

Declarations and applications

Please read the following carefully before you sign.

I/we declare that:

1. I am/we are aged 18 years, or over, and resident in the UK.
2. The declarations and information contained in this form are true, correct, complete and not misleading to the best of my/our knowledge and belief, and I/we undertake to notify AJ Bell Youinvest of any changes without delay.
3. I/we confirm that I/we have been recommended and given the opportunity to read, ensure that I/we understand and keep copies of the AJ Bell Youinvest Dealing account terms and conditions and charges and rates and I/we understand that the details contained in those documents and this application constitute the agreement between me/us and AJ Bell Youinvest.
4. All payments made, and to be made, to the AJ Bell Youinvest Dealing account, are paid out of money and assets held under the pension scheme named on page one.
5. The pension scheme applying for this account is registered with Her Majesty's Revenue and Customs under Chapter 2 Part 4 of Finance Act 2004. As such, it will be a non-reporting Financial Institution under the International Tax Compliance Regulations 2015 and the International Tax Compliance (Crown Dependencies and Gibraltar) Regulations 2014 as amended by the International Tax Compliance (Crown Dependencies and Gibraltar) (Amendments) Regulations 2015, as amended from time to time.

I/we confirm that the trust deed and rules governing the operation of the pension scheme named on page one give me/us the power to establish a Dealing account with AJ Bell Youinvest and I/we declare that I/we will operate the AJ Bell Youinvest Dealing account in accordance with the trust deed and rules (as amended from time to time) and, in particular, I/we will not instruct the making of any investment which is not permitted by the trust deed and rules.

I/we confirm that AJ Bell Youinvest is authorised to:

- a. Make whatever checks are necessary to verify my/our identity and the identity of the pension scheme as required to comply with the Money Laundering Regulations.
- b. Provide information to the nominated contact shown above on behalf of the trustees and to accept instructions given by the nominated contact as if they had been given by all of the trustees, without the need to obtain any further authority or make any further enquiry, including instructions for the purchase and sale of, or otherwise dealing on, investments and instructions for cash withdrawals (which may only be made to an account in the name of the pension scheme trustees).

I/we will inform AJ Bell Youinvest as soon as it is reasonably possible if there is a change in the trustees or I/we wish to change the nominated contact.

Data privacy statement

We'll use the information you provide to check your identity – and the identity of anyone else who funds an investment made in your name – with credit reference and fraud prevention agencies. These agencies will record our checks and will make that record available so others are able to verify your identity.

So we can thoroughly check the available data, we verify your identity using scoring methods. If you supply false or inaccurate information and we suspect fraud, we'll inform the fraud prevention agencies.

If we can't verify your identity by electronic means, we may ask you for additional information.

Depending how the data has been processed, and subject to some exemptions, you have a number of legal rights about your personal information. These include:

- To access personal information
- To rectify/erase personal information
- To restrict the processing of your personal information
- To transfer your personal information

- To object to the processing of personal information
- To object to how we use your personal information for direct marketing purposes
- To obtain a copy of personal information safeguards used for transfers outside your jurisdiction
- To lodge a complaint with your local supervisory authority

To exercise these rights (or request details of the credit reference and fraud prevention agencies from which we obtain information and record information about you), please contact us. For more information see our privacy policy.

How we communicate with you

Being a DIY investor is all about staying up to date. The good news is: we can help you.

AJ Bell Youinvest would like to send you emails and other electronic communications to help you on your investing journey. Not only can you expect weekly market news and free articles from Shares magazine, but you'll be the first to hear about exclusive customer offers – including regular prize draws and invites to our popular investing events.

If you receive anything you don't like, don't worry: you can manage the type of communications you receive and unsubscribe at any time by updating your communications preferences in the 'my account area' of our website.

I/we would like to receive communications including investment updates, weekly market news, exclusive customer offers and free content from Shares magazine.

☒ Yes ☐ No

This application must be signed and dated by all of the trustees. For the corporate trustee, the application must be signed by two directors or authorised signatories. If the corporate trustee has a signing mandate, please provide a copy.

Individual trustees of the pension scheme

Name

NICOLE BALCANTYNE

Date

15. SEPT. 2022

Signature

Nicole Balcantyne

Name

DARRIN OVEREND

Date

15. SEPT. 2022

Signature

Darrin Overend

Name

Date

Signature

Name

Date

Signature