

Pension Scheme Agreement Form for a Stockbroking Account



This form is required to complete the opening of your execution-only stockbroking account. For further details please contact your local office. Please ensure you have read our *Stockbroking Services: Schedule of Charges and Stockbroking and Dealing with Advice Terms of Business* (available at www.redmayne.co.uk/terms).

Please complete all details in **CAPITALS**.

PENSION SCHEME DETAILS

Full Pension Scheme Name Inforisk Advisory Pension Scheme Pension Scheme Tax Reference Number 00807531RN
Country of Establishment UK Legal Entity Identifier (LEI)* _____
Type of Pension Scheme: ☐ DB (Defined Benefit) ☒ SSAS (Small Self-Administered Scheme)
☐ QROPS (Qualifying Recognised Overseas Pension Scheme)

**If the Pension Scheme does not have an LEI please provide evidence that this has been applied for, or please contact us for further information. Please note that single-member QROPS do not require an LEI.*

PENSION SCHEME DOCUMENTS

The following documents have been provided to Redmayne Bentley:

- ☒ A certified** copy of the Pension Scheme Trust Deed and Scheme Rules, as well as any Trustee appointments/removals.
☒ A certified** copy of letter/evidence of the relevant tax authority registration (in the UK, this is HMRC).

***Certification should be undertaken by a regulated or professional person covered by money laundering regulation or a government department. Certified copies of documentary evidence should be marked "Original Seen" and where there is a photograph, also confirm that the photograph is a "True Likeness". The certification should be dated, include a name, signature, address, a contact telephone number of the individual certifying, their capacity and a company stamp where possible.*

Examples of who can certify:

- Director, officer or manager of a regulated financial services business
- Official of an Embassy, Consulate or High Commission of the country issuing the passport
- Member of the Judiciary
- Serving Police or Customs Officer
- Lawyer or Notary Public
- Actuary
- Accountant with a recognised professional qualification
- Senior Civil Servant

PROFESSIONAL / CORPORATE TRUSTEE

☐ Yes ☒ No If yes, please complete below.

Company Name _____ Address _____
Tel (Eve) _____
Corporate Registration Number _____
Country of Registration _____ Postcode _____

Is the Trustee a regulated entity? ☐ Yes ☐ No

If yes, please state their regulator _____ Tel _____ Email _____

and provide a certified copy of their authorised signatory list.

If no, we will require additional verification documents.

ACCOUNT FUNDING

Please indicate the expected average monthly value of payments or funding into the account

- ☒ £0 - £5,000 per month on average
☐ £5,001 - £20,000 per month on average
☐ £20,001 - £50,000 per month on average
☐ >£50,000 per month on average
☐ Sale of shares only

Please indicate the main origin of the initial and ongoing funds or existing assets (if transfer or sale) for the account (please tick all that apply and select at least one)

- ☐ Accumulated investments
☐ Sale of property/business
☐ Sponsor contributions: Approx. value £
☒ Other: Pension contributions Approx. value £ 35000

CLIENT WEB ACCESS (CWA) INCLUDING ELECTRONIC CONTRACT NOTES AND STATEMENTS

If you have provided an email address, we will register the Scheme for our Client Web Access (CWA) service, enabling you to view online your portfolio valuation, balances, contract notes and statements and also make secure payments to the Scheme's account(s). We will issue an email notification when a new document is available for you to view in CWA, unless you have already advised us that you wish to receive documents by post. Please note, certificated transactions are not detailed in CWA portfolio valuations or statements. Please note also, there can only be one set of login credentials per account.

PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like permission to contact the Scheme by email with relevant marketing information about the Scheme's account and the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below. Please note that if you tick any of the boxes below, email communications will be sent to the person named as the primary contact on page one of this form.

- Services and products that may be of interest to you ☐
Stock market investment news and investment opportunities ☐
Details of investment seminars and events ☐

We may use personal data based on legitimate interest to contact the Scheme occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant to the Scheme. If the Scheme does not wish to receive such messages by post, please contact your Redmayne Bentley office or executive.

Connected Person Information Access Consent Form



This form enables you to provide consent to a connected person to receive information regarding your account. This authorisation does not permit the connected person to withdraw funds or provide instructions, please see our *Account Authorisation Form* for these purposes. Please note, for any financial advisers or other professional occupations with an *Intermediary Terms of Business for an Introducer Relationship* on file with Redmayne Bentley, who wish to have access to our Intermediary Web Access, please see our *Introducer Information Access Consent Form*. Please complete all fields in **CAPITALS** and return to your usual Redmayne Bentley executive.

PRIMARY ACCOUNT HOLDER

Title Mr Surname Krige First Name(s) (In full) Willem Adolph

Please note, this authority will apply to all of your existing accounts and any accounts opened in the future, including joint accounts.

CONNECTED PERSON(S)

PROFESSIONALS

FINANCIAL ADVISER

Company Name _____
FCA Registration Number _____
Title _____ Surname _____
First Name(s) (In full) _____
Email _____
Address _____
Postcode _____

ACCOUNTANT/OTHER PROFESSIONAL OCCUPATION

Relationship (if not Accountant) Registered Scheme Administrator
Company Name _____
Title Ms Surname McAlister
First Name(s) (In full) Emily
Email _____
Address Venture Wales, Pentrebach.
Merthyr Tydfil
Postcode CF48 4DR

PRIVATE INDIVIDUALS

FIRST CONNECTED PERSON

Relationship _____
Title _____ Surname _____
First Name(s) (In full) _____
Address _____
Postcode _____
Email _____

SECOND CONNECTED PERSON

Relationship _____
Title _____ Surname _____
First Name(s) (In full) _____
Address _____
Postcode _____
Email _____

Redmayne Bentley Account Number (if applicable):

Please note, the account number above will be used for the CWA grouping (if requested). Please see page 2 for details.



DRIVING LICENCE



06.01.2027

1. KRIGE
2. WILLEM ADOLPH
3. 23.10.1975 SOUTH AFRICA
- 4a. 07.01.2017 4c. DVLA
- 4b. 06.01.2027
5. KRIGE710235WA9TT 08



7. 
8. 29 FAIRFORD GARDENS, WORCESTER PARK,
KT4 7BH
9. AM/A/B1/B/BE/I/k/p/q



123
CURRENT ACCOUNT

69290 092235 0266 E 37800

MR WILLEM ADOLPH KRIGE & MRS JULIE LOUISE KRIGE
29 FAIRFORD GARDENS
WORCESTER PARK
KT4 7BH

378



Telephone Banking, enquiries or lost or stolen cards 0800 9 123 123, open 24 hours a day 7 days a week.

To help us maintain and improve our customer service we may monitor or record your calls.

For the hard of hearing and/or speech impaired, Text Relay service available 18001 0800 9 123 123



Online Banking service and information available at www.santander.co.uk



Santander, 9 Nelson Street, Bradford, West Yorkshire, BD1 5AN.

Online, Mobile and Telephone Banking
ID 2726508634 W KRIGE

Your account summary for 17th Nov 2020 to 15th Dec 2020

11213 Current Account earnings

	This month	Since opening
11213 Current Account (cashback and interest)	£17.99	£2,878.57

Account name **MR WILLEM ADOLPH KRIGE & MRS JULIE LOUISE KRIGE**
Account number: 80184749 Sort Code: 09 01 28 Statement number: 12/2020
BIC: ABBYGB2LXXX IBAN: GB63 ABBY 0901 2880 1847 49

Balance brought forward from 16th Nov Statement

Total money in:

Total money out:

Your balance at close of business 15th Dec 2020

Credit interest rate: 0.60% AER/gross (variable) on balances up to 20,000 GBP. Pay at least 500 GBP into your account each month and have at least 2 active Direct Debits.

Interest and refunds paid this period

Date	Why we are paying you	Amount
15th Dec	Interest on your credit balance	£9.50

News and information

Changes to our Data Protection Statement

We've made changes to include more information about how we use automated decision making to make decisions about you and to tell you how we share and sell **anonymised data** within and outside of the Santander Group of Companies, for statistical analysis, research and other business purposes. **This data cannot be used to identify you.** These changes apply to all personal data we hold for you in relation to any product or account you have with Santander. There are no changes to how you use your account. For more details, please read the full statement available at santander.co.uk/personal/support/current-accounts/changes-to-your-current-account and in branch.

Supporting our customers

During these challenging times there is a range of support which may help you. Please visit santander.co.uk/personal/support and go to "Help with managing my money" for further information.