



Lisa Welton &lt;lisa@retirement.capital&gt;

**Re: FW: Quilter transfer Questionnaire**

1 message

**Lisa Welton** <lisa@retirement.capital>

To: Nicola Harrington &lt;nicola@sixteenrealestate.com&gt;

Perfect.. Please advise me or cc me when you send the questionnaire back to Quilter so I can log your case for a follow up.

Kind Regards,

Lisa

My working hours are 8.00-14.00 Monday- Friday.

On Thu, 28 Mar 2024 at 10:36, Nicola Harrington <nicola@sixteenrealestate.com> wrote:

Hi Lisa

See attached. I have crossed out, signed the changes I have made.

There aren't any other investments, my mistake.

thanks

**Nicola Harrington**

Director - Retail &amp; Leisure



+44 (0)161 461 1616



+44 (0)7971 183 165



nicola@sixteenrealestate.com

**Sixteen Real Estate** 2nd Floor, Byron House, 10-12 Kennedy Street, Manchester, M2 4BY.

**For more information visit our website at [www.sixteenrealestate.com](http://www.sixteenrealestate.com)**

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**From:** Lisa Welton <lisa@retirement.capital>**Sent:** Wednesday, March 27, 2024 9:22 AM**To:** Nicola Harrington <nicola@sixteenrealestate.com>**Subject:** Re: FW: Quilter transfer Questionnaire

Hi Nicola,

Please can you change on pg1.

Administrator is : RC Administration Limited

Also can you tick no for. FCA Registered - we are not FCA Registered this is because we are administrators and do not give Financial advice.

What list of investments are you sending to them? Please can you send it to me please so I can review?

The scheme is registered with HMRC as the investments being considered is a commercial property purchase. This needs to match the transfer form.

Kind Regards,

Lisa

My working hours are 8.00-14.00 Monday- Friday.

On Tue, 26 Mar 2024 at 21:35, Nicola Harrington <nicola@sixteenrealestate.com> wrote:

Evening Lisa,


Please see below questionnaire.


Thanks





 **Nicola Harrington**

 **Director - Retail & Leisure**

 +44 (0)161 461 1616

 +44 (0)7971 183 165

 nicola@sixteenrealestate.com

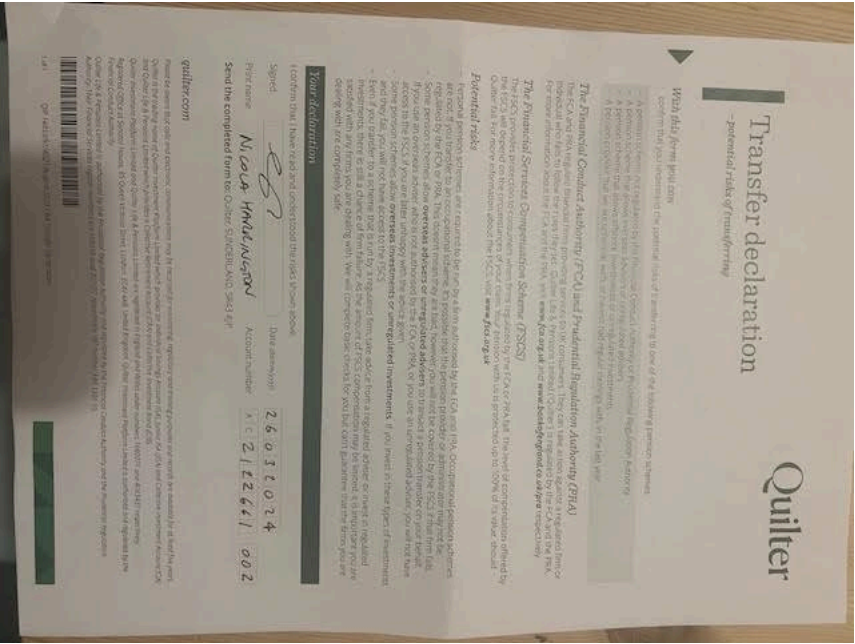
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**From:** Nicola Harrington <[nicolakharrington@icloud.com](mailto:nicolakharrington@icloud.com)>  
**Sent:** Tuesday, March 26, 2024 8:34 PM  
**To:** Nicola Harrington <[nicola@sixteenrealestate.com](mailto:nicola@sixteenrealestate.com)>  
**Subject:**



[illegible]

Sent from my iPhone

----- Forwarded message -----

From: Nicola Harrington <[nicolakharrington@icloud.com](mailto:nicolakharrington@icloud.com)>  
To: Nicola Harrington <[nicola@sixteenrealestate.com](mailto:nicola@sixteenrealestate.com)>  
Cc:  
Bcc:  
Date: Thu, 28 Mar 2024 09:33:53 +0000  
Subject:



**Using the editable fields?**

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

# Transferring to an occupational Questionnaire

**With this form you can:**

- provide us with the additional information we need about your pension transfer application

**Giving us your instruction:**

- **Email** - Simply scan your completed form and supporting documents and email them to [ask@quilter.co.uk](mailto:ask@quilter.co.uk)
- **Post to** - Quilter, SUNDERLAND, SR43 4JP.

Contact telephone number and best time to call

07971 183 165

Email address

nicola@sixteenrealestate.com

**Details about your new pension scheme**

If your administrator is not regulated by the Financial Conduct Authority (FCA), you will not be protected by the Compensation Scheme should the administrator fail.

- How did you become aware of your new pension scheme?

Via Chapter 3 Financial Planning.

- Who is the administrator for your new pension scheme?

Retirement Capital Care of RC Administration Ltd

- Is the administrator of your new pension scheme regulated by the FCA?

**Details about your employment**

To have a statutory right to transfer you must be able to show an employment link to your new occupational pension scheme.

- What is the name of your employer?

Sixteen Real Estate (Retail) Ltd

- Is your employer linked to this pension scheme?
- If your employer is not linked to this pension scheme, what is the link between you and the scheme?

You will also need to provide evidence to support this. Please confirm whether you have included the

- Letter confirming employment for at least 3 months?
- Payslips for 3 months ?
- Contributions statement/schedule?
- Bank account statement?

If you have answered "no" to any one of the above, please explain why.

Company Director of 7 years



1 of 3

QIP 20725/91/4338/September 2023 Transferring to an occupational pension



*Details about advice*

If you have received advice, we will carry out a check on your adviser. We will check UK advisers against the regulator details you supply.

- Have you had financial advice about this transfer? (if yes, provide details below)
- Name of adviser

Chapter 3 Financial Planning

- Name of adviser firm

Colin Bares

- Address of adviser firm

43a Manor Drive, Manchester M21 7QG

- Name of regulator and registration number with that regulator

FCA No. 197107

- How did you become aware of your financial adviser?

Via a colleague

*Details about investment*

If you invest in unregulated investments, you will not be covered by the Financial Services Compensation Scheme. We will ask you how you intend to invest in your new pension. This will allow us to check for amber flags. We cannot provide any information.

- Have you been promised a high or guaranteed return on your investments?
- Have you included a list of your intended investments?
- Do you understand what you will be invested in and how each investment works?

*Details about costs*

It is important to be aware of the cost to transfer and how those costs compare to your current pension.

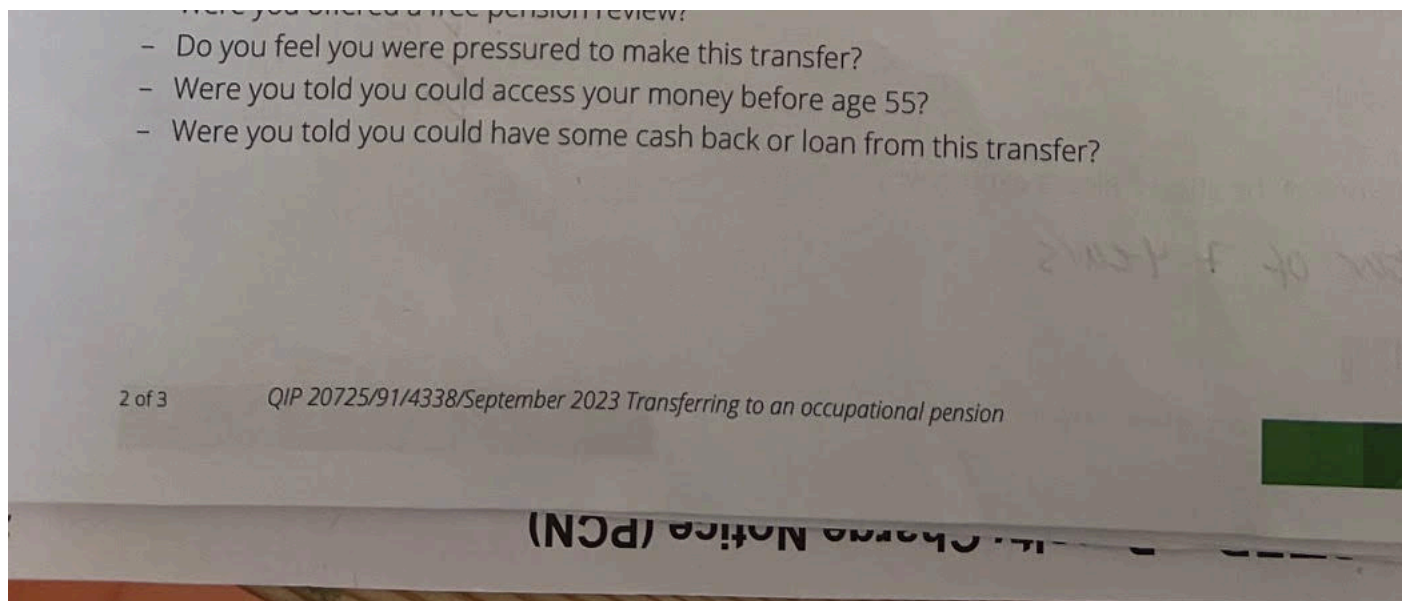
- How much does it cost to set up your new pension?
- How much does it cost for ongoing administration?
- What is the average annual fee for funds and ETFs you will invest in?
- What charges will you incur for other investments?
- What is the fee for financial advice to transfer?
- If you have received advice but are not paying a transfer fee, please explain

- How much will you pay for ongoing financial advice?

*Signs of a pension scam*

This section will help us identify if there are any red flags associated with your transfer.

- Were you contacted out of the blue by phone, text, social media, letter or in person about this transfer from someone you did not have an existing relationship with?
- Were you offered a free pension review?



Sent from my iPhone