

CUSTODY STATEMENT



BNY MELLON | PERSHING

78860 026311 0047 E 00000
INFOMATRIX ONEVIEW PENSION TRUST
VENTURE WALES BUILDING
PENTREBACH
MERTHYR TYDFIL
CF48 4DR



Date: 02/12/2023

Client Name:
INFOMATRIX ONEVIEW PENSION TRUST

Client Reference: RKIN0089

Please find enclosed your statement of assets or funds which are subject to the rules of the UK law on markets in financial instruments, as at 30 NOVEMBER 2023.

As your appointed custodian, Pershing Securities Limited is required to send this statement directly to you on a quarterly basis.

This statement reflects assets or funds held based on trade date. As a result the proceeds of unsettled transactions may be included. Each investment includes a market value based on the last available price. A lack of market value means there is no available market price and is likely to be indicative of a lack of liquidity.

Please check these positions and report any discrepancies to your Wealth Manager in the first instance or alternatively to Raymond James Client Services on Tel: 0203 798 3110.

Description		
RKIN0089 D DEALING CAPITAL ACCOUNT		
Securities	Holding	Value (GBP)
BNY MELLON INV FDS BNY MELLON GBL INC INSTL AC	1669.483	8,964.29
CANADIAN OVERSEAS COM NPV(POST REV SPLIT) (DI	161403.0	3,268.41
GALILEO RESOURCES ORD GBP0.001	92845.0	1,090.93
LIONTRUST GBL FDS GF EUR STRAT EQTY C3 GBP	232.475	5,155.06
PRAX EXPLORATION A DEFERRED CONSIDERATION UNIT	43523.0	0.00
ROYAL LONDON BD FD RL SHRT TERM MONEY MKT Y DI	3052.9348	2,977.77
SOLGOLD PLC ORD GBP0.01	51060.0	4,319.68
VT ARGONAUT FUNDS VT ARGONAUT ABST RTN I ACC	2740.6244	8,532.33
Total Value		34,308.47
Cash		Cash Balance
POUND STERLING		GBP 4704.01C
*** End of Statement ***		
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FOR INFORMATION

Security Interests over Assets:

When your investments are held by a depository or an **Eligible Custodian**, such depository or **Eligible Custodian** may have rights against your investments, which may include:

- (a) security rights over them including but not limited to a **mortgage or charge**;
- (b) rights to withhold or retain them, such as by way of a **lien**;
- (c) other rights to have the asset paid or transferred to them or to prevent a transaction involving such asset from going ahead; and/or
- (d) rights to be paid any or all of the proceeds of a transaction involving the asset.

Such rights are limited to those in respect of debts arising out of (i) properly incurred charges and liabilities arising from the settlement, safekeeping, and administration of the investments held by the **Eligible Custodian**; or (ii) arise under the rules of a **CSD**, **CCP** or local settlement system. Other than as set out in this section (covering Security Interests over Assets) Pershing does not use client assets or grants any third party any security interests over them

Glossary of terms:

For detailed explanation of the following terms please refer to the Terms and Conditions you received when opening your investments account.

- **Eligible Custodian** - This refers to a third party custodian which Pershing selects in accordance with the applicable regulatory requirements to hold its clients' assets in specific markets where Pershing is not a direct participant of the local **CSD**. In Ireland, such eligible third party is referred to in the applicable Client Asset Regulations also as an "Eligible Third Party".
- **Mortgage** - A mortgage transfers the ownership of an asset to a third party on the condition that it will be re-transferred on the discharge of the obligations owed to that third party.
- **Charge** - A charge does not involve a transfer of ownership but gives a degree of control to a third party over any dealing or disposal of the asset.
- **Lien** - A Lien allows the person holding the asset to withhold or retain such asset pending the satisfaction of your obligations to them.
- **CCP** - This stands for Central Counterparty. A clearing firm which acts as intermediary between two trading venue participants (such as members of the London Stock Exchange or Euronext Dublin) to reduce the risks of default between members. Transaction which involves a CCP are subject to the rules of such CCP in addition to the rules of the market on which the transaction is executed.
- **CSD** - This stands for central securities depository which is a financial institution that custodies securities and provides securities settlement services to one or more markets (for example the CREST system in the UK and Euroclear Bank in Ireland)

If you have a Nexus Loan Agreement in place, assets held in custody with Pershing are held as security for that loan as detailed within the Nexus Loan statement and agreement provided to you separately.

If you have advised us that you have granted to any third party a security interest over part or all of your rights to the assets which are held in custody by Pershing, your account with Pershing and the assets held in custody would be subject to the terms of the agreement you have entered with such third party. Pershing is not a party to such agreement and you should seek financial and/or legal advice if you are unclear as to the implications of such arrangement. Such assets are clearly marked in this statement.