



Liongate Wealth

Heron Tower	t:	0207 112 88 21
110 Bishopsgate	f:	0207 112 88 31
London	e:	enquiries@liongatewealth.co.uk
EC2N 4AY	w	www.liongatewealth.co.uk

Private and Confidential

Mr. D. Nicklin,
Pension Practitioner,
Office 12,
Venture Wales Building,
Pentrebach,
Merthyr Tydfil,
CF48 4DR

15th February 2019

Dear David,

Please find enclosed the hard copy of Catherine's Withdrawal letter.

Kind regards,

Lauren Walsh
Sales Support

HL SIPP Transfer Out Form

HARGREAVES
LANSDOWN

Please complete this form to transfer your HL SIPP and/or PMS to another registered pension scheme.

If you would like to transfer to a Qualifying Recognised Overseas Pension Scheme (QROPS), please contact us as this form CANNOT be used.

05.18

What happens when we receive your completed form

- Where applicable, your investments will be sold. Postal/telephone dealing charges will apply to any sales we make. If you would prefer to sell your investments online, please do so before returning this form.
- We will write to your new provider and request the details needed to transfer your SIPP.
- When we receive the details from your new provider, we will transfer your SIPP.

1. Your Details

Title (Mr/Mrs/Miss/etc)

Full Name

National Insurance no.

WM948969B

Postcode

TW17 9LP

Date of birth

29/08/62

HL client no.

2628688

2. Details of your new pension scheme

Scheme name

Type of pension

Policy number
(if known)

Name and address
of scheme administrator

Postcode

3. Fund to be transferred

Would you like the full fund to be transferred? Yes ☐ No ☐

If no, please indicate the portion of the fund to be transferred:

%

OR

£

How should your fund be transferred?

☐ Cash

☐ In Specie (stock transfer)

Please note some pension schemes do not accept stock transfers, or may decline an 'in specie' transfer of certain assets. Any holdings which cannot be transferred in specie will be sold and transferred as cash. Any investment income received in your SIPP following our receipt of this application will be transferred as cash.

Important Note: It is your responsibility to ensure there is sufficient cash to pay any transfer-out fees. If you prefer to pay any transfer-out fees by cheque, please return your cheque with this form.

If you have more than one HL SIPP account and do not wish to transfer all of them, please make this clear on this form. If you wish to transfer only part of your fund, you must specify the assets to be transferred (for an 'in specie' transfer) or ensure there is sufficient cash (if it is to be a cash transfer).

Drawdown Transfers - HMRC regulations permit the transfer of full drawdown arrangements only. If you would like to transfer out part of your drawdown plan please contact us before proceeding.

Declaration

- I confirm I wish to transfer my HL SIPP to the Registered Pension Scheme shown above. I authorise Hargreaves Lansdown to provide the scheme administrator named above with any information they require in respect of this transfer.
- For cash transfers only, please accept this instruction to sell any assets currently held within my HL SIPP on receipt of this form including, where applicable, those held within the HL Group SIPP and the HL Portfolio Management Service (PMS). I understand postal/telephone dealing charges will apply. The proceeds should be transferred to the scheme detailed above. Where applicable, I understand my cash will not be transferred until Hargreaves Lansdown has received any outstanding tax relief due to me from HM Revenue & Customs unless I provide specific instructions to the contrary.
- If I have requested a full transfer I understand all regular payments being made to my SIPP will be cancelled on receipt of this form unless I have given written instructions to the contrary. Please accept this as my authority to contact my employer to cancel these contributions where applicable.
- I understand the following charges will apply to this transfer where applicable and will be deducted from the fund value before it is transferred:
 - £25 transfer fee where the transfer is made in cash

- £25 per holding where the transfer is made in-specie
- An additional account closure fee of £25 + VAT where a full fund transfer is made
- If I have received a cash incentive from Hargreaves Lansdown in the past 12 months, I understand I must reimburse Hargreaves Lansdown for the full amount. This is in accordance with the original cash offer terms. I have enclosed a cheque for the amount due with this form.
- I understand I have not received and will not receive any advice from Hargreaves Lansdown regarding any aspect of this transfer, unless that aspect is covered under a separate agreement for individual advice from a Hargreaves Lansdown Financial Adviser.
- I confirm the information provided above is to the best of my knowledge true and complete.

I confirm the payment made and/or assets transferred (as appropriate) shall constitute a full and final discharge in respect of this portion of my HL SIPP (as specified above), and I shall have no further claim against Hargreaves Lansdown in respect of this portion.

Signature

X

Date

X

/ /

HL Income Drawdown Transfer Out

From: Rose-Marie Cronin (Rose-Marie.Cronin@hl.co.uk)

To: lucyg_w@yahoo.com

Date: Monday, 11 February 2019, 12:41 GMT

Dear Mrs Gough-Williams

I'm sorry to hear you wish to transfer your [HL Income Drawdown](#) to another pension provider. We'd really like you to stay, so if there are any improvements we can make or you have any questions, please don't hesitate to call us on the number below or email sipp@hl.co.uk.

As part of a government initiative to tackle pension liberation fraud, please read the [HMRC Pension Liberation Leaflet](#) carefully before applying to transfer.

If you're looking to transfer your Income Drawdown as cash, the fastest way to transfer is normally via 'Origo Options', the electronic transfer system, which typically removes the need for postal application forms. If you'd prefer to use this method, we recommend contacting your new provider to check whether they use Origo Options; if they do, then you can request a transfer with them directly.

In order to initiate this transfer, your new provider may request details of your client number and the policy name (HL SIPP) so that they can electronically request the correct policy.

If your new provider does not facilitate 'Origo Options', or you wish to transfer out in specie (as stock), please complete the form found using the link below, and return it to:

Hargreaves Lansdown
One College Square South
Anchor Road
Bristol
BS1 5HL

Please note the transfer would need to be a full transfer as we are unable to do partial drawdown transfers.

In order to process the request, we need to receive a hard copy of the form back, signed by you. I'm afraid we cannot accept photocopied, scanned or faxed copies.

To transfer your SIPP away to another UK pension scheme we levy a charge of £25+VAT to close the account, plus £25 for a cash transfer. If you opt for an in specie (stock) transfer, a charge of £25 per line of stock replaces the cash charge. Our standard dealing charges will apply to the sale of any investments (if applicable).

>> [HL SIPP transfer out application](#)

I hope I have been able to help. If you have any further questions please feel free to reply to this message or call us on 0117 980 9926 and I or one of my colleagues will be happy to help. The Pensions Helpdesk is open between 8am-7pm on Monday-Thursday (8am-6pm Friday) and between 9.30am-12.30pm on Saturday.

Kind Regards

Rose-Marie Cronin

Pensions Helpdesk Consultant
Hargreaves Lansdown
One College Square South | Anchor Road | Bristol | BS1 5HL
Tel: 0117 980 9926

I hope you find the information provided in this email helpful, however please note it should not be construed as personal advice.