

**Identity Verification Certificate – private individual**

Introduction by PRA authorised and FCA and PRA regulated firm

**1. DETAILS OF INDIVIDUAL** (see explanatory notes below)

First Name	Richard	Middle Name	Paul
Surname	Sollis	Date of Birth	18-Dec-1988
Address	New Barn House The Olympia Orbourne St Andrew Marlborough		
Postcode	SN8 1XF		
		Previous address if the individual has changed address in the last three months:	

**2. CONFIRMATION****I/we confirm that**

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:

(tick only one)

- ☒ meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or
- ☐ exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

**3. DETAILS OF INTRODUCING FIRM** (or sole trader)

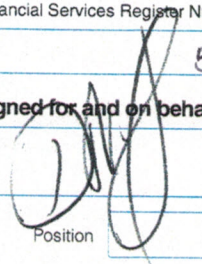
Full Name of Regulated Firm

Sapphire Financial Solutions

Financial Services Register Number

524292

Signed for and on behalf of aforementioned Regulated Firm

  
Position

Name

David Wicklin

Date

02-02-15

**4. EXPLANATORY NOTES**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.



## Identity Verification Certificate – private individual

Introduction by PRA authorised and FCA and PRA regulated firm

### 1. DETAILS OF INDIVIDUAL (see explanatory notes below)

First Name	<u>Amanda</u>	Middle Name	
Surname	<u>Sollis</u>	Date of Birth	<u>07-02-1962</u>
Address	<u>New Barn House</u> <u>The Olympia</u> <u>Ogbourne St Andrew</u> <u>Marlborough</u>		
Postcode	<u>SN8 1XF</u>	Previous address if the individual has changed address in the last three months:	

### 2. CONFIRMATION

**I/we confirm that**

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:

- (tick only one)
- ☒ meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or
- ☐ exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

### 3. DETAILS OF INTRODUCING FIRM (or sole trader)

Full Name of Regulated Firm	
<u>SAPPHIRE FINANCIAL SOLUTIONS</u>	
Financial Services Register Number	
<u>524292</u>	
Signed for and on behalf of aforementioned Regulated Firm	Name
	<u>David Nicklin</u>
Position	Date
	<u>02-02-15</u>

### 4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.