

Roseland Securities TV out.

Gina

This Scheme is to be retained by Carlton James. The docs to remove Mr Wheeler have been sent to him, but he has not returned them yet.

The fee has already been taken so once the forms come back the transfer can proceed. The last thing was the member had emailed Adam to get him to pay the fee. I told Adam that the fee had been paid, and the member would pay it whether we retained the scheme or not.

This was left with Adam so he can discuss how to proceed with Robert. It may be worth chasing it up with Adam at some point.

Thanks,

Em.



**BEST
ONLINE SIPP
PROVIDER**
HARGREAVES
LANDSDOWN



HARGREAVES LANDSDOWN

A Wholly Owned Subsidiary of Hargreaves Lansdown Plc.
Authorised & Regulated by the Financial Conduct Authority.
Company Registered in England and Wales No. 1896481. Registered Office at address shown.

Asset Management Ltd

One College Square South
Anchor Road
Bristol
BS1 5HL

Telephone: 0117 980 9926
www.hl.co.uk

Pension Practitioner.com
Pension Practioner
48 Chorley New Road
Bolton
BL1 4AP

23 April 2018

Our Ref: 2116474

URGENT

Pension Transfer: Request for Discharge Forms

Dear Sir/Madam

Existing Policy: NZ173392B

Member: Mr J Wheeler 10 Salisbury Street Cross Keys Newport Gwent NP11 7PD
Transferring into HL Vantage SIPP (PSTR – 00616238RC)

We have recently contacted your company and requested the necessary discharge forms in order to transfer the above policy. A transfer authority signed by the client was also attached to the request enabling you to proceed. **If you do not require discharge forms, please provide us with details of the above policy and what you require to proceed with the transfer.**

Our records show that as yet we have not received the required forms from you. I would therefore be grateful if you could send the discharge forms to us as soon as possible. If you have previously sent us the forms, unfortunately these have not been received.

If there will be a delay in providing the discharge forms, please contact us so that we can update our records accordingly.

Thank you for your assistance in this matter. If you have any further queries on this, please do not hesitate to contact me on 0117 980 9891 or by email at sipstransfers@hl.co.uk.

Yours sincerely

Sally Davies
Hargreaves Lansdown Asset Management Ltd

HL Ref: 2116474 transfer from NZ173392B

- ☐ The transfer instruction has been received and we enclose a copy of the discharge forms
- ☐ We cannot locate the client using the details provided above
- ☐ We have been unable to proceed with the transfer for the following reason(s):
 - ☐ DOB differs ☐ NINO differs ☐ Address differs ☐ Incorrect Ref No ☐ Name differs
 - ☐ Identity documentation required (*please provide details below*)
 - ☐ Client no longer holds any assets in this account.
 - ☐ We have not received your initial transfer request. Please resend a copy.
 - ☐ Other:

forms Posted to Mbr
to sign & return to me.

Once received Send to
Adam to sign and then
Perform Transfer Out.

If forms come in while I am
away please arrange to send
to adam to sign (remember to
fill in the form!))

forms Posted to Mbr
to sign & return to me.

Once received Send to
Adam to sign and then
Perform Transfer Out.

If forms come in while I am
away please arrange to send
to Adam to sign (remember to
sign all forms.)

Roseland Securities

TVO To Process

• Scheme to be retained.

HARGREAVES LANSDOWN

Asset Management Ltd

One College Square South
Anchor Road
Bristol
BS1 5HL

Telephone: 0117 980 9926
www.hl.co.uk

20 April 2018

Our Ref: 2116474

Dear Sir/Madam

Existing Policy: Pension Practioner.com NZ173392B
Member: Mr J Wheeler , 10 Salisbury Street Cross Keys Newport Gwent NP11 7PD
Transferring into: HL Vantage SIPP
Pension Scheme Tax Reference – 00616238RC

As discussed on the telephone, please find enclosed a certified copy of the letter of authority that was previously sent to your London address in relation to the policy stated above. We will contact you in due course should any additional details or paperwork be required.

Please note the Vantage SIPP **cannot automatically** accept transfers from the following schemes:

- AVCs linked to a Defined Benefit scheme, where the AVC can provide some or all of the main scheme Tax Free Cash entitlement
- Money Purchase schemes or Section 32 plans with an underpin or containing GMP or 9(2B) rights
- Cash Balance schemes

Please advise us if either the pension has been crystallised in full or part or if there is a court order attached to the plan.

If you have any further queries on this matter, or any other, please do not hesitate to contact me on 0117 980 9891 or at sipptransfers@hl.co.uk

Yours faithfully,



Alessio Napolitano

Hargreaves Lansdown Asset Management Ltd

SECTION 4 TRANSFER YOUR EXISTING PENSIONS Read the Transfer Checklist and Common Transfer Declaration first. Leave blank if not transferring pensions.

Returning these forms allows the transfer of the plan(s) below to Hargreaves Lansdown without further paperwork, when possible. Complete a separate form for each policy you transfer.

POLICY 1 - TRANSFER TO THE VANTAGE SIPP

Title (Mr, Mrs etc) **MR** Full Name **JONATHAN JULIAN WIGGLES** Address **10 SALISBURY STREET**
CROSS KEYS, NEWPORT Postcode **NP11 7DD** National Insurance No. **NZ173392B** Date of Birth **21/02/78**
 Pension details Pension Name **ROSELAND SECURITIES** Policy number **00810289RE** Tick if this is a drawdown policy ☐
 Approx. transfer value (exact amount if partial transfer) **£119,000** Tick if partial transfer ☐ Pension type e.g. Stakeholder **SSAS**

Name and address of Scheme Administrator **PENSION PRACTITIONER, DAWNS HOUSE, 33-35 DAWNS LANE, LONDON NW7 4SD**
 I confirm:
 • I have read the Transfer Checklist and checked if I will lose benefits or incur penalties and wish to transfer the policy listed above.
 • I have read, understood and agree to the Common Transfer Declaration.
 • Hargreaves Lansdown has not given me advice; I am responsible for my decision to transfer and I will seek personal financial advice if I am unsure transferring is right for me.
 • I have read, agreed to and retained the Key Features, Simplified Prospectus, Key Investor Information Document or Key Information Document of my chosen investments (where available), including all costs and charges, provided to me at www.hl.co.uk or on paper.
 • I authorise the current provider as listed above to give Hargreaves Lansdown any information they require about my membership of the above scheme.
 Date **21/03/18**

POLICY 2 - TRANSFER TO THE VANTAGE SIPP

Title (Mr, Mrs etc) Full Name Address
 Postcode National Insurance No. Date of Birth
 Pension details Pension Name Policy number Tick if this is a drawdown policy
 Approx. transfer value (exact amount if partial transfer) £ Tick if partial transfer Pension type e.g. Stakeholder
 Name and address of Scheme Administrator

I confirm:
 • I have read the Transfer Checklist and checked if I will lose benefits or incur penalties and wish to transfer the policy listed above.
 • I have read, understood and agree to the Common Transfer Declaration.
 • Hargreaves Lansdown has not given me advice; I am responsible for my decision to transfer and I will seek personal financial advice if I am unsure transferring is right for me.
 • I have read, agreed to and retained the Key Features, Simplified Prospectus, Key Investor Information Document or Key Information Document of my chosen investments (where available), including all costs and charges, provided to me at www.hl.co.uk or on paper.
 • I authorise the current provider as listed above to give Hargreaves Lansdown any information they require about my membership of the above scheme.
 Date / /

SECTION 5 WOULD YOU LIKE TO CHOOSE YOUR INVESTMENTS NOW?

If you are ready to choose your investments, enter them below. To choose your investments later, leave blank.

INVESTMENT CHOICE (where applicable please enter a full fund or share name)	TRANSFER (min. £100 per fund)	LUMP SUM (min. £100 per fund)	MONTHLY PAYMENT (min. £25 per investment)	Tick here <input checked="" type="checkbox"/> for automatic re-investment of any income within your SIPP account
	%	%	%	
	%	%	%	
	%	%	%	
	%	%	%	
Cash	%	%	%	
Total	100%	100%	100%	

DECLARATION - By signing this declaration I agree to the following terms and conditions.

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I confirm I am applying to join the HL Vantage SIPP. I have read in full, agreed to and retained:

- The Terms & Conditions of the HL Vantage SIPP.
- The Key Features of the HL Vantage SIPP, Important Investment Notes and Contribution and Transfer Checklists.
- The Key Features, Simplified Prospectus, Key Investor Information Document or Key Information Document of my chosen investments (where available), including all costs and charges, provided to me at www.hl.co.uk or on paper.

I agree to be bound by the Scheme Rules, and acknowledge that these have been made available to me by Hargreaves Lansdown.

I confirm that I will be a relevant UK individual in any tax year in which personal contributions are paid.

I declare that the total contributions that I will make to the HL Vantage SIPP and any other Registered Pension Scheme where I am entitled to relief under Section 189 of the Finance Act 2004 will not exceed the higher of:

- The basic amount (currently £3,600) or
- 100% of my Relevant UK Earnings (within the meaning of section 189 of the Finance Act 2004) for that tax year.

This declaration and the particulars given in this application are, to the best of my knowledge and belief, correct and complete.

If an event occurs which means that I will no longer be entitled to tax relief under Section 189 of the Finance Act 2004, I will inform Hargreaves Lansdown Asset Management by 5th April of the tax year in which the event occurs or 30 days after the event if later.

If I make a contribution, of which part or all is not eligible for tax relief, I will notify you separately on or before the date of the contribution.

I will advise Hargreaves Lansdown Asset Management Ltd, in writing, within 30 days if I cease to be a United Kingdom resident.

I confirm that I have not received advice from Hargreaves Lansdown regarding the merits of entering into, or the suitability of any aspect of, the HL Vantage SIPP unless that aspect has been covered under a separate agreement for individual advice from a Hargreaves Lansdown Financial Adviser. If I have any doubts I will seek personal advice, in particular regarding the merits of the various pension options I may have available to me now and in the future.

By signing this declaration I am allowing Hargreaves Lansdown to process my application using the information that I have provided.

This declaration and any other declaration made by me in connection with this application shall be the basis of the contract between me and Hargreaves Lansdown Asset Management Ltd.

You must sign and date boxes marked with a red cross. False Statements - It is a serious offence to make false statements; the penalties are severe and could lead to prosecution.

Return to our simple freepost address (no stamp needed): Freepost HARGREAVES LANSDOWN

ANY QUESTIONS?

Call us: 0117 980 9926 Visit: www.hl.co.uk Email us: sipp@hl.co.uk

You can request not to receive emails from Hargreaves Lansdown by writing to or emailing us.

Hargreaves Lansdown
 Asset Management Ltd
 One College Square South
 Anchor Road, Bristol, BS1 6HL

Authorised and regulated by the Financial Conduct Authority

Vantage SIPP
 22 MAR 2018

We certify this to be a true copy of the original corresponding page

Resm A
 SIPP ADMIN
 20/04/2018