

**Vanguard**

The Trustees of TCA SSAS  
Charlton Street  
Bristol  
BS5 0FD

**How to contact us**

W: [www.vanguardinvestor.co.uk](http://www.vanguardinvestor.co.uk)

e: [Vanguard.PensionAdmin@fnz.co.uk](mailto:Vanguard.PensionAdmin@fnz.co.uk)

✉ Vanguard  
PO Box 24095  
1 Tanfield  
EDINBURGH  
EH3 1FS

24 May 2022

Our Ref: VG0051568

---

Client name:	Mr Alan Cassidy
Your Policy Number:	Policy Ref: AC

---

Dear Sir/Madam,

We are writing to advise you that the above client wishes to transfer the above pension policy to their Vanguard Personal Pension via a re-registration transfer. Please find enclosed a signed letter of authority for your information.

We would be most grateful if you could send us the below information along with any discharge forms you may require to be completed to allow the transfer to proceed. Please note we will only accept Vanguard Funds.

- Name of Investment
- Full valuation
- ISIN/SEDOL code
- Completed questionnaire (enclosed)

If the above client does not hold Vanguard funds within the above policy please complete the enclosed pension transfer questionnaire and return it along with a cash equivalent transfer value (CETV) and any discharge forms you may require to be completed, in order to proceed with the transfer.

We look forward to hearing from you, however if you require any further information, please do not hesitate to contact us on 03300586675 (Monday to Friday from 9:00am to 5:00pm), or via email at [Vanguard.PensionAdmin@fnz.co.uk](mailto:Vanguard.PensionAdmin@fnz.co.uk).

Yours faithfully,



Hayley Leslie  
**Head of SIPP Administration**  
**Vanguard Personal Pension**

## The Vanguard Personal Pension – Re-Registration Questionnaire

Client Name: Mr Alan Cassidy

Our Reference: VG0051568

### Scheme details

Scheme Name: \_\_\_\_\_

Scheme PSTR Number: \_\_\_\_\_

Type of Scheme (eg Personal Pension, DC/DB): \_\_\_\_\_

Is this pension an Occupational Scheme? Yes / No

### Re-Registration Information

Name of Investment	Units	ISIN/SEDOL Code

### Safeguarded Benefits

Does the policy contain any Safeguarded Benefits? Yes / No

If yes, what type of Safeguarded Benefits: \_\_\_\_\_

Is the value of safeguarded benefits greater than £30,000: Yes / No

Does the policy contain any guarantees that are not deemed as safeguarded benefits (eg With-profits, Guaranteed Investment Return)?

Yes / No

If yes, what type of guarantees: \_\_\_\_\_

### Scheme Member Protections

Does the client have a protected pension age? Yes / No

Is the client entitled to scheme specific protected tax free cash? Yes / No

### Pension Divorce

Is the client/plan subject to any court orders (pension sharing /earmarking order)? Yes / No

If yes, please provide further information: \_\_\_\_\_

### Bankruptcy Order

Is the client subject to a bankruptcy order? Yes / No

If yes please provide further information: \_\_\_\_\_

## Pension Drawdown

Does the policy contain any drawdown benefits?

Yes / No

If yes, please confirm the type of drawdown:

Flexi Access / Capped

If yes, is the policy:

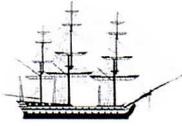
Fully / partially crystallised

Does the policy contain any pre A-day drawdown benefits:

Yes / No

Completed by the scheme administrator of the .....(scheme name)

Name:..... Signature:..... Date: / /



**Vanguard**<sup>®</sup>

## Pension Transfer Form

### Section A - Client information and transferring scheme details

---

Full name	Alan Cassidy
Permanent residential address	St. Thomas Cottage Crook of Devon Kinross KY13 0UL
Date of birth	10 December 1982
National Insurance number	JJ411741B
Vanguard Account number	VG0051568
Name of transferring scheme	The Trustees of TCA SSAS
Transferring scheme address	Charlton Street Bristol BS5 0FD
Transferring scheme phone number	
Transferring scheme member reference number (e.g. policy number)	Policy Ref: AC
Transfer type	Uncrystallised
Transfer request type	Full
Estimated transfer value	£70,473.00
Transfer method	Cash and/or Vanguard Funds Re-Registration

---

### Transfer authority

---

By signing this form:

- I authorise, instruct and apply to you the scheme administrator to transfer sums and assets from the plan(s) as listed in section A of this form directly to Vanguard and to provide any instructions and/or discharge required by any relevant third party to do so.
- I authorise Vanguard, the current scheme administrator, any contributing employer and any financial intermediary named in this application to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Vanguard.
- I accept that in order to comply with regulatory obligations, Vanguard and the current scheme administrator named in this application may need to verify my identity and residential address, and may use credit reference agency searches and ask for my documents to verify my identity and address.
- Until this application is accepted and complete, Vanguard's responsibility is limited to the return of the total payment(s) to the current scheme administrator.
- When payment is made to Vanguard as instructed, this means that I shall no longer be entitled to receive pension benefits from the whole of the plan(s) listed in section A of this form where the whole of the plan(s) is transferring, or that part of the plan(s) represented by the payment(s) if only part of the plan(s) is transferring.

- I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that Vanguard and the current scheme administrator may incur as a result of any incorrect, untrue, or misleading information in this application or given by me, or on my behalf, or of any failure on my part to comply with any aspect of this application.

## Client declaration

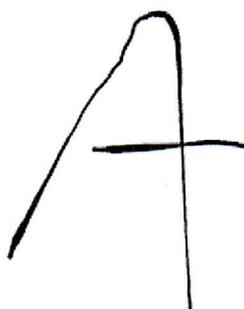
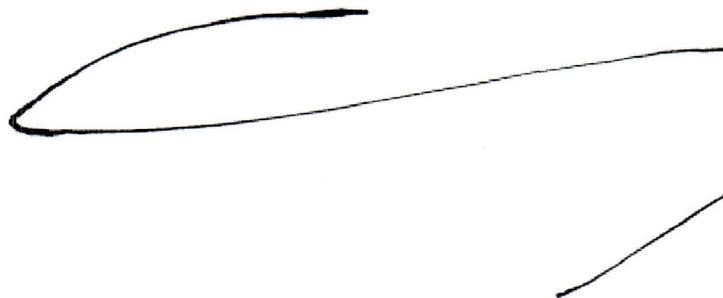
---

I have read the terms and conditions to the Vanguard Personal Pension.

I apply for the transfer of benefits from the pension scheme named above to the Vanguard Personal Pension and I authorise that the pension scheme pay the transfer value and/or make the asset transfer.

I confirm that I have checked the information above and that, to the best of my knowledge and belief, this information is correct and complete. Vanguard will hold and use personal information supplied by me and the registered asset holder/my current pension scheme administrator/trustee to arrange for the transfer of pension scheme benefits, set up and administer my Vanguard Personal Pension.

## Client signature

A handwritten signature consisting of a large, stylized capital letter 'A'.A handwritten signature consisting of a long, horizontal, slightly curved line with a small upward tick at the end.

Date 20 May 2022



Managing pension schemes [Sign out \(/manage-pension-schemes/logout\)](/manage-pension-schemes/logout)

**BETA** This is a new service – your [feedback \(/contact/beta-feedback-unauthenticated\)](/contact/beta-feedback-unauthenticated) will help us to improve it.

[< Back](#)

# The Vanguard Personal Pension

## Accounting for Tax returns

[Start a new AFT return \(/manage-pension-scheme-accounting-for-tax/S0000000191/new-return/aft-login\)](/manage-pension-scheme-accounting-for-tax/S0000000191/new-return/aft-login)

[View or change past AFT returns \(/manage-pension-scheme-accounting-for-tax/S0000000191/previous-return/amend-select\)](/manage-pension-scheme-accounting-for-tax/S0000000191/previous-return/amend-select)

## Upcoming charges

Payment due by 18 March 2022:

**£53.48**

## Your practitioner details

Authorised by:

**VANGUARD PENSION TRUSTEE UK LIMITED**

Date authorised to this scheme:

**18 June 2021**

[De-authorise yourself from this scheme \(/manage-pension-schemes/practitioner-deauthorise-self/yes-no\)](/manage-pension-schemes/practitioner-deauthorise-self/yes-no)

[Return to your pension schemes \(/manage-pension-schemes/list-ppsp\)](/manage-pension-schemes/list-ppsp)

[Is this page not working properly? \(opens in new tab\) \(https://www.tax.service.gov.uk/contact/report-technical-problem?newTab=true&service=PODS&referrerUrl=https%3A%2F%2Fwww.tax.service.gov.uk%2Fmanage-pension-schemes%2FS0000000191%2Fdashboard%2Fpension-scheme-details\)](https://www.tax.service.gov.uk/contact/report-technical-problem?newTab=true&service=PODS&referrerUrl=https%3A%2F%2Fwww.tax.service.gov.uk%2Fmanage-pension-schemes%2FS0000000191%2Fdashboard%2Fpension-scheme-details)

[Cookies](#) [Accessibility statement](#) [Privacy policy](#)

[Terms and conditions](#) [Help using GOV.UK](#) [Contact](#)

[Rhestr o Wasanaethau Cymraeg](#)

**OGI**

All content is available under the [Open Government Licence v3.0](#), except where otherwise stated

© [Crown copyright](#)

---

**BETA** This is a new service – your [feedback](#) will help us to improve it.

---

[◀ Back](#)

## The Vanguard Personal Pension's information

**What is the name of the scheme?**

The Vanguard Personal Pension

---

**What type of scheme is The Vanguard Personal Pension?**

Single trust

---

**Which country was The Vanguard Personal Pension established in?**

United Kingdom

---

[Return to pension scheme details](#)

[Is this page not working properly? \(opens in new tab\)](#)