CONFIRMATION OF VERIFICATION OF IDENTITY PRIVATE INDIVIDUAL INTRODUCTION BY AN FSA-REGULATED FIRM

1. DETAILS OF INDIVIDUAL (see explanatory notes below)

Full name of Customer

Mrs Katherine Lucy Freestone

Current Address

Thorpe House 93 Headlands KETTERING NN15 6BL Previous address if individual has changed address in the last three

months

Date of Birth

07/07/1952

2. CONFIRMATION

I/we confirm that

(a) the information in section 1 above was obtained by me/us in relation to the customer;

(b) the evidence I/we have obtained to verify the identity of the customer: [tick only one]

meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signed:

Simon Carlin 26/01/2011

Name: Date:

3. DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)

Full Name of Regulated Firm

(or Sole Trader):

Positive Solutions

FSA Reference No:

184591

Explanatory notes

- 1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided
- 2. This form cannot be used to verify the identity of any customer that falls into one of the following (categories)
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
- 3. This confirmation must carry an original signature, or an electronic equivalent.