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| --- | --- |
| Client Name/s | Sally Morton |
| Scheme Name | SM Investments Pension Scheme |
| What ceding schemes are the transfers coming from If not transfers – source & explanation of contribution | Company dividend payment |
| What are the Transfer values/contribution amount | £60,000 annually – unused carry forward as above £40,000 |
| What percentage of customers funds are going into non standard investments | 100%  |
| Investment Selection with approximate amounts allocated per selection | Skywatch Inn Ltd |
| The number of people employed by the scheme establisher | One |
| Current employment status | Director |
| The corporation Tax reference of the employer The VAT registration number of the employer PAYE reference | 3337493904913 |
| Is the proposed Limited Company currently active | New company with intention to trade, newly incorporated |
| Financial Adviser Involved  | JAN Investment Marketing |
| If no Financial Adviser – explanation why  | N/A |
| Classification of Client | Self Certified Sophisticated Investor – certificate on file – already invested in unlisted companies.High Net worth Individual – Certificate on file – owns net assets greater than the value of £250,000 |
| Any web based material; | www.private-commercial.com |
| Introducers | Carlton James Private & CommercialTelephone – 0800 233 5626Unit 4, Clearwater Business Park, Frankland Road,Swindon, SN5 8YZ |
| Details of investments  | Enclosed in the suitability. |
| Explanation as to why customer is setting up a SSAS | Receives annual bonus dividend from current company Yor Parks. No pension provision currently, does not want to be part of Yor Park SSAS with Father & Brother, wants to make own investment decisions.  |