

Vantage SIPP

Transfer Out Form



07.15

Part A - You should complete this part first

Please complete this form if you wish to transfer your funds from the HL Vantage SIPP to another registered pension scheme. Please note this form **CANNOT** be used to transfer to a Qualifying Recognised Overseas Pension Scheme (QROPS). If you would like to transfer to a QROPS please contact us and we will be happy to provide you with the correct form. Where applicable your investments will be sold when we receive this form. Postal/telephone dealing charges will apply to any sales we make. If you would prefer to sell your investments online, please do so before returning this form.

1. Your Details

Title(Mr/Mrs/Miss/etc) **MR** Surname **HARGREAVES** Full forenames **DAVID WILLIAM**
National Insurance no. **JJ 58 19 07 0**
Postcode **SW19 5JP** Date of birth **05 106/1980** HL Client No. **999402**

2. Details of your new pension scheme

Scheme name **HARGREAVES DW SSAS** Type of pension **SSAS** Policy number (if known)
Name and address of scheme administrator **Pension Practioner.com, DAWNS HOUSE, 33-35 DAWNS LAWE,**
LONDON Postcode **WW7 4SD**

3. Fund to be transferred

Would you like the full fund to be transferred? Yes ☒ No

If no, please indicate the portion of the fund to be transferred:

% OR £

How should your fund be transferred?

☒ Cash

In Specie (Stock Transfer)

Please note that some pension schemes do not accept stock transfers, or may decline an 'in specie' transfer of certain assets. Any holdings which cannot be transferred in specie will be sold and transferred as cash. Any investment income received in your SIPP following our receipt of this application will be transferred as cash.

Important Note: It is your responsibility to ensure there is sufficient cash to pay any transfer-out fees. If you prefer to pay any transfer-out fees by cheque, please return your cheque with this form.

If you wish to transfer only part of your fund, you must specify the assets to be transferred (for an 'in specie' transfer) or ensure there is sufficient cash (if it is to be a cash transfer).

Drawdown Transfers - HMRC regulations permit the transfer of full drawdown arrangements only. If you would like to transfer out part of your drawdown plan please contact us before proceeding.

Declaration

- I confirm that I wish to transfer my HL Vantage SIPP to the Registered Pension Scheme shown above. I authorise Hargreaves Lansdown to provide the scheme administrator named above with any information that they require in respect of this transfer.
 - For cash transfers only, please accept this instruction to sell any assets currently held within my HL Vantage SIPP on receipt of this form including, where applicable, those held within the HL Group SIPP and the HL Portfolio Management Service (PMS). I understand that postal/telephone dealing charges will apply. The proceeds should be transferred to the scheme detailed above. Where applicable, I understand my cash will not be transferred until Hargreaves Lansdown has received any outstanding tax relief due to me from HM Revenue & Customs unless I provide specific instructions to the contrary.
 - If I have requested a full transfer I understand that all regular payments being made to my SIPP will be cancelled on receipt of this form unless I have given written instructions to the contrary. Please accept this as my authority to contact my employer to cancel these contributions where applicable.
 - I understand that the following charges will apply to this transfer where applicable and will be deducted from the fund value before it is transferred:
 - £25 transfer fee where the transfer is made in cash
 - £25 per holding where the transfer is made in-specie
 - An additional account closure fee of £25 + VAT where a full fund transfer is made
 - If I have received a cash incentive from Hargreaves Lansdown in the past 12 months, I understand I must reimburse Hargreaves Lansdown for the full amount. This is in accordance with the original cash offer terms. I have enclosed a cheque for the amount due with this form.
 - I understand I have not received and will not receive any advice from Hargreaves Lansdown regarding any aspect of this transfer, unless that aspect is covered under a separate agreement for individual advice from a Hargreaves Lansdown Financial Adviser.
 - I confirm that the information provided above is to the best of my knowledge true and complete.
- I confirm that the payment made and/or assets transferred (as appropriate) shall constitute a full and final discharge in respect of this portion of my HL Vantage SIPP (as specified above), and that I shall have no further claim against Hargreaves Lansdown in respect of this portion.

Signature

Date

☒

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103/2016

PART B - This section will be completed by your new pension provider

Your Details

Scheme name **HARGREAVES DW SSAS** Company name **Pension Practitioner.com**
Name and address of Scheme Administrator **DAWS HOUSE, 33-35 DAWS LANE, LOWDOWN, NW7 4SD**

Phone number **0800 634 4862** Contact name **BRAD DAVIS**

Scheme type (please tick as appropriate)

☒ **SIPP/SSAS** - Nature of transfer: 100% Cash/Wholly or Partly in specie (Please provide stockbroker details separately for in specie)

100% insured - Transfer cheque may only be payable to the scheme administrator or the insurance company involved

Retirement Annuity - It is not possible for a scheme formerly approved as a retirement annuity contract under Chapter 3 Part 14 Income and Corporation Taxes Act 1988 to accept a transfer from the HL Vantage SIPP.

Deferred annuity/section 32 buy out - For this option, by signing the declaration you are also confirming that you are an insurance company authorised to carry out long term business in the UK.

Other - Please specify the nature of your scheme:

Scheme Registration: We confirm that the above scheme is registered under Chapter 2 of Part 4 of Finance Act 2004 or deemed to be so registered by virtue of paragraph 1 (1) of Schedule 36 Finance Act 2004.

Pension scheme tax reference (PSTR) **00826074RV**

Please indicate your preferred payment type:

Electronic Transfer ☒

Please provide bank details:

Account name **HARGREAVES TRUST AC** Account number **30640113** Sort code **6 0 0 6 1 9**
Name and address of bank branch **NATWEST BANK, STOCKLUND SQUARE, CRAWLEIGH**
SURREY Postcode **GU6 8RH** Payment reference **HL SIPP**

Cheque

Please provide payee details: **HARGREAVES TRUST AC**

Declaration: We declare that the above information is true and complete and that we are willing and able to accept this transfer. We confirm that the funds transferred will be applied to provide benefits consistent with the legislation covering Registered Pension Schemes. We give permission for HMRC to provide the scheme administrator of the HL Vantage SIPP with information relating to the status and registration of our scheme.

Signature **Emily McAlister**
Position **ADMINISTRATOR**

Name **EMILY McALISTER**
Date **28 10/12/2016**

Ceding Scheme Details

Full Name: HL Vantage SIPP

Scheme Type: Self Invested Personal Pension Deemed as registered by virtue of paragraph 1(1) of Schedule 36 of the Finance Act 2004.

Pension Scheme Tax Reference (PSTR): 00616238RC