



SSAS Stanley Ellerton  
Pension transferring department  
Pension Practitioner Venture Wales  
Merthyr Tydfil Industrial Park  
Pentrebach  
MERTHYR TYDFIL  
Mid Glamorgan  
CF48 4DR



09 April 2020

Our reference: T015273379/TVP-MBO109B/317869576

Member name: STanley Ellerton

Member's national insurance number: NS751153D

Member's NEST ID: MEM014456547

Dear Sir / Madam,

**STanley Ellerton's request to transferring the money to NEST**

Please find enclosed a transfer request form completed by STanley Ellerton, who would like to transfer the funds from your scheme to NEST.

**What you need to do now**

- Please read 'What NEST can accept' before completing the form. If, for any reason, the transfer cannot be made to NEST, please let us know as soon as possible.
- If the transfer can proceed, please fill in the 'Transfer in request form' with all the details required, including your declaration and send to NEST, Nene Hall, Lynch Wood Business Park, Peterborough, PE2 6FY.

**What happens when NEST receives the completed *Transfer-in request form***

Provided the form has been completed, there should be no reason to request any further information from you, however if we're not sure about your answers, or the form is incomplete we may need to contact you.

Once we've checked all the information, we'll send you a letter to confirm our payment details for you to proceed with the transfer to us.

Please don't send us any money until we have sent you the payment confirmation letter.

NEST, Nene Hall, Lynch Wood Business Park, Peterborough, PE2 6FY t: 0300 020 0090

[www.nestpensions.org.uk](http://www.nestpensions.org.uk)



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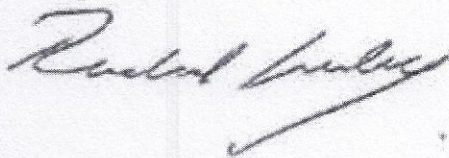


If for any reason we can't go ahead with the transfer into NEST we'll let the member know.

**Where to go for more information**

If you need to contact us for any reason you can call our contact centre on 0300 020 0392

Yours faithfully

A handwritten signature in dark ink, appearing to read 'Richard Hardy', with a long horizontal stroke extending to the right.

Richard Hardy  
Member Services Manager





### Transfers NEST can accept

If this request relates to a transfer from a defined contribution scheme or an early leaver cash transfer, we can only accept it if the amount being transferred is over £50.

This limit doesn't apply to pension credit transfers.

The transfer must be from a UK-based pension scheme that's registered with HMRC and must fall into one of the following categories:

- A transfer of defined contribution benefits
- We don't accept transfers of benefits that aren't entirely defined contribution unless they are early leaver cash transfers or pension credit transfers.
- A pension credit transfer
- An early leaver cash transfer
- NEST won't accept a transfer amount that relates to a 'disqualifying pension credit'.

If the transferring money has any guarantees or protections, these will be lost on transferring to NEST. It's important that the member is aware of this before proceeding with any transfer.

When transferring the money to NEST, if an 'exit penalty', a 'market value adjustment' or 'market value reduction' applies, it's important that the member is aware of this before proceeding with the transfer.



Member NEST ID: MEM014456547

## Transfer-in request form

Please complete both part 1 and part 2 of the form and send to NEST, Nene Hall, Lynch Wood Business Park, Peterborough, PE2 6FY.

Please don't make copies of the transfer-in request form for multiple use. Please don't transfer any money until we've contacted you directly to ask for the transfer payment.

If you want to provide the information in your own format, please include all of the details we request in this form and confirm your scheme is a registered pension scheme in accordance with Part 4 of the Finance Act 2004.

### PART 1 - Please complete all the mandatory fields marked\*

Member's name	STanley Ellerton
Name of transferring pension provider*:	
Name of transferring pension scheme*:	
Transferring plan or policy number*:	
Pension scheme tax reference (PSTR)*:	
Contact person name:	
Provider's contact number:	
Value of transfer:	





Please tick the box below to confirm the type of scheme:

☐ Occupational pension scheme

☐ Personal pension scheme

By ticking either the occupational or personal pension scheme box, you're confirming the scheme meets the definition for that type of scheme in accordance with Section 1(1) of the Pension Schemes Act 1993.

Have the benefits to be transferred been crystallised in accordance with the Finance Act 2004? For example, is this a transfer of a drawdown fund?

☐ Yes

☐ No

Does the transfer value include a disqualifying pension credit?

☐ Yes

☐ No

Are you aware of the member having flexibly accessed their benefits or being subject to the Money Purchase Annual Allowance because they've previously flexibly accessed their benefits?

☐ Yes

☐ No

If you've answered 'Yes' to the question above, please provide the earliest date when the member 'flexibly accessed' their benefits:

DD/MM/YYYY

Has the member been included in an enhanced transfer value exercise?

☐ Yes

☐ No

If you've answered 'Yes' to the question above, was the enhanced transfer value exercise conducted in accordance with the principles set out in the Pensions Regulator's regulatory guidance on incentive exercises?

☐ Yes

☐ No

Is the transfer value made up of entirely defined contribution (DC) benefits?

☐ Yes

☐ No

Please only tick 'Yes' above if the transfer value is made up entirely by the value of the member's money purchase or cash balance fund value and **does not** include a top up to reflect any guaranteed benefits or defined benefit underpin. Otherwise, tick 'No'.

**We'll only accept a transfer that's not entirely made up of DC benefits if it's an early leaver cash transfer or a pension credit granted by a pension sharing order on divorce or dissolution of civil partnership.**



Is this an early leaver cash transfer as defined under Section 101 AB (3) of the Pension Schemes Act 1993?

☐

Yes

☐

No

Is the transfer value a pension credit that's been awarded by a pension sharing order (PSO) and will be paid to NEST under Section 101 D (2) (a) of the Pension Schemes Act 1993?

☐

Yes

☐

No

If you've answered 'yes', please provide the PSO reference: \_\_\_\_\_





## Part 2 - Your declaration to send the money to NEST

Please read this statement and sign below.

This is to confirm that our scheme is a registered pension scheme in accordance with Part 4 of the Finance Act 2004 and all the details provided above are correct. We also confirm we'll make payment of the transfer amount from a valid UK bank account that's not a clearing account.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Print name: \_\_\_\_\_



### Part 3 - NEST information for the transferring scheme

#### NEST scheme declaration

All the required information has been provided in our standard format below.

- NEST was established through the Pensions Act 2008 and is a registered pension scheme under Chapter 2 of Part 4 of the Finance Act 2004
- NEST is a trust-based defined contribution occupational pension scheme
- NEST's Pension Scheme Tax Reference is 00765918RD
- NEST is not and has never been a contracted-out scheme
- The transferee is a member of NEST and any sums transferred will be held in connection with that member in accordance with the provisions of the Order and Rules of NEST
- Following changes in legislation which removed contracting-out on a money purchase basis, NEST can accept money from both protected and non-protected rights. However, there will be no distinction between the funds once transferred to NEST
- Where a pension sharing order or attachment order exists at the date of the transfer, and if we've been provided with the details by the transferring scheme; NEST will adhere to such an order

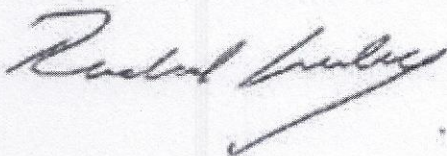
We authorise you to contact HMRC to verify any of the details confirmed by NEST. We've also included confirmation of NEST's HMRC registration.

If you require the member to complete any of your forms or supply any supporting documentation, then you'll need to contact the member directly enclosing your forms.

NEST's payment and account details will be sent to you separately once we've received all the necessary information for accepting the transfer from your scheme. We can only accept a transfer-in payment from a UK bank account that's not a clearing account.

**Please do not make payment to NEST until we've directly asked you to do so.**

Signed on behalf of NEST:



Richard Hardy  
Member Services Manager





National Employment Savings  
Trust Corporation  
C/O David McManus  
St Dunstan's House  
201-211 Borough High Street  
London  
United Kingdom  
SE1 1JA



Pension Schemes Services  
Yorke House  
Castle Meadow Road  
Nottingham  
NG2 1BG

Tel: **0845 600 2622**  
Monday to Friday 09.00 - 17.00

Fax: **0115 974 1480**

## ACKNOWLEDGEMENT OF REGISTRATION FOR TAX RELIEF AND EXEMPTIONS

The NATIONAL EMPLOYMENT SAVINGS TRUST has been registered by HM Revenue & Customs (HMRC) on **21/01/2011**. Tax relief and exemptions are due from this date.

Your Pension Scheme Tax Reference (PSTR) is **00765918RD**. You should use this when you want to view the scheme details online and in all future communications with HMRC.

HMRC may carry out checks to ensure that the conditions to be a registered pension scheme for tax relief and exemptions are met and continue to be met.

Pension Cert 1

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### Transfer-in request form

Your name: STanley Ellerton

Date of birth: 23 May 1970

NEST ID: MEM014456547

National insurance number: NS751153D

Name of transferring pension provider [My Current Provider]:

PENSION PRACTITIONER

Name of transferring pension scheme:

SSAS STANLEY ELLEKTON

Transferring plan or policy number [plan(s)]:

0078 7924 RK

Value to be transferred:

Enter full value of your current plan

£13,500

Pension provider's contact address including postcode:

EMILY McALISTER  
Pension Practitioner  
Office 12  
Venture Wales Building  
Merthyr Tydfil, CF48 4DR

Pension provider's contact number:

0800 634 4862

emilym@pensionpractitioner.co.uk

#### Declaration

- My Current Provider named above on this form has confirmed if any guarantees or protections apply to me in relation to the plan(s) listed above on this form. I understand that if these do apply, they will be lost if I go ahead with the transfer to NEST
- I understand that I can get independent financial advice about transferring from another pension and this is recommended, especially where I may lose any guarantees or protections
- I have read and understand the information in the *Important points for you to check before transferring to NEST* section of this letter.
- NEST may assume that the value entered is the full value of the plan(s)
- I authorise, instruct and apply to my Current Provider to transfer the monies from the plan(s) as listed in this application directly to NEST and to provide any instructions and or discharge required by any relevant third party to do so







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- I authorise NEST, my Current Provider, any contributing employer and any financial intermediary named in this application to obtain from each other, and release to each other, any information that may be required to enable the transfer of monies to NEST
- Until this application is accepted and complete, NEST's responsibility is limited to the return of the total payment(s) to my Current Provider
- When payment is made to NEST as instructed, this means that I shall no longer be entitled to receive pension benefits from the whole of the plan(s) listed in the application, where the whole of the plan is transferring, or that part of the plan(s) represented by the payment(s) if only part of the plan(s) is transferring
- I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that NEST and my Current Provider may incur as a result of any incorrect, untrue or misleading information in this application or given by me, or on my behalf, or of any failure on my part to comply with any aspect of this application.

By signing this form, I am making the statements listed above. I am also confirming that I fully understand and agree to abide by those statements.

Signed: S. Ellerton  
Stanley Ellerton

Date: 6/4/20

Please send the completed form to us at NEST, Nene Hall, Lynch Wood Business Park, Peterborough, PE2 6FY.







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