



Emily McAlister <emilym@pensionpractitioner.com>

AI- Convertible Loan Investment - AC

7 messages

info@tonycarson.com <info@tonycarson.com>
To: Emily McAlister <emilym@pensionpractitioner.com>

28 August 2020 at 16:00

Emily, hope this finds you well?

Please see attached (third PDF above) a payment request for an investment.

The first two PDF's for your file.

Regards

Tony

From: Alice Finlay <Alice.Finlay@davidsonmcdonnell.com>
Date: Friday, 28 August 2020 at 15:37
To: tony carson <info@tonycarson.com>
Cc: Fergus McIluff <fergus@automated-intelligence.com>, Lucy Cole <lucy@automated-intelligence.com>, "Vicky.Dummigan" <Vicky.Dummigan@davidsonmcdonnell.com>
Subject: RE: AI- Convertible Loan Investment - AC

Hi Tony

Please find attached signed source of funds declaration and instruction letter, as requested.

Kind regards

Alice

Alice Finlay

Trainee Solicitor



t: +44 (0) 28 9099 8207

dd: +44 (0) 28 9099 3460

f: +44 (0) 28 9099 2552

a: Longbridge House, [24 Waring Street](#), Belfast, BT1 2DX

dx: 445 NR Belfast 1

w: www.davidsonmcdonnell.com

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From: Vicky.Dummigan <Vicky.Dummigan@davidsonmcdonnell.com>
Sent: 27 August 2020 19:36
To: info@tonycarson.com
Cc: Fergus McIllduff <fergus@automated-intelligence.com>; Lucy Cole <lucy@automated-intelligence.com>; Alice Finlay <Alice.Finlay@davidsonmcdonnell.com>
Subject: AI- Convertible Loan Investment - AC
Importance: High

Anthony

As you are aware the Company's application for the Future Fund convertible loan investment was approved earlier today. Future Fund have only given us two days to complete the investment, so we are under considerable time pressure to get everything in order.

Next Steps

- To complete the investment I need to confirm to Future Fund tomorrow that all other investors' monies are in my client account. My client account details are below, please cross check these against the details that Fergus gave you previously, or call me (07480202122) or my colleague Alice Finlay (028 90993460) to verify them before authorising a transfer of funds.
- I also need you to sign the attached instruction letter and source of funds document to allow me to accept your funds. I will issue these to you now via DocuSign too, as that may be an easier way for you to sign them in the timeframe.
- Lastly I need to collect anti-money laundering documents for you- copy passport and either a utility bill or a bank statement (not a mobile phone bill) dated with the last 3 month. In the timeframe and circumstances I can accept those copies without them being certified as I know you are an existing client of A&L Goodbody.
- You should also have got a copy of the Convertible Loan Agreement directly from Future Fund earlier this afternoon via DocuSign. That also needs to be signed via DocuSign to complete the investment. I am not advising you on it, but if you have any concerns or queries please let me or Fergus know.

I need to receive the funds, the AML, source of funds declaration and the attached signed instruction letter as early as possible tomorrow to allow me to complete with Future Fund.

Unfortunately I will be obliged to return funds to you if I don't have the source of funds and AML as we will be in breach of Law Society regulations, so please ensure that those are sent through.

Please copy my colleague Alice Finlay on everything as I am travelling tomorrow afternoon.

Client account name

Davidson McDonnell Client Account

Bank

Ulster Bank

02/09/2020

PensionPractitioner.com Mail - AI- Convertible Loan Investment - AC

Branch	Belfast City Office, PO Box 232, 11-16 Donegall Square East, Belfast, BT1 5UB
Sort code	98 00 60
Account number	146 83 916
Reference	A008-004

Kind regards

Vicky Dummigan
Legal Director



dd: : +44 (0) 28 9099 8209

m: +44 (0) 7480 202122

t: +44 (0) 28 9099 8207

α: Longbridge House 24 Waring Street Belfast BT1 2DX

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3 attachments

Source of Funds Declaration-Anthony Carson - Signed.pdf
174K

Future Fund Instruction Letter - Signed.pdf
257K

Taipan RBS AI. investment 28.08.20.pdf
216K

Emily McAlister <emilym@pensionpractitioner.com>

28 August 2020 at 16:57

To: "info@tonycarson.com" <info@tonycarson.com>

Hi Tony,

Thank you for your email.

I have forwarded this to our Technical department and I will get back to you as soon as possible.

Kind regards

Emily

[Quoted text hidden]

--

Kind Regards

Emily McAlister

Administrator

Please note our new administration and post processing centre:

Pension Practitioner

Office 12

Venture Wales Building

Pentrebach

Merthyr Tydfil

CF48 4DR

T: 0800 634 4862

F: 020 8711 2522

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Emily McAlister <emilym@pensionpractitioner.com>

28 August 2020 at 16:58

To: Tony McCarthy <tonym@pensionpractitioner.com>

Hi Tony,

Bit late in the day .. I know but would you mind having a look at this please and get back to me?

Thanks

Emily

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[Quoted text hidden]

3 attachments



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Future Fund Instruction Letter - Signed.pdf

257K



Taipan RBS AI. investment 28.08.20.pdf

216K

Emily McAlister <emilym@pensionpractitioner.com>

28 August 2020 at 17:23

To: Gavin M <gavinm@pensionpractitioner.com>

Hi Gav,

Will you have a look at this please?

There doesn't seem to be much information..

Thanks

Emily

----- Forwarded message -----

From: info@tonycarson.com <info@tonycarson.com>


Date: Fri, 28 Aug 2020 at 16:00

[Quoted text hidden]

[Quoted text hidden]

[Quoted text hidden]

3 attachments

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216K

info@tonycarson.com <info@tonycarson.com>
To: Emily McAlister <emilym@pensionpractitioner.com>

1 September 2020 at 16:48

You've gone technical on me now Emily !

Ha !

I'm the last investor in the group and the one 'new' investor who is key to the 'future fund' match funding .

So if u could hurry things along , I'd be grateful .

Regards

Tony Carson
+44 (0) 7711190933

On 28 Aug 2020, at 16:57, Emily McAlister <emilym@pensionpractitioner.com> wrote:

Hi Tony,

Thank you for your email.

I have forwarded this to our Technical department and I will get back to you as soon as possible.

Kind regards
Emily

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Alice

Alice Finlay

Trainee Solicitor

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image001.jpg
3K

Emily McAlister <emilym@pensionpractitioner.com>
To: "info@tonycarson.com" <info@tonycarson.com>

1 September 2020 at 16:50

Hi Tony,

No worries!!

Yes, we have a Technical Department who checks paperwork etc.. I am not Technical, dont worry haha.

I will chase this up.

Kind regards

Emily

[Quoted text hidden]

Gavin <gavinm@pensionpractitioner.com>

2 September 2020 at 11:41

To: Emily McAlister <emilym@pensionpractitioner.com>, Tony Carson <info@tonycarson.com>

Hi Tony,

I hope you are keeping well.

I have gone through the paperwork and have undertaken the relevant company searches and it all seems good.

I confirm that this can be held by your pension fund provided that you are unconnected to the directors and other shareholders of the borrower (through family or shared directorships). I trust also that you are satisfied in respect of the nature of convertible securities as an illiquid asset class.

Emily will arrange for the AIB instruction to be actioned.

Kind regards

Gavin

Pension Practitioner

T: 0800 634 4862

F: 020 8906 6611

Administration Centre: Venture Wales, Pentrebach. Merthyr Tydfil. CF48 4DR

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