用戶手冊", 該文件可在本公司網頁 utrade.com.hk 內找到。)
Reference cost provided is indicative and for information only. It should not be relied upon for any valuation or investment decision making purposes.
所提供之參考成本價為指示性質及僅供參考。請勿依賴參考成本價以作估值或投資決定。

1. UOB Kay Hian wishes to alert the general public to a fraudulent website at hxtps://uobkayhiangroup.com. For more details, please visit utrade.com.hk. 大華繼顯呼籲公眾提高警覺, 留意以下欺詐網站: hxtps://uobkayhiangroup.com。詳情請瀏覽 utrade.com.hk。
2. USD Prime rate revised to 5.50% p.a. effective from 21 December 2018. 美國最優惠利率於2018年12月21日起修訂為5.50% p.a.。
3. CNY base rate revised to 5.3% p.a. effective from 5 June 2018. 人民幣基本利率於2018年6月5日起修訂為5.3% p.a.。
4. HKD prime base rate revised to 5.125% p.a. effective from 28 September 2018. 香港最優惠利率於2018年9月28日起修訂為5.125% p.a.。

結單完結
- End of Statement -

GLOSSARY OF ABBREVIATION 重要提示

Adjust Interest	調整利息	Daily Interest Accrued	日息累積	Scrip Fee	過戶費
Application of	申請	Debit OD Interest	扣除過期利息	Stock Deposit	股票存入
Bank Charges	銀行費用	Deposit Money / CR	現金存入	Stock Voucher	股票入賬
Cash Credit Transfer CCY Between Market	由其他貨幣轉換成現金存入	Dividend Collection Fee	股息收費	Successful App of	成功申請
Cash Debit Transfer CCY Between Market	由其他貨幣轉換成現金提取	Dividend Deposit	股息存入	Successful Margin Fin App of	成功孖展貸款申請
Cash Deposit	現金存入	Force Sell (FS)	強制平仓	Transfer Deposit	轉賬存入
Cash Voucher	現金入賬	Fund Transfer	現金轉賬	T/T Deposit	匯款存入
Cheque Deposit	支票存入	Handling Charges	手續費	T/T Withdraw	匯款提取
Cheque Withdraw	支票提取	Initial Deposit on Application of	存入申請新股現金	Withdraw Money / DR	現金提取
Conversion Charges	轉換費用	Margin Fin	孖展貸款	Withdraw Stock	股票提取
Convert From	兌換外幣轉入	Net Total Due on	淨總結到期日致		
Convert To	兌換外幣轉出	Refund Margin Fin	退回貸款		
Custody Fee	存倉費	Refund Unsuccessful of	退回不成功申請		

Notes:

- Notes 3, 4 & 5 below apply to securities traded in the Hong Kong Market only.
- The transactions on the statement is completed by UOB Kay Hian (Hong Kong) Limited in the capacity specified below.
For Securities, Funds and OTC Form Investment Products, UOB Kay Hian (Hong Kong) Limited is acting as agent.
For Bonds and Note Form Investment Products, UOB Kay Hian (Hong Kong) Limited is acting as principal.
- The above stated transaction and investor compensation levies are collected on behalf of and will be paid to the Stock Exchange of Hong Kong Limited ("Stock Exchange") and Securities and Futures Commission respectively as prescribed in the relevant Rules and Regulations.
- In the event of default by the Exchange Participant resulting in the client suffering pecuniary loss, the liability of the Compensation Fund will be restricted to valid claims as provided for the Securities and Futures Ordinance.
- The Stamp Duty imprinted herein has been/will be paid through the Stock Exchange of Hong Kong Limited.
- The stock market is a rapidly changing market and there is inherent risk of incurring loss in securities dealing.
- This statement serves as an acknowledgement of receipt of securities or cheque payment.
- The above mentioned securities are being held (will upon delivery to us be held) by us for safe custody purposes in accordance with Section 148 of the Securities and Futures Ordinance.
- Please notify us within 7 days if any part of this statement is incorrect. Otherwise, this statement will be deemed to be correct and accepted by you.

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<http://utrade.com.hk/tc/help/forms/change-of-information-notice-tc.pdf>

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This is computer-generated statement, signature not required.

備註:

- 下列備註 3, 4 及 5 只適用於香港市場交易之股票。
- 在結單上的交易由大華繼顯(香港)有限公司以下述的身份完成。
證券、基金及場外交易型式發行的投資產品由大華繼顯(香港)有限公司以代理人身份完成。
債券及以票據形式發行的投資產品由大華繼顯(香港)有限公司以主事人身份完成。
- 上述之交易及投資者賠償徵費是根據有關條例及規則代表香港交易所及證監會收取。
- 假如交易所參與者因為經營倒閉而令顧客遭受金錢上之損失時，賠償基金之責任只限於〈證券及期貨條例〉中所規定之有效索償。
- 在此單據印上的印花稅款額，已經或將會透過香港聯合交易所有限公司繳付。
- 股市波動不一，所有證券買賣皆包含一定程度之風險。
- 本結單用作確承股票存放 / 支票付款。
- 根據〈證券及期貨條例〉之第148條，上述股票由我方代表閣下自動存放。
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