

**Strictly Private & Confidential**WWP Trustees Scheme  
Office 12, Venture Wales Building  
Venture Wales  
Pentrebach  
Merthyr Tydfi Wales  
CF48 4DR

13 March 2024

Dear Sir or Madam

**AJ Bell SIPP - Henry Francis Mostyn Owen Jodrell - A65756S**  
**Your Reference: ABCFXFD (AJ Bell)**

Mr Jodrell has requested that the cash and assets held within the existing pension plan held with you are transferred to the SIPP administered by us, AJ Bell Management Limited. Please find enclosed our Transfer Form, completed and signed accordingly.

Please note, the transfer is to comprise of an in specie transfer of assets. If you are unable to facilitate an in specie transfer out, please inform us at your earliest convenience so we may proceed accordingly.

If applicable, please forward copies of the following items to the existing custodian(s):

- a copy of the enclosed Transfer Form;
- a copy of this letter; and
- a schedule of the assets to be re-registered.

Please liaise with our Transfers In team via email at [AJBS.transfers@ajbell.co.uk](mailto:AJBS.transfers@ajbell.co.uk) or by telephone on 0161 876 3017 to coordinate the transfer of the assets. They will provide the necessary re-registration details. You should be aware that there may be restrictions on the transfer of certain assets.

Any cash should be transferred by electronic payment to the following bank details:

**Bank:** Bank of Scotland, New Ueberior House, 11 Earl Grey Street, Edinburgh EH3 9BN  
**Sort Code:** 12-27-34  
**Account Number:** 06980213  
**Account Name:** Sippdeal Trustees Ltd Payments In  
**Reference:** A65756S

Referring to the mechanics of the transfer, all of the assets should be transferred on the same business day and it is important that you advise us of the timing of the transfer.

Upon completion of the transfer, in order to prevent any delay in allocating the funds to the client's SIPP, I would be grateful if you could send us the full transfer information. Please ensure this includes a valuation of assets at the date of transfer and that the total transfer value includes the assets and any cash that has been transferred. Please ensure you provide this information for uncrystallised and/or crystallised transfers. This should confirm the following::

- The transferring scheme's HMRC Pension Scheme Tax Reference number.
- The total transfer value (£)
  - The cash value of the transfer
  - The asset value of the transfer
- Whether the transfer contains any crystallised benefits, along with the relevant details:

- The uncrystallised value of the transfer
- The crystallised value of the transfer
- Details of whether each tranche is in flexi or capped Drawdown
- The amount designated to drawdown per tranche
- LTA % used per tranche
- Post or Pre A-Day information per tranche
- Last review date per tranche (if capped drawdown)
- Max GAD per tranche (if capped drawdown)
- Income paid in current year per tranche
- Details of any transitional protection relating to the transferring benefits and/or member.
- Whether the transfer is part of a block transfer. If yes, please provide details of the block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision.
- Details of any Earmarking Orders in force against the transferring benefits.
- Book costs for the holdings transferred.
- If the member is subject to the Money Purchase Annual Allowance (MPAA).
- If the member is over the age of 75 at the date of transfer, please can you confirm the following:
  - The percentage of LTA used at age 75
  - Broken down into BCE5A – uncrystallised funds / BCE5B growth in crystallised funds

We only provide pension benefits as a drawdown pension received by way of a recognised transfer. We are not an annuity provider and cannot accept Open Market Option payments. The transfer paperwork has been completed on that basis. Furthermore, we do not accept transfers from non-UK registered pension schemes. If the ceding scheme is not a UK registered pension scheme as determined by HMRC, the transfer cannot proceed. We reserve the right to return any funds received as an Open Market Option or from a non-UK registered pension scheme.

Please note, in 2013 the Sippdeal SIPP was rebranded to the AJ Bell Youinvest SIPP. In 2022 the AJ Bell Youinvest SIPP was rebranded to the AJ Bell SIPP. There was no change to the underlying legal ownership or to the administrators of the SIPP.

For the sake of clarity, the SIPP falls under, and is governed by, the rules of the Sippdeal e-sipp. The Master Trust Deed and Rules for the Sippdeal e-sipp confirm that AJ Bell Management Limited (company number 03948391) acts as the Scheme Administrator, and that Sippdeal Trustees Limited (company number 4050222) act as the Scheme Trustee. AJ Bell Management Limited are appropriately authorised by the FCA under firm reference number 211468. You may wish to check the Financial Services Register for confirmation of this.

The Sippdeal e-sipp is a registered pension scheme with HMRC under part 4 of the Finance Act 2004 and the Pension Scheme Tax Reference number is 00605674RH. A copy of our HMRC approval letter is enclosed.

I look forward to receiving the funds and the relevant transfer information at your earliest convenience.

If you have any questions, please contact us.

Yours faithfully



**Helen Potts**  
**Head of Transfers**

Enc. Transfer Form, HMRC approval letter

Cc. A J Bell Securities



SIPP



## Transfer in form

This form lets you transfer cash and/or investments from another UK-registered pension scheme into your AJ Bell SIPP.

If you don't already have an AJ Bell SIPP you'll need to apply for one before completing this form. You can apply for a SIPP on our website.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate.

When your transfer form is signed and completed, please scan and email it to [transfersin@ajbell.co.uk](mailto:transfersin@ajbell.co.uk).

Or if you prefer, you can post the completed form to us at:

AJ Bell  
4 Exchange Quay  
Salford Quays  
Manchester  
M5 3EE

If you email us your transfer form, we may later need you to post us a paper copy with a wet signature. If this is the case – and it'll depend on the provider you're transferring from – we'll be in touch to let you know.

### Personal details

Title

Dr / Mr / Mrs / Miss / Ms / Other

Surname

Forename(s)

Date of birth

National Insurance number

Permanent residential address

Postcode

Country

Daytime telephone number

Email address

AJ Bell SIPP account number

Please ensure that your personal details held with the pension scheme you're transferring from match the above as differing details may delay your transfer.

## Your transfer details

Current provider

WWP Trustee Scheme

Existing plan number or policy number under transferring scheme

ABCFXFD (AJ Bell)

Is the transferring scheme a defined benefit/final salary pension scheme or a scheme that includes safeguarded benefits?

☐

Yes

☒

No

When you transfer a defined benefit/final salary pension scheme or a scheme that includes safeguarded benefits you give up a guaranteed income, as well as potential increases to that income in the future. For most defined benefit/final salary pension schemes or schemes that include safeguarded benefits, you'll need to take regulated financial advice before you can transfer it.

We can only accept a defined benefit/final salary pension scheme or a scheme that includes safeguarded benefits transfer worth £30,000 or more if a suitably qualified financial adviser has recommended that the transfer is right for you.

To confirm you've received their recommendation, you and your adviser need to complete our [financial advice declaration form](#). You can also find this form on the 'Useful forms' section of [ajbell.co.uk](#). Please send the completed form back to us along with this one.

**Important - please note that if you are transferring from a defined benefit/final salary pension scheme or a scheme that includes safeguarded benefits and the expiry date of any transfer value guarantee is less than 10 working days away, then whilst we will do our very best, we cannot be held responsible if the deadline is missed. You are advised to keep in regular contact with the transferring scheme to check on progress.**

Is the current plan subject to any existing or proposed trustee in bankruptcy orders, or earmarking or pension sharing orders, or other receiving orders?

☐

Yes

☒

No

Is the transfer to be in the form of cash only?

☐

Yes

☒

No

Approximate value to be transferred to AJ Bell

£ 345260.99

This is a full transfer

☐

This is a partial transfer

☒

Are the sums and assets being transferred in:

a. Not in drawdown;

☒

b. Already partially in drawdown;

☐

c. Already entirely in drawdown;

☐

If the sums and assets are partially in drawdown, please confirm the split of the value being transferred:

Not in drawdown

In drawdown

£

£

If the transfer is in drawdown (i.e. you are transferring from a pension from which you've taken a tax-free lump sum with the remaining funds left invested to take an income from as you choose) you must also complete our SIPP income requirements for transfer-in drawdown form.

## Making a partial transfer?

You need to complete this section if you want to partially transfer a pension to your AJ Bell SIPP.

Please enter the amount of cash you want to transfer below. And, if you intend to transfer assets, in the table beneath it, please enter the investments you want to transfer, along with their approximate cash value. If you don't give us this information, your transfer will be delayed.

You don't need to complete this section if you're transferring a pension in full.

Cash to be transferred £ 3989.10

Name of Investment	SEDOL/ISIN	Units
Baillie Gifford Positive Change B Acc	BYVGKV5	36901.502
British American Tobacco PLC	0287580	765
Rolls-Royce Holdings PLC	B63H849	13062
Shell PLC	BP6MXD8	6172
Vodafone Group PLC	BH4HKS3	33964

Please continue on a separate sheet if necessary.

### IMPORTANT NOTE

You may hold funds with your current provider in a unit class not available with AJ Bell. If so, when you transfer these funds to us, you authorise us to convert them into a mutually held unit class – which could be more expensive. After the transfer is complete, you also give us the authority to convert any of your funds to a cheaper unit class, where one is available.

If you are in serious ill health and considering transferring a pension, there may be an Inheritance Tax liability if you don't survive the transfer by two years. You should take advice before transferring if you believe this could apply to you.

## Request for transfer

I authorise and instruct you to transfer sums and assets from the plan(s) as listed in this form directly to the receiving provider and to provide any instructions and/or discharge required by any relevant third party to do so, in the form of

- A cash payment made by cheque, or such other method agreed with us or
- An in specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate we will advise details of the nominee/parties to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

Where you have asked me to give you any original policy document(s) in return for the transfer of sums and assets and I am unable to do so, I promise that I will be responsible for any losses and/or expenses which are the result, and which a reasonable person would consider to be the probable result, of any untrue, misleading or inaccurate information deliberately or carelessly given by me, or on my behalf, either in this form or with respect to benefits from the plan(s).



## What happens next?

Once we receive your completed form, we'll contact the scheme administrator of your pension to get your transfer started. We'll try to complete your transfer as soon as possible – but exactly how long it takes will vary depending on the following factors:

- The type of investments you're transferring. As a guide, cash only should take 2-4 weeks, shares 4-6 weeks, funds 6-8 weeks and foreign holdings 10-12 weeks.
- If you're transferring cash and investments, your cash will be transferred to us after your investments
- How long it takes your current provider to respond to our requests
- Whether the documentation required by us or your current provider is completed correctly
- Whether you still have to pay fees to your current provider, including closure and administration fees

If you're transferring investments, you can track the progress of your transfer. Just log in and click 'Transfers'.

## Transferring scheme instructions

If you require any further forms to be completed by the transferring customer please contact the customer directly. For a cash transfer, your cheque must be made payable to 'AJ Bell SIPP re (insert the name of the transferring customer) e.g. AJ Bell SIPP re John Smith' and sent to the address shown on the first page of this form.

For all transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm

- that the transferring scheme is a registered pension scheme under the Finance Act 2004
- the transferring scheme's HMRC Pension Scheme Tax Reference
- the total transfer value
- whether the transfer contains any crystallised benefits and, if so, full details of them
- whether you have reason to believe the transferring customer has flexibly accessed their pension rights (whether in the transferring scheme or otherwise) and, if so, specify the date you believe the rights were first accessed
- whether any of the transfer value derives from a beneficiary's drawdown fund and, if so, whether it is a dependant's, nominee's or successor's drawdown fund, and if it is a dependant's drawdown whether the funds are in capped drawdown (and full details) or flexi-access drawdown
- details of any transitional protection relating to the benefits of the transferring customer and, if relevant, whether the transfer is part of a block transfer
- whether the transfer value derives from a pension sharing order or provision or whether an earmarking order is in force in relation to the transferring benefits.

For information, the receiving scheme is a registered pension scheme under Finance Act 2004 (PSTR: 00605674RH). It is also an appropriate scheme (7001330A).

I authorise you and AJ Bell or AJ Bell Management Limited or Sippdeal Trustees Limited to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to the receiving scheme. I agree that a copy of this authority will have the validity of the original.

I authorise you and AJ Bell or AJ Bell Management Limited or Sippdeal Trustees Limited and any employer paying contributions to any of the plan(s) as listed in this form to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to the receiving scheme.

Until this application is accepted and complete, the receiving scheme administrator's responsibility is limited to the return of the total payments to the current plan.

Where the payment(s) made to the receiving scheme represent(s) all of the sums and assets under the plan(s) listed in this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from the plan(s) listed.

Where the payment(s) made to the receiving scheme represent(s) part of the sums and assets under the plan(s) listed in this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from that part of the plan(s) represented by the payment(s).

I promise to accept responsibility in respect of any claims, losses and expenses that AJ Bell or AJ Bell Management Limited or Sippdeal Trustees Limited and the current provider may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

I request the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP. I confirm that the information provided relevant to my application to transfer benefits into my SIPP is correct and complete to the best of my knowledge and belief.

I accept that in order to comply with regulatory obligations, the receiving scheme and the current scheme named in this application may need to verify my identity and residential address, and may use credit reference agency searches and ask for my documents to verify my identity and address.

I confirm that I have not received any advice or recommendation in relation to the transfer from a representative of AJ Bell, AJ Bell Management Limited or Sippdeal Trustees Limited.

I confirm that I am not knowingly in serious ill-health. If it is later found out that I was in serious ill health at the time of the transfer of the plan(s) listed in this form, I authorise AJ Bell, AJ Bell Management Limited or Sippdeal Trustees Limited to pay death benefits into my estate.

Additional declaration for transfers of income drawdown benefits (if applicable): I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered.

Your name

Henry Jodrell

Date

Thu Sep 07 2023

Your signature



Mr Jodrell (Sep 7, 2023, 10:06am)





**Issuer** AJ Bell

**Document generated** Thu, 7th Sep 2023 10:04:40 UTC

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**Parties involved with this document**

Document processed	Party + Fingerprint
Thu, 7th Sep 2023 10:06:55 UTC	Mr Jodrell - Signer (01883ce902c8572af5edc0140be6dd93)
Thu, 7th Sep 2023 10:06:56 UTC	Copy - Copied In (af7dd2b5236d92f47b1aead0213b662f)

**Audit history log**

Date	Action
Thu, 7th Sep 2023 10:06:56 UTC	Mr Jodrell viewed the envelope. (216.213.183.94)
Thu, 7th Sep 2023 10:06:56 UTC	This envelope has been signed by all parties (216.213.183.94)
Thu, 7th Sep 2023 10:06:56 UTC	Sent the envelope to Copy (transfers@youinvest.co.uk) for signing (216.213.183.94)
Thu, 7th Sep 2023 10:06:56 UTC	Mr Jodrell signed the envelope (216.213.183.94)
Thu, 7th Sep 2023 10:06:12 UTC	Mr Jodrell viewed the envelope. (216.213.183.94)
Thu, 7th Sep 2023 10:06:08 UTC	Mr Jodrell opened the document email. (216.213.183.94)
Thu, 7th Sep 2023 10:04:46 UTC	Document emailed to hjodrell@btinternet.com (13.40.183.113)
Thu, 7th Sep 2023 10:04:40 UTC	Envelope has been set to automatically expire after 30 day(s). (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Envelope has been set to automatically remind the active signer every 6 day(s). (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Sent the envelope to Mr Jodrell (hjodrell@btinternet.com) for signing. (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Copy has been assigned to this envelope. (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Mr Jodrell has been assigned to this envelope. (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Document generated with fingerprint da5efc90e8197a60dc67de72f8070d06. (54.170.255.92)
Thu, 7th Sep 2023 10:04:40 UTC	Envelope generated with fingerprint d8853cc4e537ed6c4a86f34ac88079f1 (54.170.255.92)



Dated: 11/05/2018

**Trust Deed**  
adopting replacement provisions governing the  
**WWP Trustee Scheme**

#### **Parties**

1. **Change4profit Limited** (Company No 08787002) whose registered office is at Church Farm House, Easton Grey, Malmesbury, Wiltshire, SN16 0PF (in this deed called the "Principal Employer")
2. **Henry Francis Mostyn Owen Jodrell** of Church Farm House, Easton Grey, Malmesbury, Wiltshire, SN16 0PF (in this deed called the "Trustee")

#### **Recitals**

- 1) **WWP Trustee Scheme** (in this deed called the 'Scheme') is a pension scheme which is currently governed by a Definitive Trust Deed and Rules 4<sup>th</sup> March 2015 (in this deed called the 'Existing Provisions').
- 2) It is intended to replace the Existing Provisions in their entirety.
- 3) Rule 3.1 states that "The person specified for this purpose in the Adopting Deed may at any time by deed modify, delete or add to all or any of the provisions of the Rules with retrospective, immediate or future effect, subject only to any restrictions imposed by the Adopting Deed and section 67 of the Pensions Act 1995".

#### **Operative provisions**

1. Pursuant to clause 3.1 of the Existing Provisions, those Existing Provisions shall cease to have effect and the Scheme shall be governed by the attached Rules:

#### **PROVIDED THAT:**

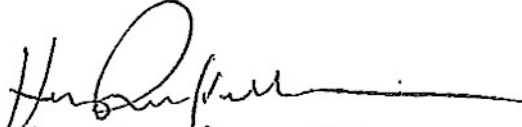
- 1.1 the power in Rule 3.1 (Power of Amendment) may be exercised by the Principal Employer.
- 1.2 the power in Rule 4.1 (Power of Appointment and Removal of Trustees) may be exercised by the Principal Employer.
2. The provisions of this deed shall have effect on and from its date.

IN WITNESS OF WHICH this document is executed as a deed and is delivered on the date stated above.



SIGNED as a deed, and delivered when dated,  
by **Change4profit Limited**  
acting by

Director      Signature  
Name :

  
HENRY F.M.O. JODRELL

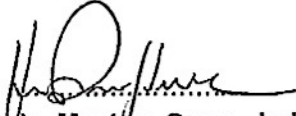
Witness      Signature

Name :

Address :

SZP  
REKATA SZAJA  
16 LINLAW CLOSE  
SWINDON, SN5 5WY

SIGNED as a deed, and delivered when dated,

by  (Signature)  
Henry Francis Mostyn Owen Jodrell in the  
presence of:

Witness      Signature

Name :

Address :

SZP  
REKATA SZAJA  
16 LINLAW CLOSE  
SWINDON, SN5 5WY

Portfolio - External Pension  
Mr Henry Jodrell Re WWP Trustee Scheme  
(ABCFXFD)  
04-01-2024 11:00:32

Investments:  
**£365,178.79**

Cash:  
**£2,582.26**

Total:  
**£367,761.05**

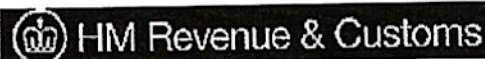
Available cash:  
**£2,582.26**  
0545 54 32 600  
help@ajbell.co.uk

Investment	Quantity	Price	Value (£)	Cost (£)	Change (£)	Change (%)	Price +/- today (%)
Baillie Gifford Positive Change B Acc (FUND:BYVGKV5)	36,901.502	3.175 GBP	117,162.27	94,056.68	23,105.59	24.57	-0.74
British American Tobacco PLC (LSE:BATS)	765	23.37 GBP	17,878.05	20,088.93	-2,210.88	-11.01	0.19
Rolls-Royce Holdings PLC (LSE:RR.)	15,170	2.981 GBP	45,221.77	25,246.65	19,975.12	79.12	1.12
Shell PLC (LSE:SHEL)	6,172	26.13 GBP	161,274.36	117,842.59	43,431.77	36.86	0.77
Vodafone Group PLC (LSE:VOD)	33,964	0.6961 GBP	23,642.34	25,145.36	-1,503.02	-5.98	0.14
<b>Total</b>			<b>365,178.79</b>	<b>282,380.21</b>	<b>82,798.58</b>	<b>29.32</b>	

Key: \* Price not available

UK Equity prices 15 minutes delayed. Other prices latest available.





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User Name: (A0051780)  
PSTR 00605674RH

## Pension Schemes

### Your HMRC Services

- Pension Schemes
  - > [Welcome](#)
  - > [View messages](#)
  - > [View all Pension Schemes](#)
  - > [Advanced Scheme Search](#)
  - > [Registered Pension Schemes Manual](#)
- Registration
  - > [View Certificate](#)
  - > [View Registration Details](#)
- Scheme Administration
  - > [View Current Scheme Details](#)
  - > [Amend Scheme Details](#)
  - > [Scheme Administrator Management](#)
  - > [Practitioner Management](#)
  - > [View Submission Receipts](#)
- Pension Service Notices for Scheme
  - > [View Notices](#)
- Reporting
  - > [Event Report](#)
  - > [Accounting for Tax](#)
  - > [Pension Scheme Return](#)
- Quick Links
  - > [The Pensions Regulator](#)
  - > [VAT](#)
  - > [Your Online Services](#)
  - > [Make a Payment](#)
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### Pension Scheme Summary

Pension Scheme Name **The Sippdeal e-sipp**  
Pension Scheme Tax **00605674RH**  
Reference (PSTR)  
> [View current scheme details](#)

> [Amend scheme details](#)

## Reporting ?

### Registration ?

Please select the type of report below:

Registration for 05 Apr View

Tax 2006

Relief at source 04 Feb 2008 [ViewAmend](#)

> [Accounting for Tax](#)

> [Registered Pension Scheme Return](#)

> [Event Report](#)

### Scheme Administration

## Pension Service Notices for Scheme ?

Please select an option below:

You have 84 notices for this Pension Scheme

> [Scheme Administrator management ?](#)

> [Practitioner management ?](#)

> [View notices](#)

> [View submission receipts](#)

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[Print](#) [Close window](#)**Current scheme details**

Pension Scheme Name	The Sippdeal e-sipp
Deferred annuity contract / Retirement annuity contract made after 5 April 2006	No
Date scheme registered	05 Apr 2006
Name of Scheme Administrator who registered the scheme	A J Bell Management Limited

Scheme status	Open
Sub-scheme	No
Pension scheme structure	Single
The scheme is an investment regulated pension scheme ?	Yes
Band of number of scheme members	10001+

Registered for Relief at source	Yes
Occupational pension scheme ?	No

Country or Territory scheme established	UNKNOWN
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[Print](#) [Close window](#)



**HM Revenue  
& Customs**

***Pension Scheme  
Tax Reference (PSTR)***

250006:00000093:001

AJ Bell Management Limited  
4 Exchange Quay  
Salford Quays  
Manchester  
M5 3EE

Audit & Pension  
Schemes Services  
Yorke House  
Castle Meadow Road  
Nottingham  
NG2 1BG

Tel 0115 974 1600  
Monday to Friday 09:00 - 17:00

Fax 0115 974 1480

Date of issue 25/April/2007

**Pension Scheme Tax Reference (PSTR)**

The Pension Scheme Tax Reference (PSTR) for THE SIPP DEAL E SIPP

is 00605674RH. You should use this when you want to view the scheme details online and in all future communications with HM Revenue & Customs.