Connected Person Information Access Consent Form

Redmayne Bentley

This form enables you to provide consent to a connected person to receive information regarding your account. This authorisation does not permit the connected person to withdraw funds or provide instructions, please see our *Account Authorisation Form* for these purposes. Please note, for any financial advisers or other professional occupations with an *Intermediary Terms of Business for an Introducer Relationship* on file with Redmayne Bentley, who wish to have access to our Intermediary Web Access, please see our *Introducer Information Access Consent Form*. Please complete all fields in **CAPITALS** and return to your usual Redmayne Bentley executive.

PRIMARY ACCOUNT HOLDER

Title Mr Surname Barclay First Name(s) (In full) Alan

Please note, this authority will apply to all of your existing accounts and any accounts opened in the future, including joint accounts.

CONNECTED PERSON(S)

PROFESSIONALS

FINANCIAL ADVISER

Company Name ______

FCA Registration Number ______

Title ______ Surname ______

First Name(s) (In full) ______

Email ______

Address ______

______

______ Postcode ______

ACCOUNTANT/OTHER PROFESSIONAL OCCUPATION

Relationship (if not Accountant) Registered Scheme Administrator

Company Name Registered Scheme Administrator

Title Ms Surname McAllister

First Name(s) (In full) Emily

Email ______

Address Venture Wales, Pentrebach.

Merthyr Tydfil

______ Postcode CF48 4DR

PRIVATE INDIVIDUALS

FIRST CONNECTED PERSON

Relationship ______

Title ______ Surname ______

First Name(s) (In full) ______

Address ______

______

______ Postcode ______

Email ______

Redmayne Bentley Account Number (if applicable):

______

Please note, the account number above will be used for the CWA grouping (if requested). Please see page 2 for details.

SECOND CONNECTED PERSON

Relationship ______

Title ______ Surname ______

First Name(s) (In full) ______

Address ______

______

______ Postcode ______

Email ______

CONSENT

Please tick the options below for which you wish to give consent to the connected person.

☑ Information – ad-hoc information may be provided to the named individual as requested.

Documentation – the following documentation will be sent to the individual.

☑ Copy documentation on request.

☑ Tax information on request (Annual Consolidated Tax Vouchers) - for Financial Advisers and other professional occupations only.

☐ Copy investment reports on request for advisory and discretionary management services only (please see copy investment reports section below).

Copy Investment Reports

Please note, if your investment reports currently contain your personal and financial information, the copies sent to a connected person(s) will also include these. If you would prefer not to retain this information within the copies which will be sent to a connected person(s) please tick the box below.

☑ I do not agree to my personal and financial information being retained within my investment reports. I understand that this means the information will not be included in my investment reports, or copies sent to connected person(s).

Client Web Access (CWA)

☑ Client Web Access Grouping

This can only be provided if the first connected person has a Redmayne Bentley account with CWA.

This individual may be given access to your CWA account by way of grouping it to their own CWA user account (the account number completed on page 1). This grouping is only performed on request and will not be applied automatically. Please contact us to request this. The individual is then able to view your account(s) in addition to their own, using their usual login details, as well as amend contact details and marketing/corporate actions communication preferences.

Your login details will not be affected, and you will not be able to view the connected persons' account(s).

Any accounts you open in the future will not be automatically added to the named individual's CWA user account by way of grouping, and only one CWA group may exist across your accounts at any one time.

It is your responsibility to inform and ensure consent is provided by the named individual for this grouping.

DECLARATION

The connected person will be authorised across the account(s) as per the options selected above.

I understand the authority will apply to all accounts in my name, including joint accounts and confirm all account holders consent to this.

I understand any accounts opened in the future will be covered by this authorisation, unless I inform Redmayne Bentley otherwise.

I can cancel this consent at any time by writing to Redmayne Bentley. The connected person will be authorised as per the options above until Redmayne Bentley has confirmed receipt of my written confirmation.

I have authority from the connected person(s) listed on this form to provide Redmayne Bentley with their data and confirm they have seen a copy of the *Privacy Policy* available at **www.redmayne.co.uk/privacy** or in hard copy upon request.

PLEASE SIGN HERE

08/01/21

PRIMARY ACCOUNT HOLDER DATE

Pension Scheme Agreement Form for a Stockbroking Account

Redmayne Bentley

This form is required to complete the opening of your execution-only stockbroking account. For further details please contact your local office. Please ensure you have read our *Stockbroking Services, Schedule of Charges* and *Stockbroking and Dealing with Advice Terms of Business* (available at **www.redmayne.co.uk/terms**).

Please complete all details in **CAPITALS.**

PENSION SCHEME DETAILS

Full Pension Scheme Name: Barclay Construction Services Pension Plan

Pension Scheme Tax Reference Number: 00787925RN

Country of Establishment: UK

Legal Entity Identifier (LEI)*: ____________

Type of Pension Scheme: ☐ DB (Defined Benefit) ☑ SSAS (Small Self-Administered Scheme)

☐ QROPS (Qualifying Recognised Overseas Pension Scheme)

**If the Pension Scheme does not have an LEI please provide evidence that this has been applied for, or please contact us for further information. Please note that single-member QROPS do not require an LEI.*

PENSION SCHEME DOCUMENTS

The following documents have been provided to Redmayne Bentley:

☑ A certified** copy of the Pension Scheme Trust Deed and Scheme Rules, as well as any Trustee appointments/removals.

☑ A certified** copy of letter/evidence of the relevant tax authority registration (in the UK, this is HMRC).

***Certification should be undertaken by a regulated or professional person covered by money laundering regulation or a government department. Certified copies of documentary evidence should be marked "Original Seen" and where there is a photograph, also confirm that the photograph is a "True Likeness". The certification should be dated, include a name, signature, address, a contact telephone number of the individual certifying, their capacity and a company stamp where possible.*

Examples of who can certify:

- Director, officer or manager of a regulated financial services business
- Official of an Embassy, Consulate or High Commission of the country issuing the passport
- Member of the Judiciary
- Serving Police or Customs Officer
- Lawyer or Notary Public
- Actuary
- Accountant with a recognised professional qualification
- Senior Civil Servant

PROFESSIONAL / CORPORATE TRUSTEE

☐ Yes ☑ No If yes, please complete below.

Company Name ____________

Address ____________

Tel (Eve) ____________

Corporate Registration Number ____________

Postcode ____________

Country of Registration ____________

Is the Trustee a regulated entity? ☐ Yes ☐ No

If yes, please state their regulator ____________ Tel ____________ Email ____________

and provide a certified copy of their authorised signatory list.

If no, we will require additional verification documents.